

# Searching for Charged Lepton Flavor Violation

Presented by **Sophie Charlotte Middleton**

Research Associate in the High Energy Physics Group

PH242

Feb. 2022

\*[smidd@caltech.edu](mailto:smidd@caltech.edu)

# About Me

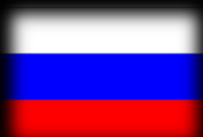
- Post-doctoral Scholar at Caltech for 2.5 years. Work in the Hitlin-Porter Group.
- We work on high-intensity searches for new physics which goes beyond the “Standard Model.”
- **Previously:**
  - Completed my PhD and Masters both at Imperial College London.
  - PhD research focussed on developing muon ionization cooling, a prerequisite for a future muon collider. Results: Nature 578, 53–59.

Today I will tell you about one of the collaborations we work on: **Mu2e, a searching for charged lepton flavor violation based at Fermilab.**





# The Mu2e Collaboration



Argonne National Laboratory • Boston University Brookhaven National Laboratory Lawrence Berkeley National Laboratory and University of California, Berkeley • University of California, Davis • University of California, Irvine • **California Institute of Technology** • City University of New York • Joint Institute for Nuclear Research, Dubna • Duke University • Fermi National Accelerator Laboratory • Laboratori Nazionali di Frascati • INFN Genova • HelmholtzZentrum Dresden- Rossendorf • University of Houston • Institute for High Energy Physics, Protvino • Kansas State University • INFN Lecce and Università del Salento • Lewis University • University of Liverpool • University College London • University of Louisville • University of Manchester • Laboratori Nazionali di Frascati and Università Marconi Roma • University of Minnesota • Institute for Nuclear Research, Moscow • Muons Inc. • Northern Illinois University • Northwestern University • Novosibirsk State University/Budker Institute of Nuclear Physics • INFN Pisa • Purdue University • University of South Alabama • Sun Yat Sen University • University of Virginia • University of Washington • Yale University



# The Standard Model

	1 <sup>st</sup> Gen.	2 <sup>nd</sup> Gen.	3 <sup>rd</sup> Gen.	
quarks	$m = 2.3 \text{ MeV}$ $c = 2/3$ $s = 1/2$ <b>u</b>	$m = 1.28 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>c</b>	$m = 173.2 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>t</b>	$m = 0$ $c = 0$ $s = 1$ <b><math>\gamma</math></b>
	$m = 4.8 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>d</b>	$m = 95 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>s</b>	$m = 4.18 \text{ GeV}$ $c = -1/3$ $s = 1/2$ <b>b</b>	$m = 0$ $c = 0$ $s = 1$ <b><math>g</math></b>
	$m < 2.2 \text{ eV}$ $c = 0$ $s = 1/2$ <b><math>\nu_e</math></b>	$m < 0.17 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\mu</math></b>	$m < 15.5 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\tau</math></b>	$m = 91.2 \text{ GeV}$ $c = 0$ $s = 1$ <b>Z</b>
leptons	$m = 0.511 \text{ MeV}$ $c = -1$ $s = 1/2$ <b>e</b>	$m = 105.7 \text{ MeV}$ $c = -1$ $s = 1/2$ <b><math>\mu</math></b>	$m = 1.777 \text{ GeV}$ $c = -1$ $s = 1/2$ <b><math>\tau</math></b>	$m = 80.4 \text{ GeV}$ $c = \pm 1$ $s = 1$ <b>W</b>
				$m = 125.18 \text{ GeV}$ $c = 0$ $s = 0$ <b>H</b>
				Higgs

# The Standard Model

	1 <sup>st</sup> Gen.	2 <sup>nd</sup> Gen.	3 <sup>rd</sup> Gen.	
quarks	$m = 2.3 \text{ MeV}$ $c = 2/3$ $s = 1/2$ <b>u</b>	$m = 1.28 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>c</b>	$m = 173.2 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>t</b>	$m = 0$ $c = 0$ $s = 1$ <b><math>\gamma</math></b>
	$m = 4.8 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>d</b>	$m = 95 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>s</b>	$m = 4.18 \text{ GeV}$ $c = -1/3$ $s = 1/2$ <b>b</b>	$m = 0$ $c = 0$ $s = 1$ <b><math>g</math></b>
	$m < 2.2 \text{ eV}$ $c = 0$ $s = 1/2$ <b><math>\nu_e</math></b>	$m < 0.17 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\mu</math></b>	$m < 15.5 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\tau</math></b>	$m = 91.2 \text{ GeV}$ $c = 0$ $s = 1$ <b>Z</b>
leptons	$m = 0.511 \text{ MeV}$ $c = -1$ $s = 1/2$ <b>e</b>	$m = 105.7 \text{ MeV}$ $c = -1$ $s = 1/2$ <b><math>\mu</math></b>	$m = 1.777 \text{ GeV}$ $c = -1$ $s = 1/2$ <b><math>\tau</math></b>	$m = 80.4 \text{ GeV}$ $c = \pm 1$ $s = 1$ <b>W</b>
				$m = 125.18 \text{ GeV}$ $c = 0$ $s = 0$ <b>H</b>
				<b>Higgs</b>

Higgs boson was the final piece, discovered in 2012 at CERN.



Vector bosons act to mediate interactions of the EM, strong and weak forces.

Higgs boson was the final piece, discovered in 2012 at CERN.

# The Standard Model

Quarks combine to form hadrons such as protons or neutrons.

	1 <sup>st</sup> Gen.	2 <sup>nd</sup> Gen.	3 <sup>rd</sup> Gen.	
quarks	$m = 2.3 \text{ MeV}$ $c = 2/3$ $s = 1/2$ <b>u</b>	$m = 1.28 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>c</b>	$m = 173.2 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>t</b>	vector bosons
	$m = 4.8 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>d</b>	$m = 95 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>s</b>	$m = 4.18 \text{ GeV}$ $c = -1/3$ $s = 1/2$ <b>b</b>	
	$m < 2.2 \text{ eV}$ $c = 0$ $s = 1/2$ <b><math>\nu_e</math></b>	$m < 0.17 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\mu</math></b>	$m < 18.5 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\tau</math></b>	
leptons	$m = 0.511 \text{ MeV}$ $c = -1$ $s = 1/2$ <b>e</b>	$m = 105.7 \text{ MeV}$ $c = -1$ $s = 1/2$ <b><math>\mu</math></b>	$m = 1.777 \text{ GeV}$ $c = -1$ $s = 1/2$ <b><math>\tau</math></b>	vector bosons
				$m = 0$ $c = 0$ $s = 1$ <b><math>\gamma</math></b>
				$m = 0$ $c = 0$ $s = 1$ <b><math>g</math></b>
				$m = 91.2 \text{ GeV}$ $c = 0$ $s = 1$ <b>Z</b>
				$m = 80.4 \text{ GeV}$ $c = \pm 1$ $s = 1$ <b>W</b>
				$m = 125.18 \text{ GeV}$ $c = 0$ $s = 0$ <b>H</b>
				Higgs

Vector bosons act to mediate interactions of the EM, strong and weak forces.

Higgs boson was the final piece, discovered in 2012 at CERN.

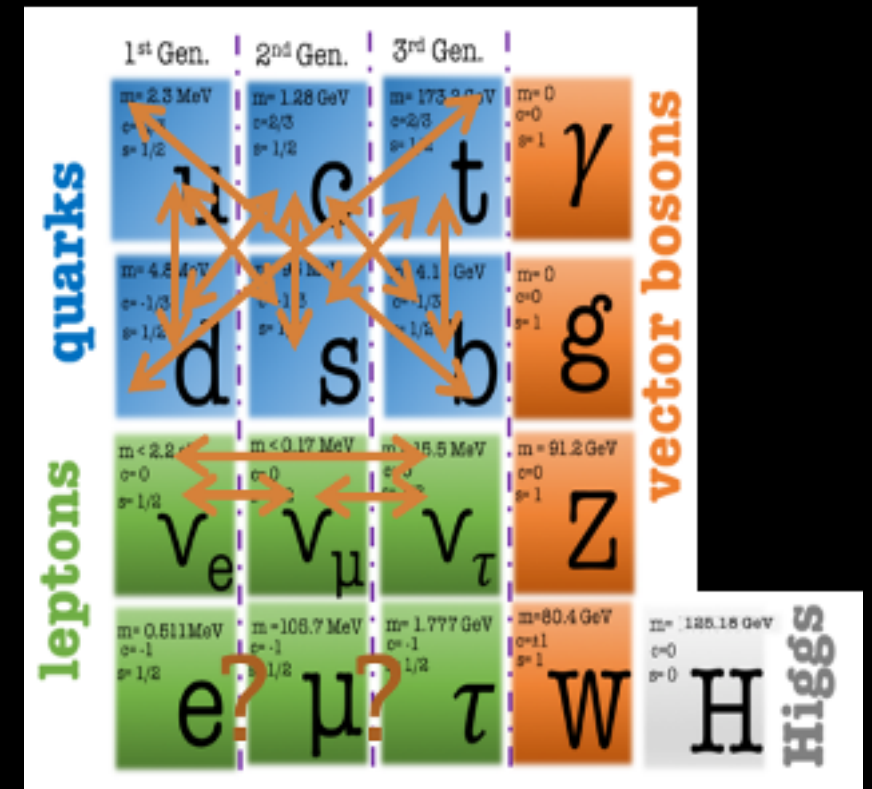


# Quark Flavor Violation

- The Quarks commit Flavor Violation
  - Mixing strengths are parameterized by **Cabibbo–Kobayashi–Maskawa (CKM) matrix**:

$$(d', s', b') = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

→ almost diagonal.



# The Standard Model

Quarks combine to form hadrons such as protons or neutrons.

Neutrinos were long believed massless but discovery of oscillations suggest they have small mass.

	1 <sup>st</sup> Gen.	2 <sup>nd</sup> Gen.	3 <sup>rd</sup> Gen.	
quarks	$m = 2.3 \text{ MeV}$ $c = 2/3$ $s = 1/2$ <b>u</b>	$m = 1.28 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>c</b>	$m = 173.2 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>t</b>	vector bosons
	$m = 4.8 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>d</b>	$m = 95 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>s</b>	$m = 4.18 \text{ GeV}$ $c = -1/3$ $s = 1/2$ <b>b</b>	
	$m < 2.2 \text{ eV}$ $c = 0$ $s = 1/2$ <b><math>\nu_e</math></b>	$m < 0.17 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\mu</math></b>	$m < 15.5 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\tau</math></b>	
leptons	$m = 0.511 \text{ MeV}$ $c = -1$ $s = 1/2$ <b>e</b>	$m = 105.7 \text{ MeV}$ $c = -1$ $s = 1/2$ <b><math>\mu</math></b>	$m = 1.777 \text{ GeV}$ $c = -1$ $s = 1/2$ <b><math>\tau</math></b>	vector bosons
				$m = 0$ $c = 0$ $s = 1$ <b><math>\gamma</math></b>
				$m = 0$ $c = 0$ $s = 1$ <b><math>g</math></b>
				$m = 91.2 \text{ GeV}$ $c = 0$ $s = 1$ <b>Z</b>
				$m = 80.4 \text{ GeV}$ $c = \pm 1$ $s = 1$ <b>W</b>
				$m = 125.18 \text{ GeV}$ $c = 0$ $s = 0$ <b>H</b>
				Higgs

Vector bosons act to mediate interactions of the EM, strong and weak forces.

Higgs boson was the final piece, discovered in 2012 at CERN.

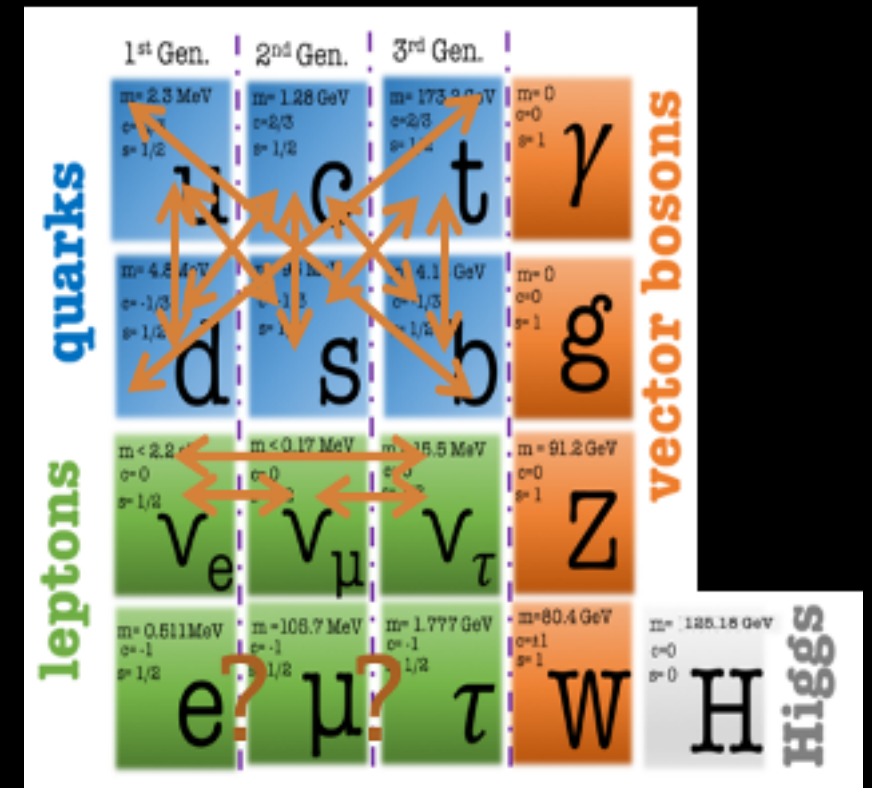
# Neutral Lepton Flavor Violations

- $\nu$  oscillations  $\rightarrow$  Lepton Flavour Violation (LFV)
  - Mixing strengths parameterised by the **Pontecorvo–Maki–Nakagawa–Sakata matrix** (PMNS) matrix:

$$(\nu_e, \nu_\mu, \nu_\tau) = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\rightarrow$  more mixing

i.e. The current best fit values imply that there is much more neutrino mixing than there is mixing between the quark flavors in the CKM matrix.



# The Standard Model

Quarks combine to form hadrons such as protons or neutrons.

Charged leptons exist in three generations. Muons are the second generation ....and what I have spent many years studying

	1 <sup>st</sup> Gen.	2 <sup>nd</sup> Gen.	3 <sup>rd</sup> Gen.	
quarks	$m = 2.3 \text{ MeV}$ $c = 2/3$ $s = 1/2$ <b>u</b>	$m = 1.28 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>c</b>	$m = 173.2 \text{ GeV}$ $c = 2/3$ $s = 1/2$ <b>t</b>	vector bosons
	$m = 4.8 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>d</b>	$m = 95 \text{ MeV}$ $c = -1/3$ $s = 1/2$ <b>s</b>	$m = 4.18 \text{ GeV}$ $c = -1/3$ $s = 1/2$ <b>b</b>	
	$m < 2.2 \text{ eV}$ $c = 0$ $s = 1/2$ <b><math>\nu_e</math></b>	$m < 0.17 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\mu</math></b>	$m < 15.5 \text{ MeV}$ $c = 0$ $s = 1/2$ <b><math>\nu_\tau</math></b>	
leptons	$m = 0.511 \text{ MeV}$ $c = -1$ $s = 1/2$ <b>e</b>	$m = 105.7 \text{ MeV}$ $c = -1$ $s = 1/2$ <b><math>\mu</math></b>	$m = 1.777 \text{ GeV}$ $c = -1$ $s = 1/2$ <b><math>\tau</math></b>	vector bosons
				$m = 0$ $c = 0$ $s = 1$ <b><math>\gamma</math></b>
				$m = 0$ $c = 0$ $s = 1$ <b><math>g</math></b>
				$m = 91.2 \text{ GeV}$ $c = 0$ $s = 1$ <b>Z</b>
				$m = 80.4 \text{ GeV}$ $c = \pm 1$ $s = 1$ <b>W</b>
				$m = 125.18 \text{ GeV}$ $c = 0$ $s = 0$ <b>H</b>
				Higgs

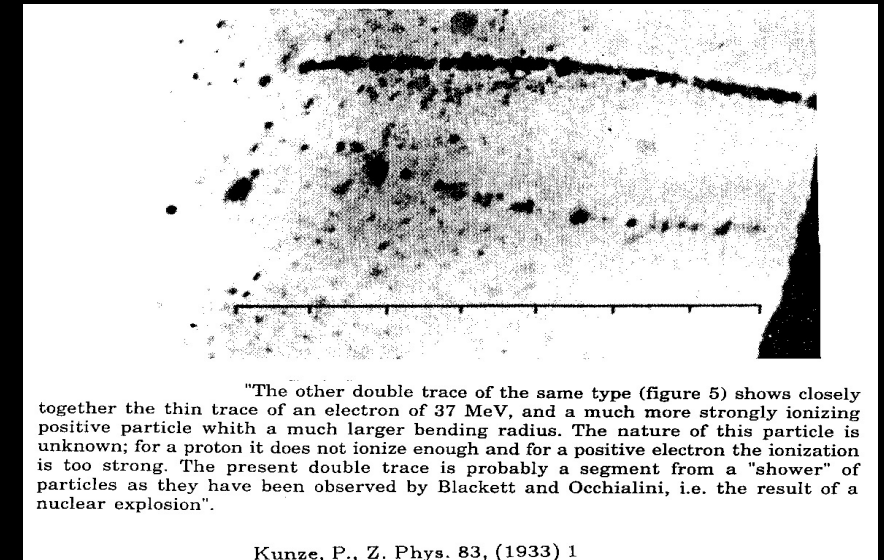
Vector bosons act to mediate interactions of the EM, strong and weak forces.

Higgs boson was the final piece, discovered in 2012 at CERN.



# The History of the Muon

- 1897 – JJ Thompson discovers the electron.
- 1933 - Kunze published first “observation” of the muon:  
*“The nature of this particle is unknown; for a proton, it does not ionize enough, and for a positive electron, the ionization is too strong”*
- 1934 - Yukawa predicts a “meson” of mass  $\sim 200m_e$  to explain the cohesion of the nucleus.
- 1936 - 3 groups independently conclude that the penetrating particle is a new one, and of intermediate mass between the electron and proton. Neddermeyer & Anderson’s Caltech group publishes first → Discovery of the the “mu-meson”
- 1937 - Observed that the “mu-meson” doesn’t feel the nuclear force  
Seth H. Neddermeyer, Phys. Rev., Vol. 51, 884
- 1948 - The muon is not an excited electron – Rabi asks “who ordered that?” muon becomes understood as heavier electron and a point-like particle. Steinberger, J., 1948, Phys. Rev. 74 , 500.





# Muon Properties

Muons have been very useful in helping understand the Standard Model:

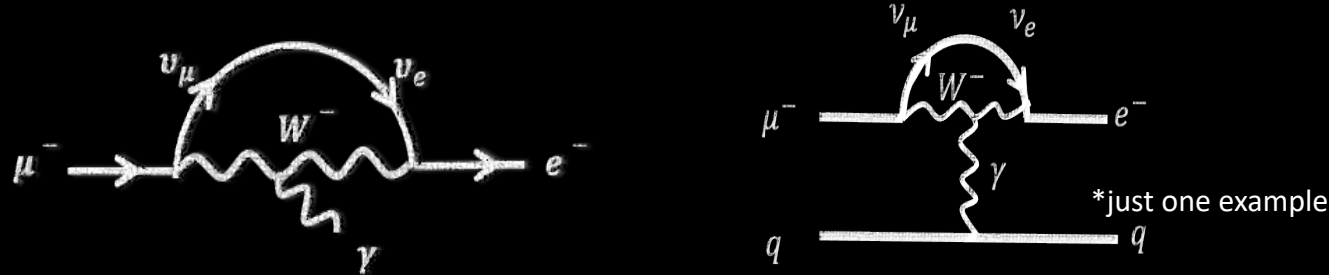
- They are charged ( $q = \pm e$ ):
  - Contained by EM fields. So we can accelerate and focus them using magnets.
- Muons are easy to produced in well understood channels. They are naturally produced in large numbers by pion weak decay:
  - Protons on target  $\rightarrow$  Pions  $\rightarrow$  Muons.
- Muons decay and feel the weak but not the strong force:
  - Lifetime is long enough (  $2.2 \mu\text{s}$  ) to study interactions but short enough to study decay.
  - Muon decay has helped us understand the weak force.
  - Pure Lepontic nature of muon decay offers analysis of  $V - A$  without CKM assumptions.

These properties also make them excellent particles to use for new physics searches:

1. High intensity beams of muons produced from pion decay can be manipulated onto a muon stopping target;
2. Much longer lifetime and clean decays mean little background to remove compared to taus which have complicated hadronic channels to cope with and a nano-second lifetime.

# Charged Lepton Flavor Violation (CLFV)

- The minimal extension of the Standard Model, including Dirac masses of neutrinos, allows for CLFV at loop level, mediated by W bosons.



- Rates heavily suppressed by GIM suppression and are far below any conceivable experiment could measure:

$$B(\mu \rightarrow e\gamma) = \frac{3\alpha}{32\pi} \left| \sum_{i=2,3} U_{\mu i}^* U_{ei} \frac{\Delta m_{1i}^2}{M_W^2} \right|^2$$

$$B(\mu \rightarrow e\gamma) = \frac{3\alpha}{32\pi} \left( \frac{1}{4} \right) \sin^2 2\theta_{13} \sin^2 \theta_{23} \left| \frac{\Delta m_{13}^2}{M_W^2} \right|^2$$

$$B(\mu \rightarrow e\gamma) \approx \mathcal{O}(10^{-54})$$

[1-4]

using best-fit values for neutrino data ( $m_{\nu j}$  for the neutrino mass and  $U_{ij}$  for the element of the PMNS matrix).

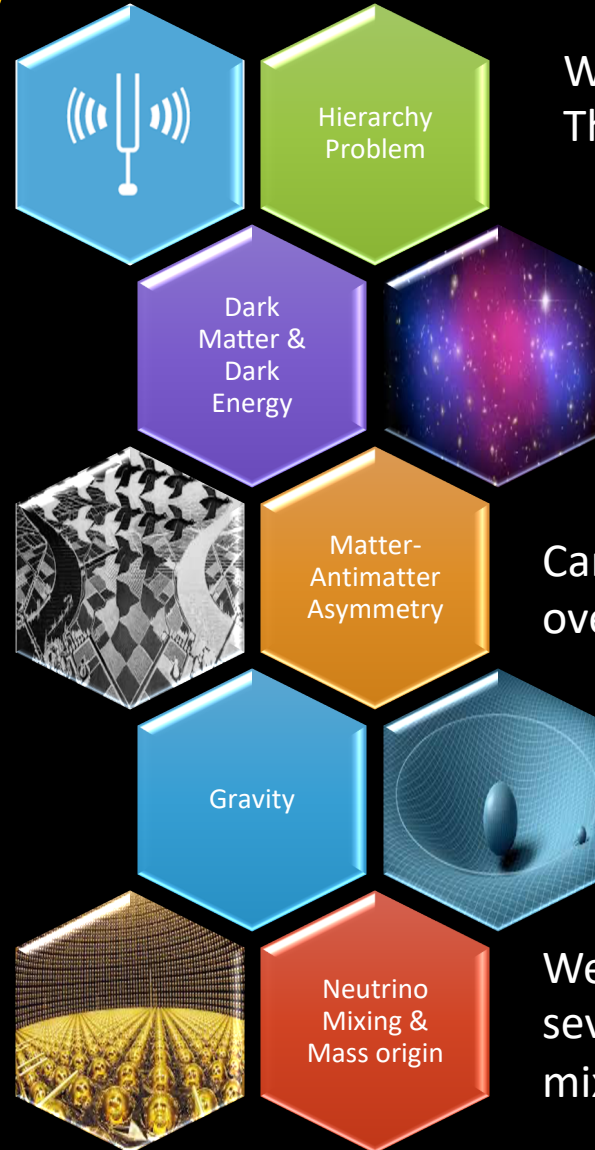
***If observed at Mu2e → this would be an unambiguous sign of physics beyond the Standard Model.***

# Why do we need new physics?

Standard model particles are just 5% of the mass-energy content of the Universe.

Can we unify gravity with the other forces?

These aren't always discrete problems, and some theories can explain more than one issue e.g., SUSY provides dark matter candidate and helps resolve the hierarchy problem and neutrino experiments could find new sources of leptonic CP violation



Why is the Higgs light compared to Planck scale?  
There must be some fine tuning

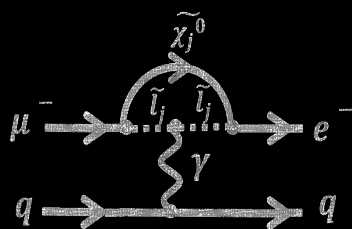
Can we fully explain why matter dominates over anti-matter?

We know neutrinos oscillate but we still have several other questions regarding neutrino mixing and the nature of neutrinos.

# BSM Scenarios

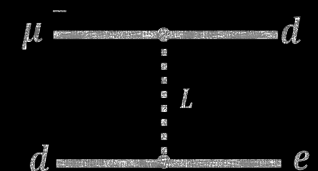
Nice overview: Lorenzo Calibbi, Giovanni Signorelli  
arXiv:1709.00294 (2018)

There are many well-motivated BSM theories which invoke CLFV mediated by (pseudo) scalar, (axial) vector, or tensor currents at rates close to current experimental limits i.e.  $B \approx 10^{-15} - 10^{-17}$ :



**SO(10) SUSY :**  
L. Calibbi *et al.*, Phys. Rev. D **74**, 116002 (2006), L. Calibbi *et al.*, JHEP **1211**, 40 (2012).

**Scalar Leptoquarks:**  
J.M. Arnold *et al.*, Phys. Rev D **88**, 035009 (2013).



A few examples – not an exclusive list!

Important point:

Mu2e is an indirect search for new physics, with sensitivity to lots of models. Even a null result would have huge implications!

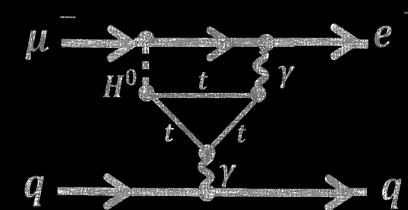
**Different neutrino mass-generating Lagrangians lead to very different rates for CLFV :**

Nuclear Physics B (Proc. Suppl.) **248–250** (2014) 13–19

**Extended Higgs/Gauge sector:**

Left-Right Symmetric Models  
C.-H. Lee *et al.*, Phys. Rev D **88**, 093010 (2013).

Littlest Higgs Blanke *et al* Phys.Polon.B41:657, 2010, arXiv:0906.5454v2 [hep-ph]

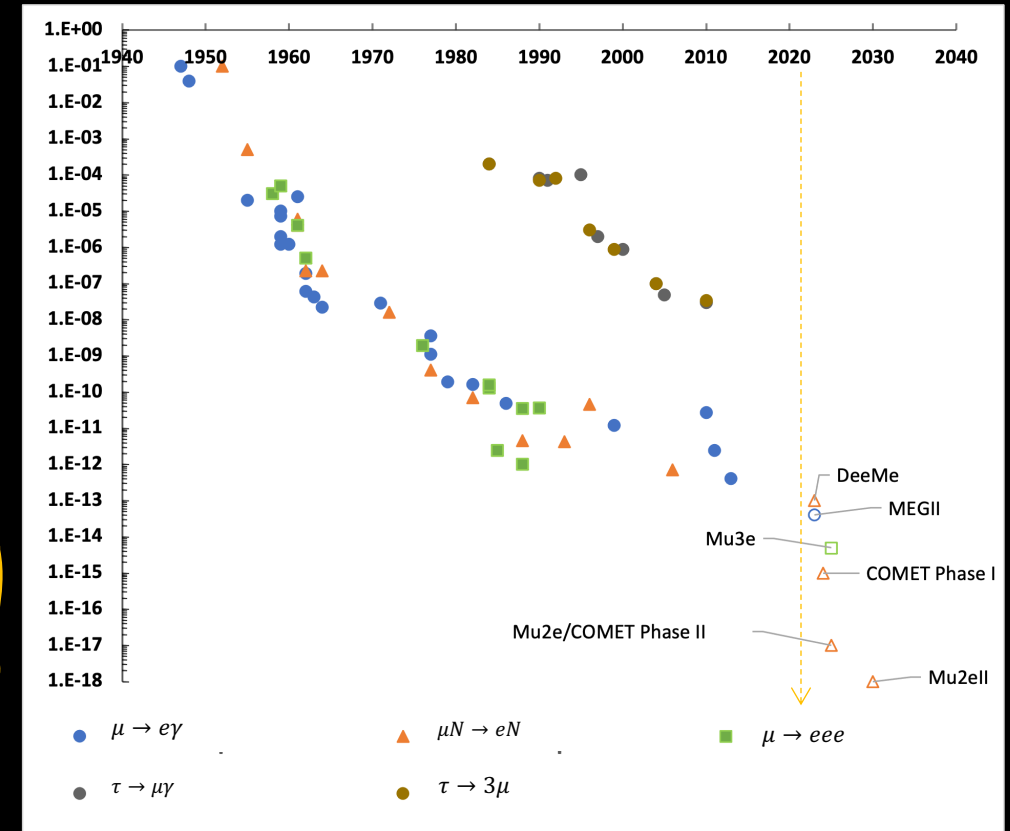


# Experimental Searches for CLFV

- $\mu^- N \rightarrow e^- N$  searches are crucial part of global program searching for CLFV.
- Muons offer more powerful probe for CLFV compared to taus.
- To elucidate the mechanism responsible for any CLFV – must look at relative rates (if any) in different muon channels.

Mode	Current Limit (at 90% CL)	Future Proposed Limit	Future Experiment/s
$\mu^\pm \rightarrow e^\pm \gamma$	$4.2 \times 10^{-13}$ [5]	$4 \times 10^{-14}$	MEG II [8]
$\mu^- N \rightarrow e^- N$	$7 \times 10^{-13}$ [6]	$10^{-15}$ $10^{-17}$ $10^{-18}$	COMET Phase-I Mu2e [10] & COMET Phase-II [9] Mu2e-II
$\mu^+ \rightarrow e^+ e^+ e^-$	$\sim 10^{-12}$ [7]	$10^{-15} \sim 10^{-16}$	Mu3e

arXiv:1307.5787 [hep-ex]  
Bernstein & Cooper



- Muon-to-electron sector provides powerful probes and complements collider searches for  $\tau \rightarrow e\gamma$  or  $\mu\gamma$  and  $H \rightarrow e\tau$ ,  $\mu\tau$ , or  $\mu e$ .



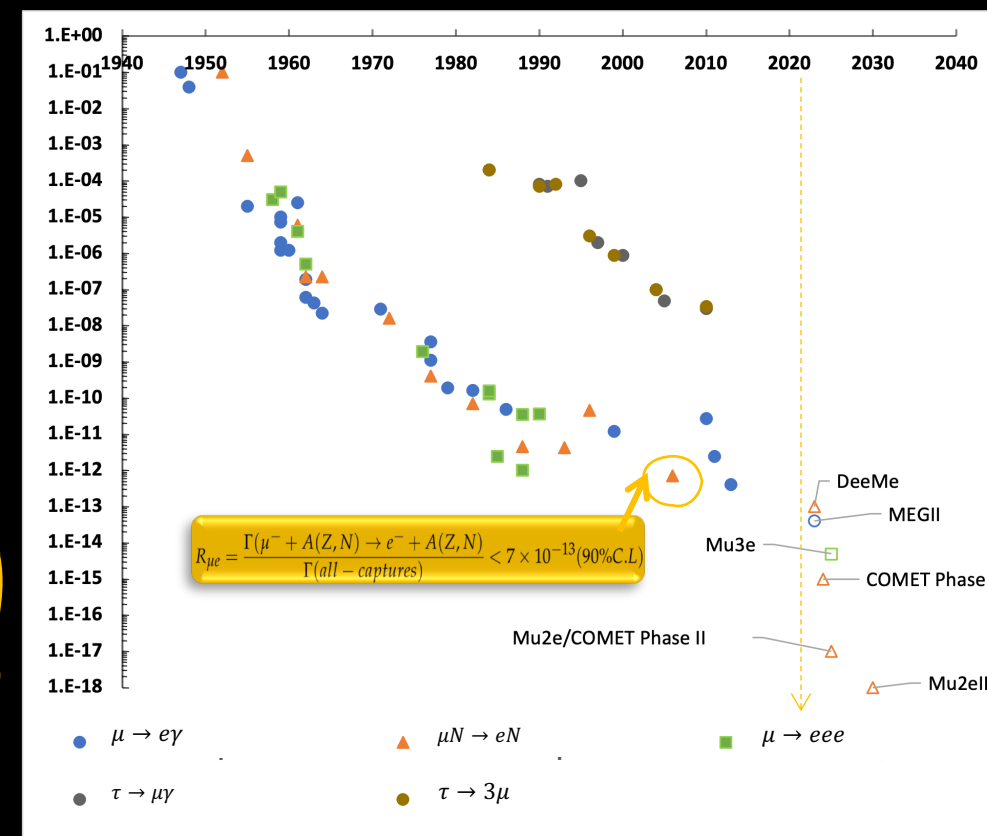
# Experimental Searches for CLFV

- $\mu^- N \rightarrow e^- N$  searches are crucial part of global program searching for CLFV.
- Muons offer more powerful probe for CLFV compared to taus.
- To elucidate the mechanism responsible for any CLFV – must look at relative rates (if any) in different muon channels.

Mode	Current Limit (at 90% CL)	Future Proposed Limit	Future Experiment/s
$\mu^\pm \rightarrow e^\pm \gamma$	$4.2 \times 10^{-13}$ [5]	$4 \times 10^{-14}$	MEG II [8]
$\mu^- N \rightarrow e^- N$	$7 \times 10^{-13}$ [6]	$10^{-15}$ $10^{-17}$ $10^{-18}$	COMET Phase-I Mu2e [10] & COMET Phase-II [9] Mu2e-II
$\mu^+ \rightarrow e^+ e^+ e^-$	$\sim 10^{-12}$ [7]	$10^{-15} \sim 10^{-16}$	Mu3e

arXiv:1307.5787 [hep-ex]

Bernstein & Cooper



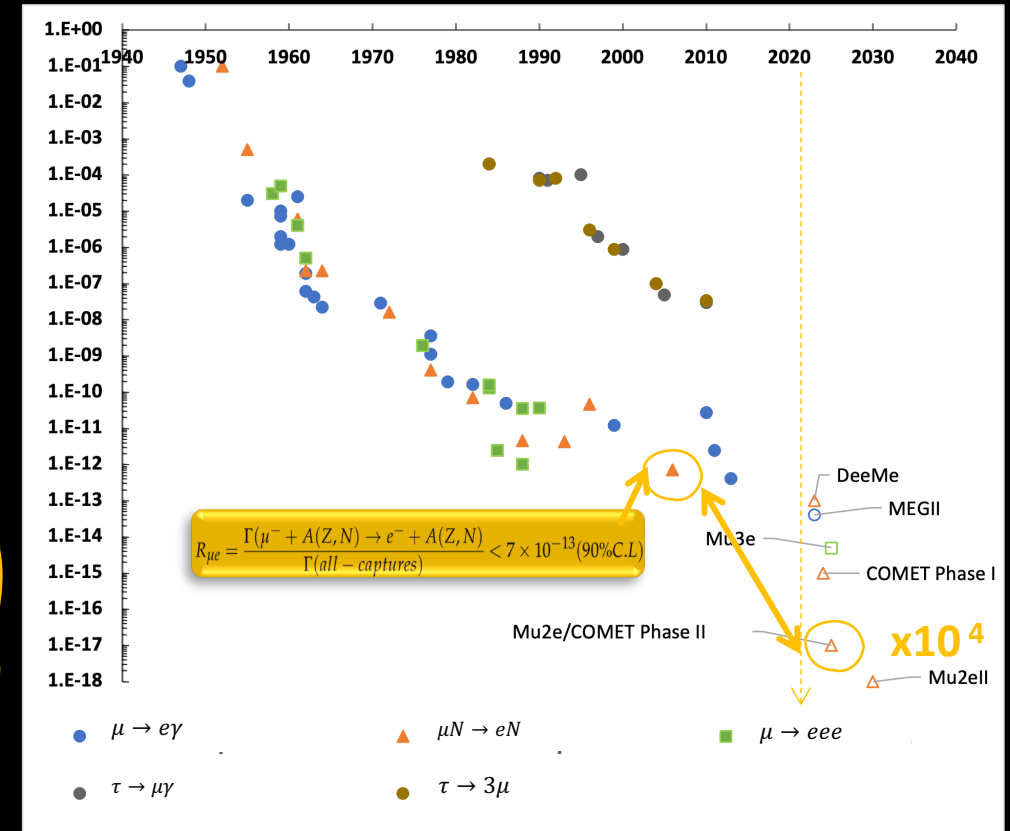
- Muon-to-electron sector provides powerful probes and complements collider searches for  $\tau \rightarrow e \gamma$  or  $\mu \gamma$  and  $H \rightarrow e \tau$ ,  $\mu \tau$ , or  $\mu e$ .

# Experimental Searches for CLFV

- $\mu^- N \rightarrow e^- N$  searches are crucial part of global program searching for CLFV.
- Muons offer more powerful probe for CLFV compared to taus.
- To elucidate the mechanism responsible for any CLFV – must look at relative rates (if any) in different muon channels.

Mode	Current Limit (at 90% CL)	Future Proposed Limit	Future Experiment/s
$\mu^\pm \rightarrow e^\pm \gamma$	$4.2 \times 10^{-13}$ [5]	$4 \times 10^{-14}$	MEG II [8]
$\mu^- N \rightarrow e^- N$	$7 \times 10^{-13}$ [6]	$10^{-15}$ $10^{-17}$ $10^{-18}$	COMET Phase-I Mu2e [10] & COMET Phase-II [9] Mu2e-II
$\mu^+ \rightarrow e^+ e^+ e^-$	$\sim 10^{-12}$ [7]	$10^{-15} \sim 10^{-16}$	Mu3e

arXiv:1307.5787 [hep-ex]  
Bernstein & Cooper



- Muon-to-electron sector provides powerful probes and complements collider searches for  $\tau \rightarrow e \gamma$  or  $\mu \gamma$  and  $H \rightarrow e \tau$ ,  $\mu \tau$ , or  $\mu e$ .

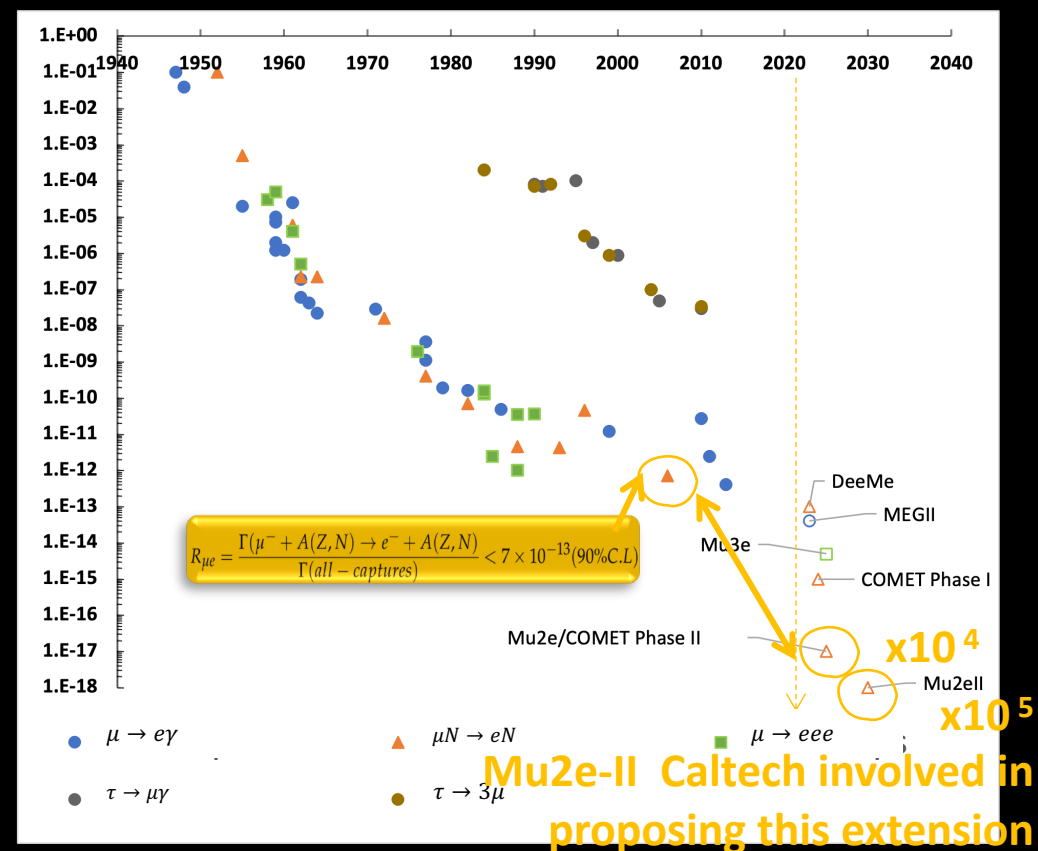
# Experimental Searches for CLFV

- $\mu^- N \rightarrow e^- N$  searches are crucial part of global program searching for CLFV.
- Muons offer more powerful probe for CLFV compared to taus.
- To elucidate the mechanism responsible for any CLFV – must look at relative rates (if any) in different muon channels.

Mode	Current Limit (at 90% CL)	Future Proposed Limit	Future Experiment/s
$\mu^\pm \rightarrow e^\pm \gamma$	$4.2 \times 10^{-13}$ [5]	$4 \times 10^{-14}$	MEG II [8]
$\mu^- N \rightarrow e^- N$	$7 \times 10^{-13}$ [6]	$10^{-15}$ $10^{-17}$ $10^{-18}$	COMET Phase-I Mu2e [10] & COMET Phase-II [9] Mu2e-II
$\mu^+ \rightarrow e^+ e^+ e^-$	$\sim 10^{-12}$ [7]	$10^{-15} \sim 10^{-16}$	Mu3e

arXiv:1307.5787 [hep-ex]

Bernstein & Cooper



- Muon-to-electron sector provides powerful probes and complements collider searches for  $\tau \rightarrow e \gamma$  or  $\mu \gamma$  and  $H \rightarrow e \tau$ ,  $\mu \tau$ , or  $\mu e$ .

# Simplistic Explanation of Physics Reach

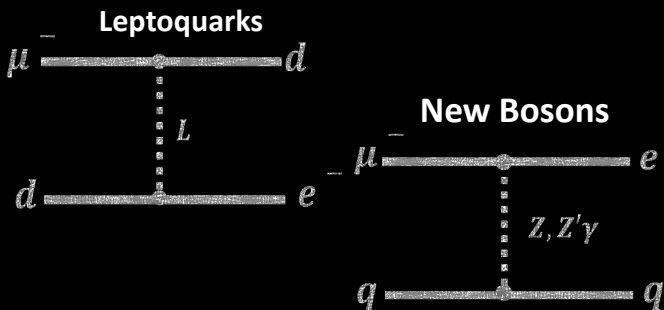
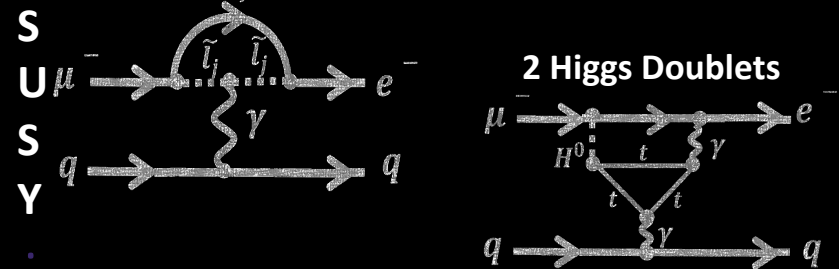
A. de Gouvêa, P. Vogel  
arXiv:1303.4097

- For the purposes of discussion we can build a Toy Lagrangian which consists of 2 terms representing 2 types of physics process:

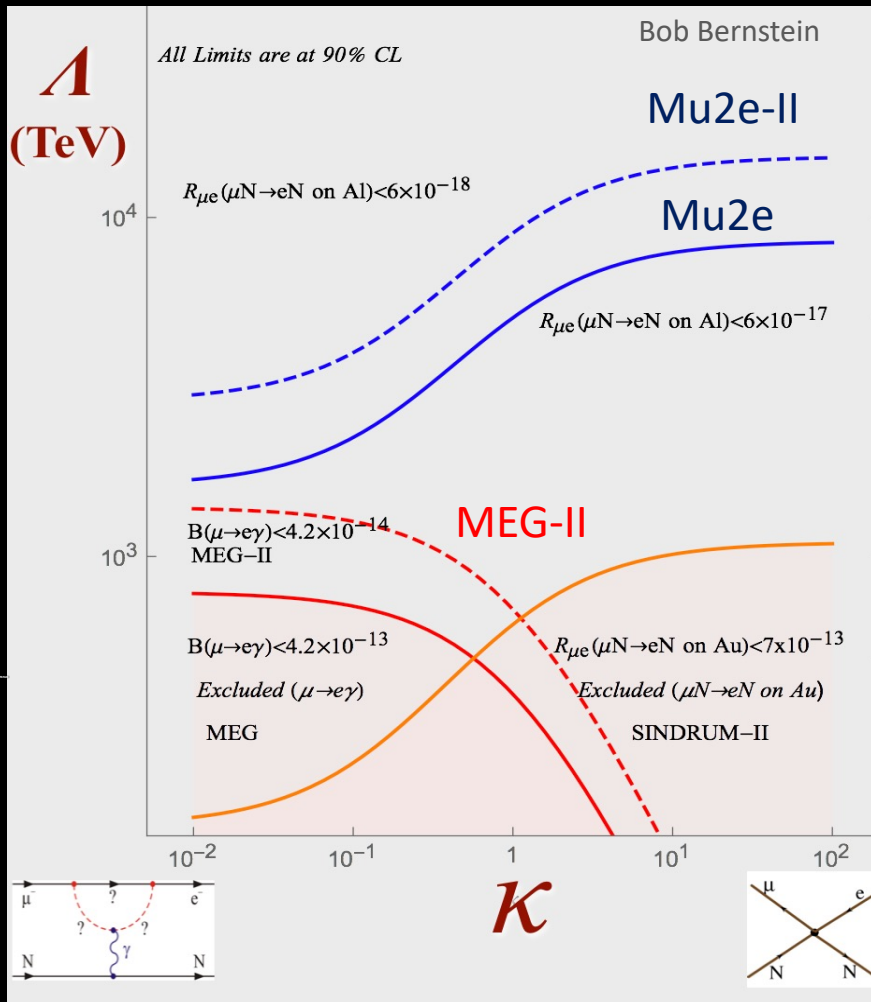
$$\mathcal{L}_{CLFV} = \frac{m_\mu}{(1 + \kappa)\Lambda^2} \bar{\mu}_R \sigma_{\mu\nu} e_L F^{\mu\nu} + \frac{\kappa}{(1 + \kappa)\Lambda^2} \bar{\mu}_L \gamma_\mu e_L \left( \sum_{q=u,d} \bar{q}_L \gamma_\mu q_L \right)$$

**“Photonic”**  
i.e. Dipole terms:  
 $\mu^\pm \rightarrow e^\pm \gamma, \mu \rightarrow eee$   
 $\mu^- N \rightarrow e^- N$

**“Contact”**  
i.e. 4 fermion terms  
Only  $\mu^+ \rightarrow e^+ e^+ e^-$   
And  $\mu^- N \rightarrow e^- N$



$\Lambda$  : effective mass scale of New Physics (NP),  
 $\kappa$  : determines to what extent NP is photonic ( $\kappa \ll 1$ ) or 4-fermion ( $\kappa \gg 1$ )



# Complementarity

Taken from: arXiv:0909.1333[hep-ph]

AC = an abelian flavor model by Agashe and Carone  
 RVV2 = non-abelian Ross and collaborators (RVV)  
 AKM = the AKM model predicts a CKM-like RH current  
 FBMSSM = flavor blind MSSM  
 LHT = Littlest Higgs  
 RS = Randel-Sundrum

Table 8: "DNA" of flavour physics effects for the most interesting observables in a selection of SUSY and non-SUSY models ★★★ signals large effects, ★★ visible but small effects and ★ implies that the given model does not predict sizable effects in that observable.

	AC	RVV2	AKM	$\delta$ LL	FBMSSM	LHT	RS
$D^0 - \bar{D}^0$	★★★	★	★	★	★	★★★	?
$\epsilon_K$	★	★★★	★★★	★	★	★★	★★★
$S_{\psi\phi}$	★★★	★★★	★★★	★	★	★★★	★★★
$S_{\phi K_S}$	★★★	★★	★	★★★	★★★	★	?
$A_{CP}(B \rightarrow X_s \gamma)$	★	★	★	★★★	★★★	★	?
$A_{7,8}(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★★★	★★★	★★	?
$A_9(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★	★	★	?
$B \rightarrow K^{(*)} \nu \bar{\nu}$	★	★	★	★	★	★	★
$B_s \rightarrow \mu^+ \mu^-$	★★★	★★★	★★★	★★★	★★★	★	★
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$\mu \rightarrow e \gamma$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
$\tau \rightarrow \mu \gamma$	★★★	★★★	★	★★★	★★★	★★★	★★★
$\mu + N \rightarrow e + N$	★★★	★★★	★★★	★★★	★★★	★★★	★★★

★★★ = Discovery Sensitivity



# Complementarity

Taken from: arXiv:0909.1333[hep-ph]

AC = an abelian flavor model by Agashe and Carone  
 RVV2 = non-abelian Ross and collaborators (RVV)  
 AKM = the AKM model predicts a CKM-like RH current  
 FBMSSM = flavor blind MSSM  
 LHT = Littlest Higgs  
 RS = Randel-Sundrum

Table 8: "DNA" of flavour physics effects for the most interesting observables in a selection of SUSY and non-SUSY models ★★★ signals large effects, ★★ visible but small effects and ★ implies that the given model does not predict sizable effects in that observable.

Discovery sensitivity across the board.  
 Relative Rates however will be model dependent.

	AC	RVV2	AKM	$\delta$ LL	FBMSSM	LHT	RS
$D^0 - \bar{D}^0$	★★★	★	★	★	★	★★★	?
$\epsilon_K$	★	★★★	★★★	★	★	★★	★★★
$S_{\psi\phi}$	★★★	★★★	★★★	★	★	★★★	★★★
$S_{\phi K_S}$	★★★	★★	★	★★★	★★★	★	?
$A_{CP}(B \rightarrow X_s \gamma)$	★	★	★	★★★	★★★	★	?
$A_{7,8}(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★★★	★★★	★★	?
$A_9(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★	★	★	?
$B \rightarrow K^{(*)} \nu \bar{\nu}$	★	★	★	★	★	★	★
$B_s \rightarrow \mu^+ \mu^-$	★★★	★★★	★★★	★★★	★★★	★	★
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$\mu \rightarrow e \gamma$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
$\tau \rightarrow \mu \gamma$	★★★	★★★	★	★★★	★★★	★★★	★★★
$\mu + N \rightarrow e + N$	★★★	★★★	★★★	★★★	★★★	★★★	★★★

★★★ = Discovery Sensitivity

# Complementarity

Taken from: arXiv:0909.1333[hep-ph]

AC = an abelian flavor model by Agashe and Carone  
 RVV2 = non-abelian Ross and collaborators (RVV)  
 AKM = the AKM model predicts a CKM-like RH current  
 FBMSSM = flavor blind MSSM  
 LHT = Littlest Higgs  
 RS = Randel-Sundrum

Table 8: “DNA” of flavour physics effects for the most interesting observables in a selection of SUSY and non-SUSY models ★★★ signals large effects, ★★ visible but small effects and ★ implies that the given model does not predict sizable effects in that observable.

Discovery sensitivity across the board.  
 Relative Rates however will be model dependent.

Model	$\mu \rightarrow eee$	$\mu N \rightarrow eN$	$\frac{\text{BR}(\mu \rightarrow eee)}{\text{BR}(\mu \rightarrow e\gamma)}$	$\frac{\text{CR}(\mu N \rightarrow eN)}{\text{BR}(\mu \rightarrow e\gamma)}$
MSSM	Loop	Loop	$\approx 6 \times 10^{-3}$	$10^{-3} - 10^{-2}$
Type-I seesaw	Loop*	Loop*	$3 \times 10^{-3} - 0.3$	$0.1 - 10$
Type-II seesaw	Tree	Loop	$(0.1 - 3) \times 10^3$	$\mathcal{O}(10^{-2})$
Type-III seesaw	Tree	Tree	$\approx 10^3$	$\mathcal{O}(10^3)$
LFV Higgs	Loop <sup>†</sup>	Loop* <sup>†</sup>	$\approx 10^{-2}$	$\mathcal{O}(0.1)$
Composite Higgs	Loop*	Loop*	$0.05 - 0.5$	$2 - 20$

from L. Calibbi and G. Signorelli, Riv. Nuovo Cimento, 41 (2018) 71

	AC	RVV2	AKM	$\delta$ LL	FBMSSM	LHT	RS
$D^0 - \bar{D}^0$	★★★	★	★	★	★	★★★	?
$\epsilon_K$	★	★★★	★★★	★	★	★★	★★★
$S_{\psi\phi}$	★★★	★★★	★★★	★	★	★★★	★★★
$S_{\phi K_S}$	★★★	★★	★	★★★	★★★	★	?
$A_{CP}(B \rightarrow X_s \gamma)$	★	★	★	★★★	★★★	★	?
$A_{7,8}(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★★★	★★★	★★	?
$A_9(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★	★	★	?
$B \rightarrow K^{(*)} \nu \bar{\nu}$	★	★	★	★	★	★	★
$B_s \rightarrow \mu^+ \mu^-$	★★★	★★★	★★★	★★★	★★★	★	★
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$\mu \rightarrow e \gamma$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
$\tau \rightarrow \mu \gamma$	★★★	★★★	★	★★★	★★★	★★★	★★★
$\mu + N \rightarrow e + N$	★★★	★★★	★★★	★★★	★★★	★★★	★★★

★★★ = Discovery Sensitivity

# Complementarity

Taken from: arXiv:0909.1333[hep-ph]

AC = an abelian flavor model by Agashe and Carone  
 RVV2 = non-abelian Ross and collaborators (RVV)  
 AKM = the AKM model predicts a CKM-like RH current  
 FBMSSM = flavor blind MSSM  
 LHT = Littlest Higgs  
 RS = Randel-Sundrum

Table 8: “DNA” of flavour physics effects for the most interesting observables in a selection of SUSY and non-SUSY models ★★★ signals large effects, ★★ visible but small effects and ★ implies that the given model does not predict sizable effects in that observable.

Discovery sensitivity across the board.  
 Relative Rates however will be model dependent.

Theory on complementarity →  
<http://arxiv.org/abs/2010.00317v2>

Model	$\mu \rightarrow eee$	$\mu N \rightarrow eN$	$\frac{\text{BR}(\mu \rightarrow eee)}{\text{BR}(\mu \rightarrow e\gamma)}$	$\frac{\text{CR}(\mu N \rightarrow eN)}{\text{BR}(\mu \rightarrow e\gamma)}$
MSSM	Loop	Loop	$\approx 6 \times 10^{-3}$	$10^{-3} - 10^{-2}$
Type-I seesaw	Loop*	Loop*	$3 \times 10^{-3} - 0.3$	0.1–10
Type-II seesaw	Tree	Loop	$(0.1 - 3) \times 10^3$	$\mathcal{O}(10^{-2})$
Type-III seesaw	Tree	Tree	$\approx 10^3$	$\mathcal{O}(10^3)$
LFV Higgs	Loop†	Loop*†	$\approx 10^{-2}$	$\mathcal{O}(0.1)$
Composite Higgs	Loop*	Loop*	0.05 – 0.5	2 – 20

from L. Calibbi and G. Signorelli, Riv. Nuovo Cimento, 41 (2018) 71

arXiv:1709.00294v2[hep-ph]

★★★ = Discovery Sensitivity

	AC	RVV2	AKM	$\delta$ LL	FBMSSM	LHT	RS
$D^0 - \bar{D}^0$	★★★	★	★	★	★	★★★	?
$\epsilon_K$	★	★★★	★★★	★	★	★★	★★★
$S_{\psi\phi}$	★★★	★★★	★★★	★	★	★★★	★★★
$S_{\phi K_S}$	★★★	★★	★	★★★	★★★	★	?
$A_{CP}(B \rightarrow X_s \gamma)$	★	★	★	★★★	★★★	★	?
$A_{7,8}(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★★★	★★★	★★	?
$A_9(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★	★	★	?
$B \rightarrow K^{(*)} \nu \bar{\nu}$	★	★	★	★	★	★	★
$B_s \rightarrow \mu^+ \mu^-$	★★★	★★★	★★★	★★★	★★★	★	★
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$\mu \rightarrow e \gamma$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
$\tau \rightarrow \mu \gamma$	★★★	★★★	★	★★★	★★★	★★★	★★★
$\mu + N \rightarrow e + N$	★★★	★★★	★★★	★★★	★★★	★★★	★★★

# Complementarity in Target Materials

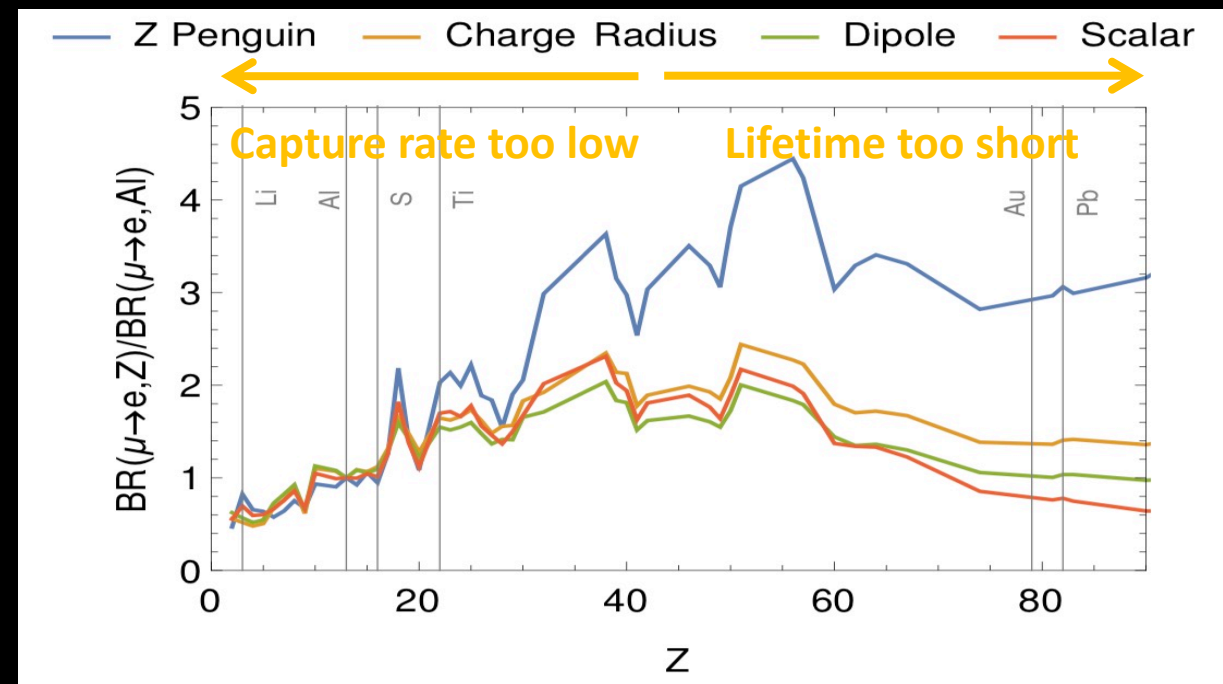
$$\text{BR}(\mu \rightarrow e) \propto |\text{DC}_{\text{DL}} + S^p C_{S,L}^p + V^p C_{V,R}^p + S^n C_{S,L}^n + V^n C_{V,R}^n|^2 + (\text{L} \leftrightarrow \text{R})$$

	S	D	V <sup>1</sup>	V <sup>2</sup>
$\frac{B(\mu \rightarrow e, \text{Ti})}{B(\mu \rightarrow e, \text{Al})}$	$1.70 \pm 0.005_y$	1.55	1.65	2.0
$\frac{B(\mu \rightarrow e, \text{Pb})}{B(\mu \rightarrow e, \text{Al})}$	$0.69 \pm 0.02_{\rho_n}$	1.04	1.41	$2.67 \pm 0.06_{\rho_n}$

$y$  = nuclear scalar form factor,  $\rho_n$  = nuclear neutron density

If we do see a signal in Al at Mu2e, what can we do?:

- Various operator coefficients add coherently in the amplitude.
  - Weighted by nucleus-dependent functions.
- Requires measurements of conversion rate in other target materials!
- Need to choose a target which is sensitive to directions Al is “blind” to

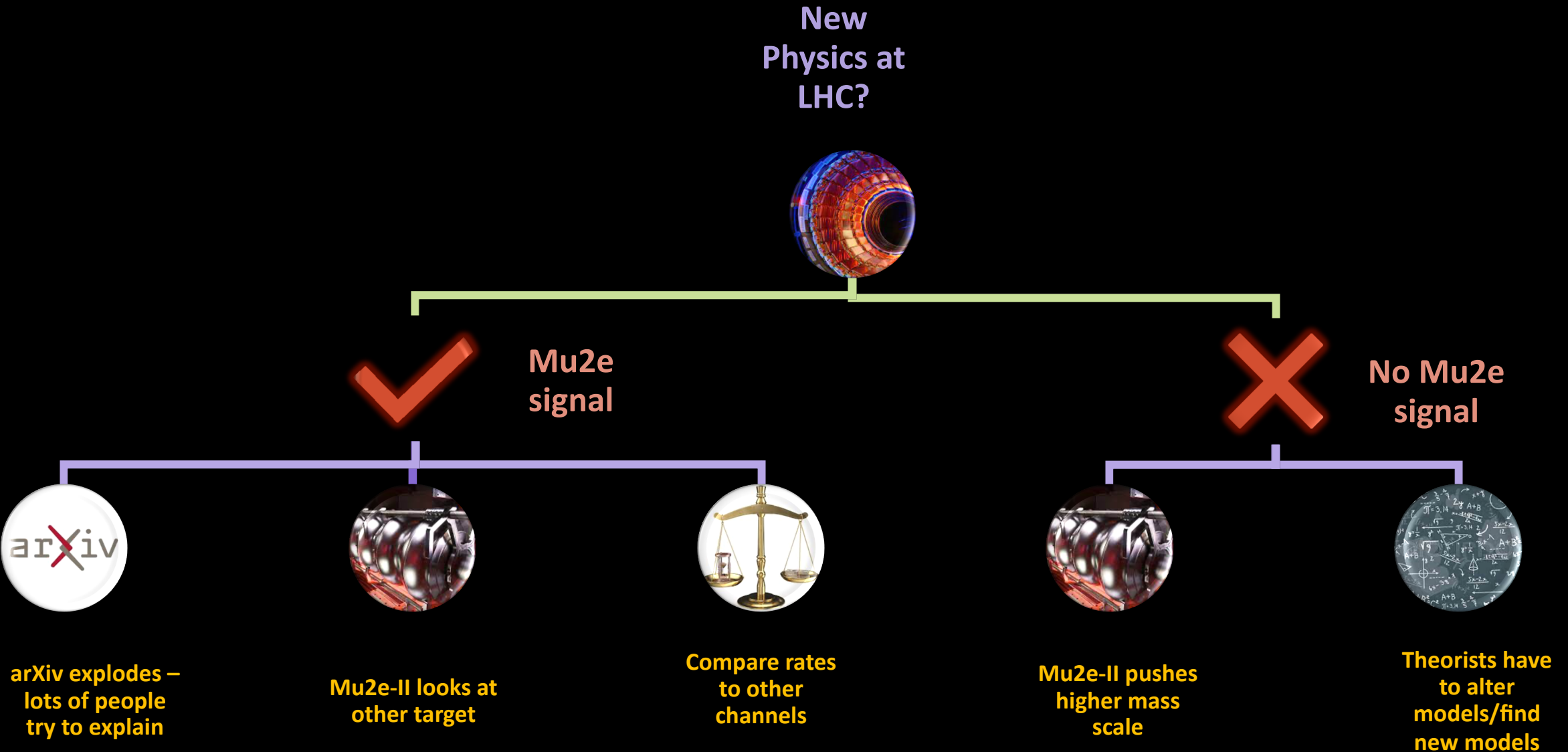


This is something we need to think about for Mu2e-II – our extended program (see final slide).

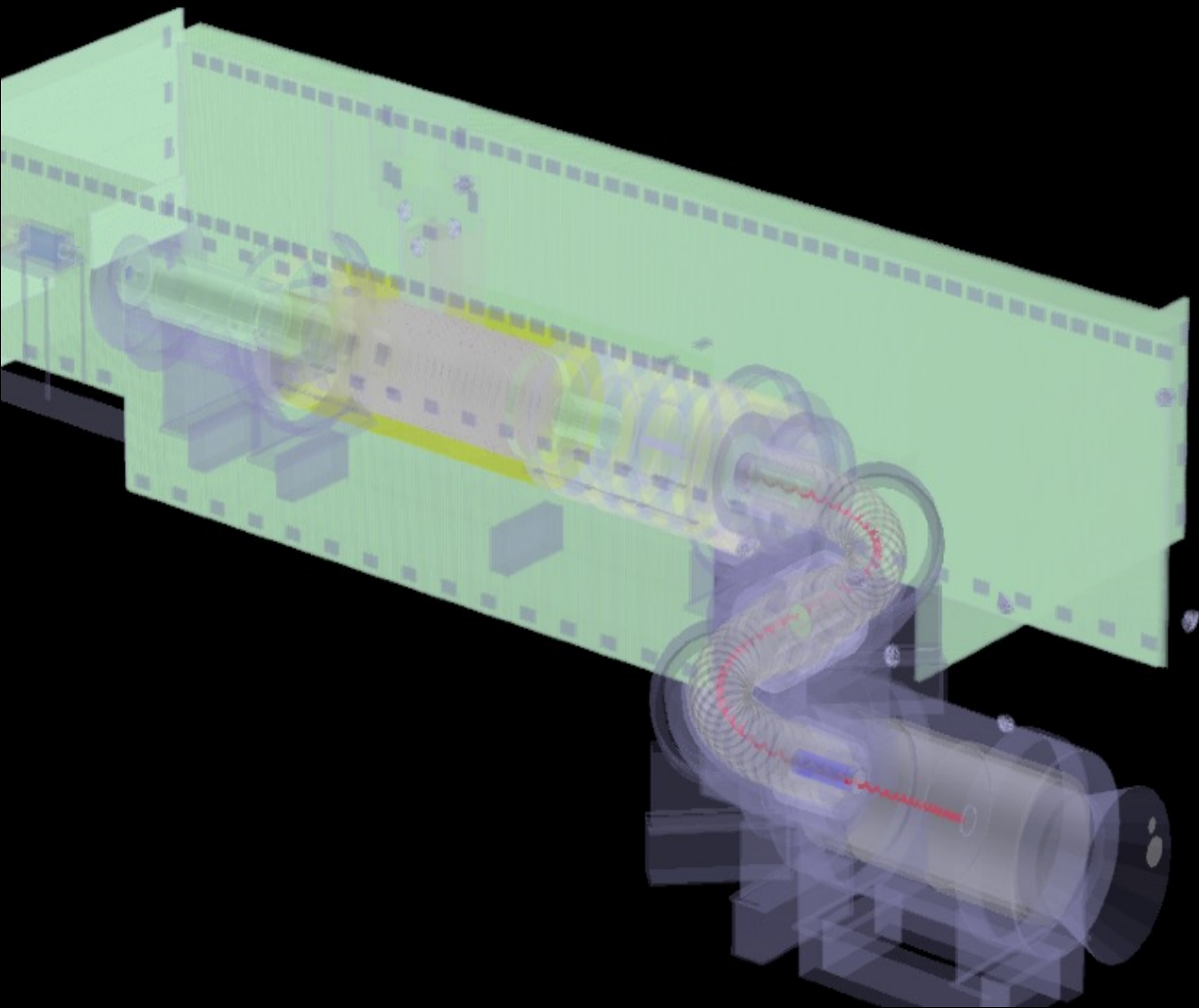
V. Cirigliano, S. Davidson, Y. Kuno, *Phys. Lett. B* 771 (2017) 242  
 S. Davidson, Y. Kuno, A. Saporta, *Eur. Phys. J. C* 78 (2018) 109  
 Kitano et al 2002  
Coming Soon: Borrel, Hitlin & Middleton → Caltech Group



# Possibilities







# Experimental Strategy

How will Mu2e try to measure this process?

# Muonic Atoms

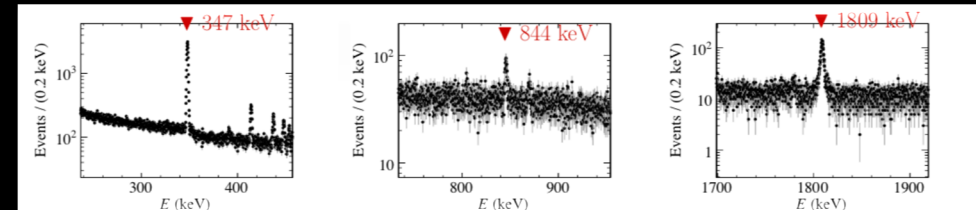
- The  $\mu \rightarrow e$  conversion rate is measured as a ratio to the muon capture rate on the same nucleus:

$$R_{\mu e} = \frac{\Gamma(\mu^- + A(Z, N) \rightarrow e^- + A(Z, N))}{\Gamma(\text{all-captures})}$$

- Low momentum (-) muons are captured in the target atomic orbit and quickly ( $\sim$ fs) cascades to 1s state.
- Lifetime of muonic aluminium = 864 ns
- In aluminum:

**Normalization = from X-rays emitted when muon stops in Al.**

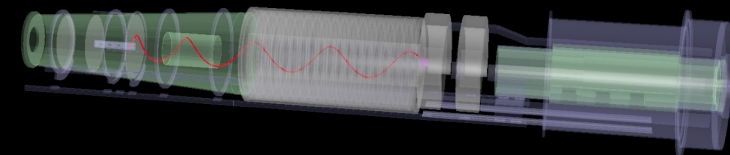
- 39 % Decay :**  $\mu + N \rightarrow e + \bar{\nu}_e + \nu_\mu$  (**Background**)
- 61 % Capture :**  $\mu + N \rightarrow \nu_\mu + N'$  (**Normalization**)
- The Signal :**  $\mu + N \rightarrow e + N$  (**Conversion**)



- Signal is monoenergetic electron consistent with:

$$E_e = m_\mu - E_{recoil} - E_{1S B.E.}, \text{ e.g For Al: } E_e = 104.97 \text{ MeV.}$$

- Will be smeared by detector and stopping target effects.
- Nucleus coherently recoils off outgoing electron; it does not break-up!



Mu2e gets 8kW, 8GeV Protons from the Fermilab booster:

- Mu2e will acquire  $\vartheta$  ( $10^{20}$ ) Protons on Target to achieve design goal



- 8GeV Protons produced in the Booster in 2 batches, each of  $4 \times 10^{12}$  protons and injected into the Recycler Ring via the MI-8 beamline;
- A new bunch formation is performed using a RF manipulation sequence and bunches are synchronously transferred to the Delivery Ring at a rate of 2.5MHz;
- A resonant extraction system injects pulses of  $3.9 \times 10^7$  protons into the Mu2e beam-line with the pulse spacing of 1695 ns.

More info. on RF cavities:

<https://home.cern/science/engineering/accelerating-radiofrequency-cavities>

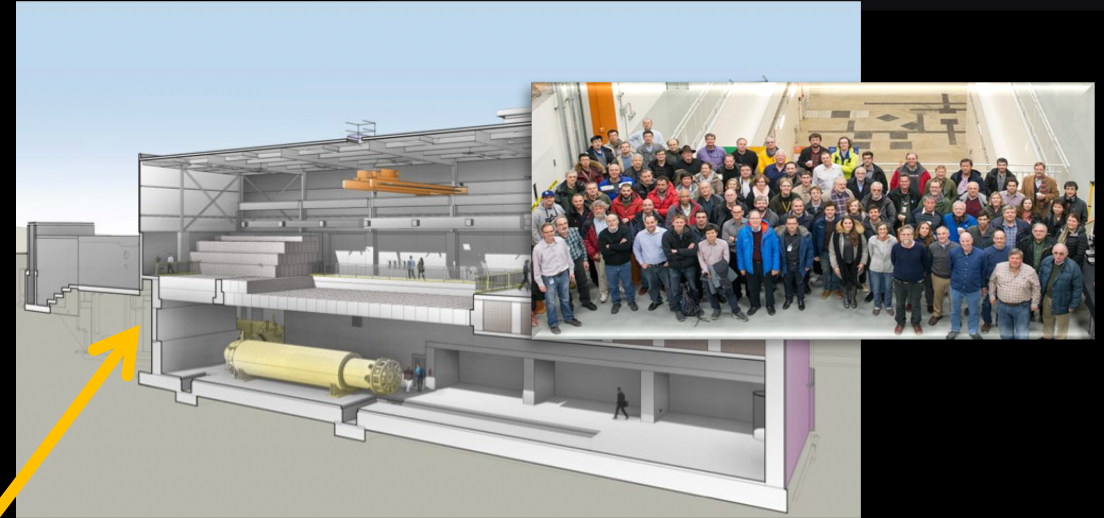


Mu2e gets 8kW, 8GeV Protons from the Fermilab booster:

- Mu2e will acquire  $\vartheta$  ( $10^{20}$ ) Protons on Target to achieve design goal



Mu2e building:

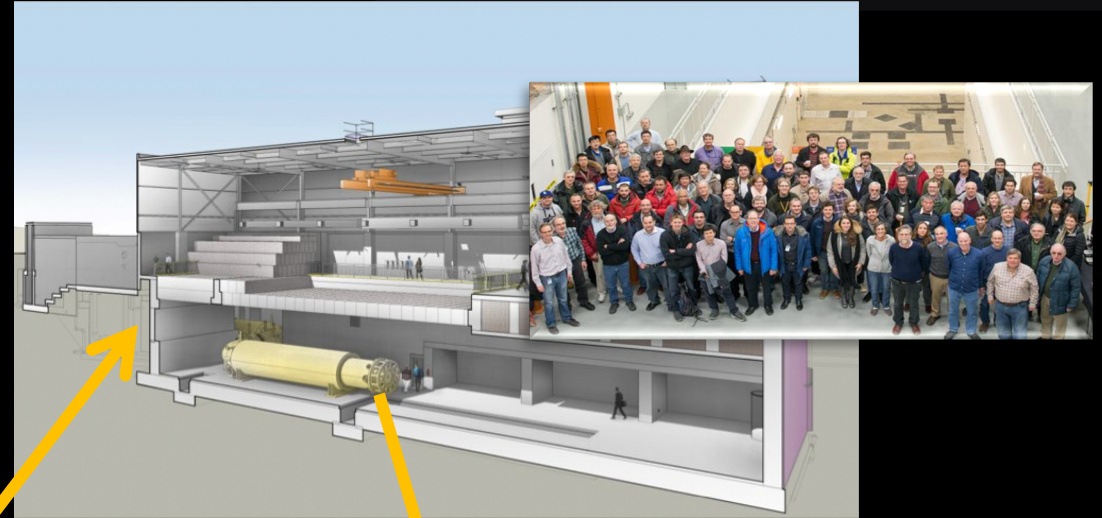


Mu2e gets 8kW, 8GeV Protons from the Fermilab booster:

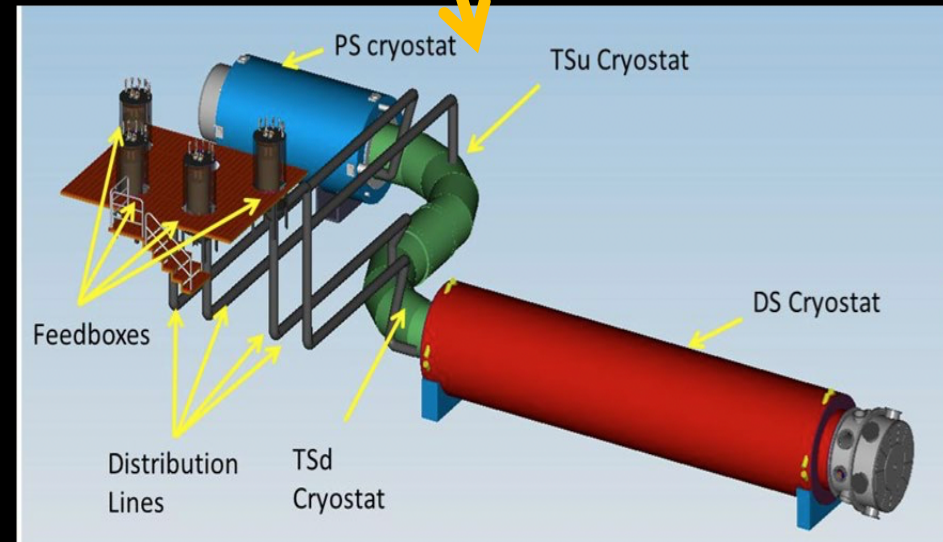
- Mu2e will acquire  $\vartheta$  ( $10^{20}$ ) Protons on Target to achieve design goal



Mu2e building:



Mu2e:





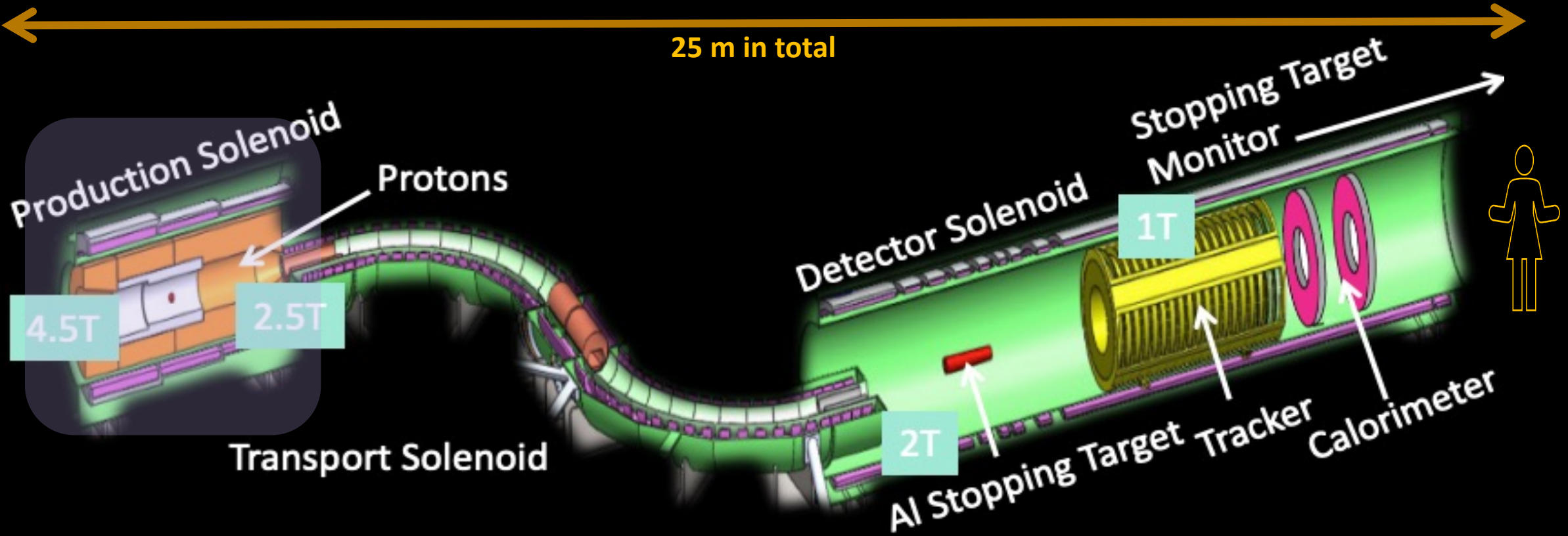
# The Mu2e Experiment

V. Lobashev & R. Djilkibaev (Sov. J. Nucl. Phys. 49(2), 384 (1989))

## Production Solenoid:

- 8 GeV Protons enter, pions produced, decay to muons
- Graded magnetic field reflects pions/muons to transport solenoid

25 m in total



# The Mu2e Experiment

V. Lobashev & R. Djilkibaev (Sov. J. Nucl. Phys. 49(2), 384 (1989))

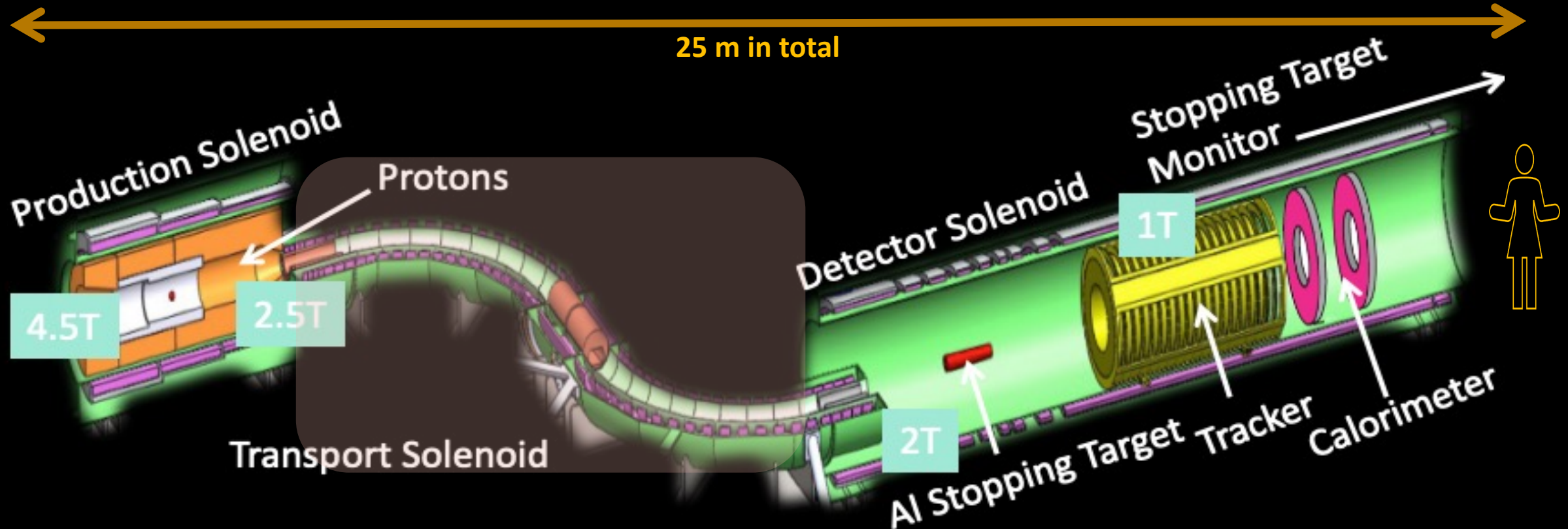
## Production Solenoid:

- 8 GeV Protons enter, pions produced, decay to muons
- Graded magnetic field reflects pions/muons to transport solenoid

## Transport Solenoid:

- “S” shape removes line of sight backgrounds
- Windows remove anti-protons
- Collimators help select low momentum, negative muons and “focus” on detector solenoid aperture.

25 m in total



# The Mu2e Experiment

V. Lobashev & R. Djilkibaev (Sov. J. Nucl. Phys. 49(2), 384 (1989))

## Production Solenoid:

- 8 GeV Protons enter, pions produced, decay to muons
- Graded magnetic field reflects pions/muons to transport solenoid

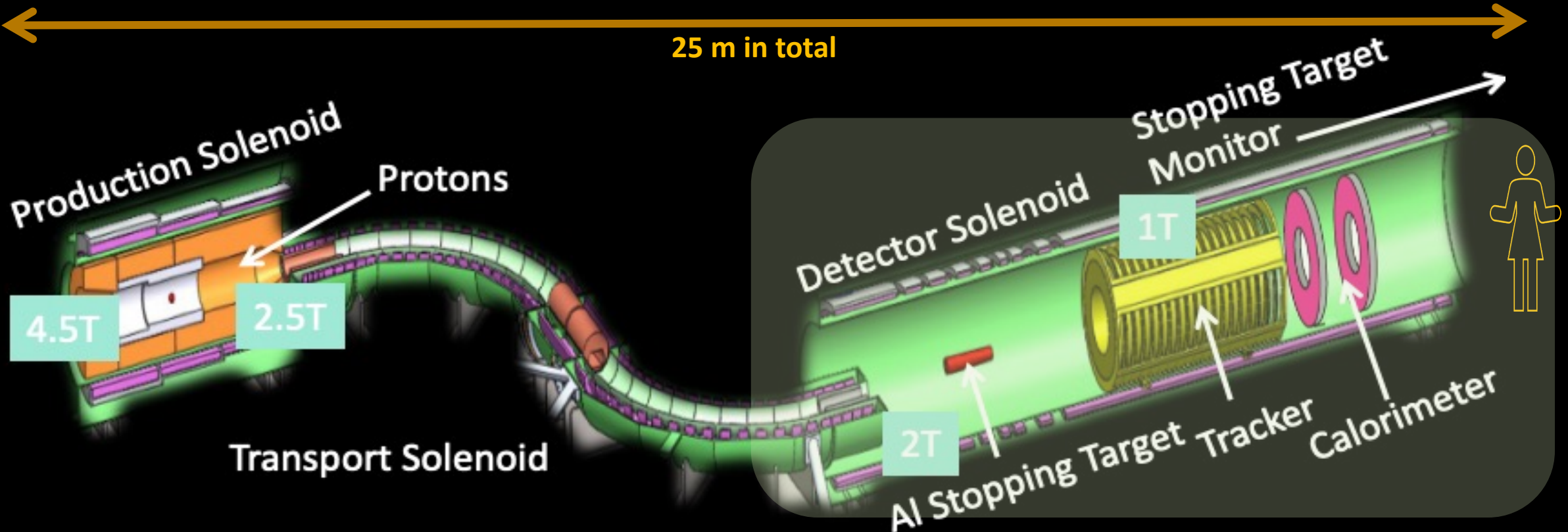
## Transport Solenoid:

- “S” shape removes line of sight backgrounds
- Windows remove anti-protons
- Collimators help select low momentum, negative muons and “focus” on detector solenoid aperture.

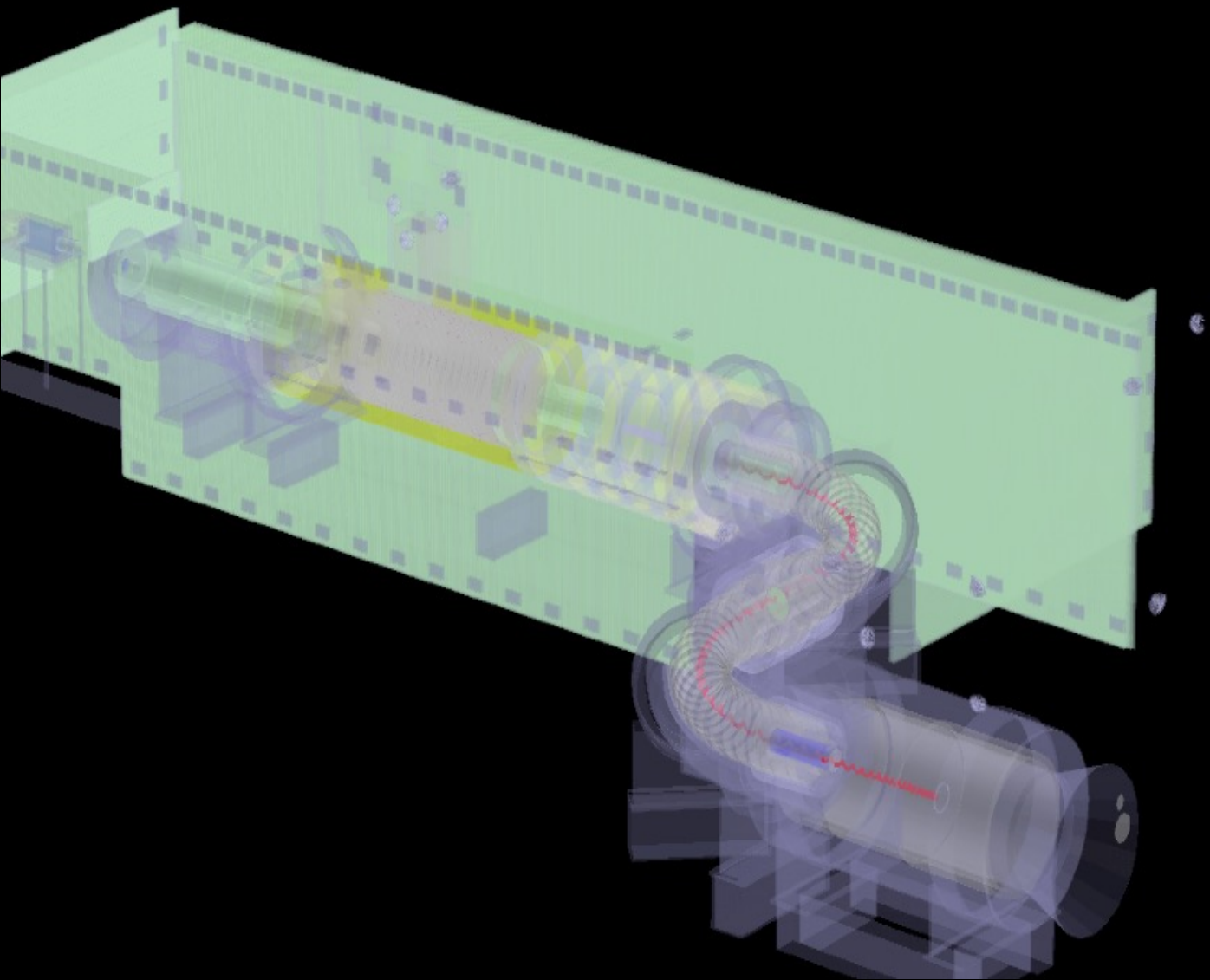
## Detector Solenoid:

- Al Stopping Target made of thin foils captures the muons
- Graded magnetic field “focusses” electrons on tracker
- Straw tracker and calorimeter measure momentum

25 m in total







# Beamline & Solenoids: Status

What is the current status of the beamline components?

# Beamline: Status

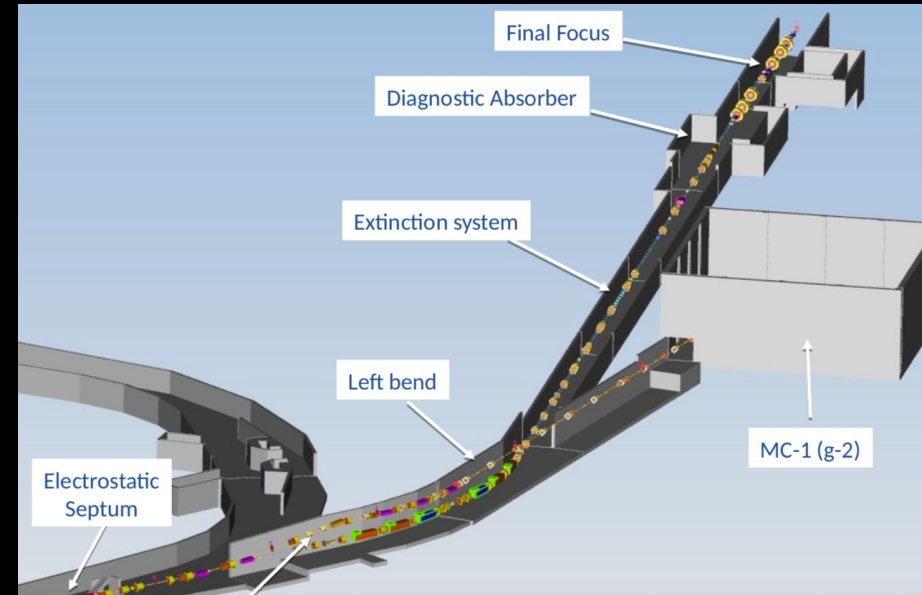
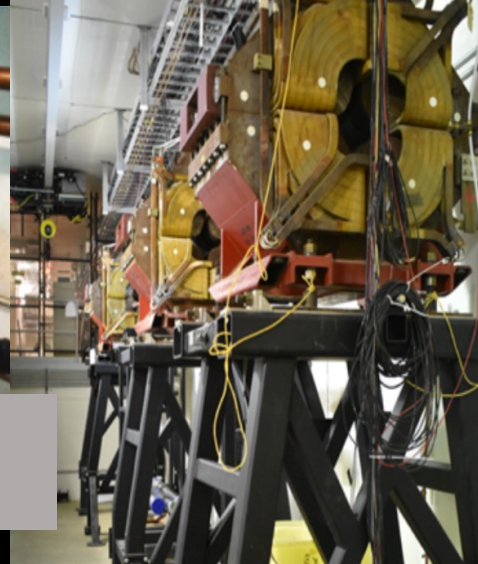


Magnets installed along M4 beamline



Quadrupole in Delivery Ring used for resonant extraction

Final Focus magnets are in place



The beamline installation is almost complete:

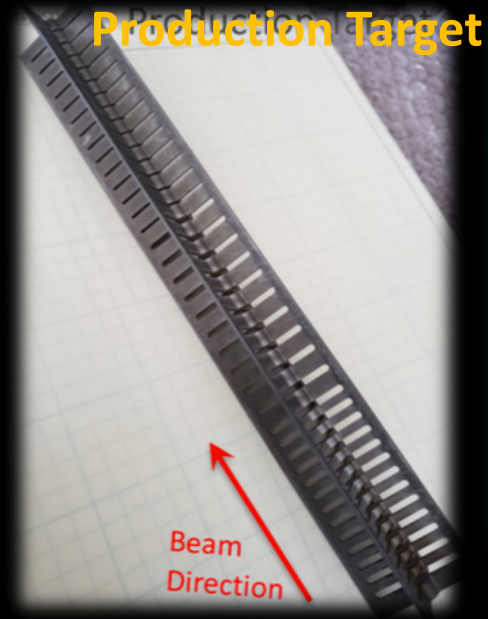
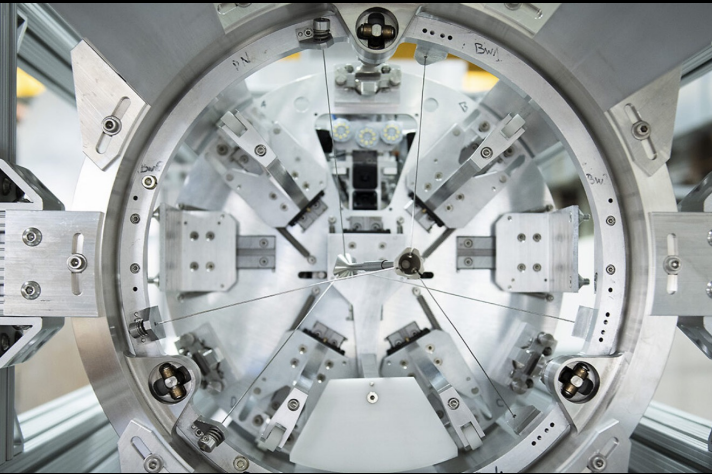
- Vacuum System installed
- Instrumentation upstream of diagnostic absorber in progress



# Production Target: Status

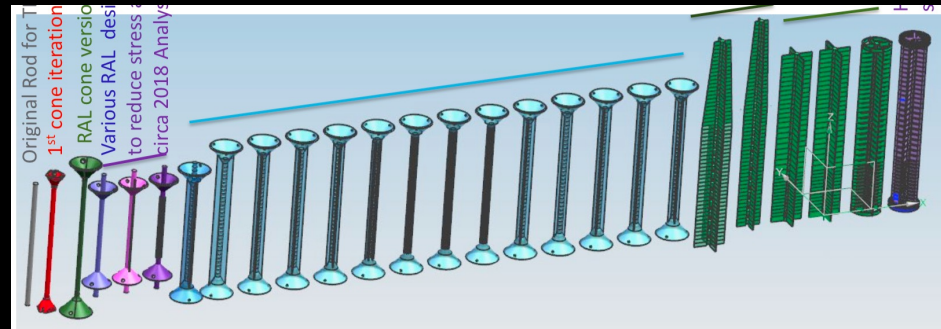
- Made of tungsten, completed in April 2021 - 10% of beam power into the target ,
- Heats up to 1700 °C (~3100 F),
- Production Solenoid must be radiatively cooled,
- Average power density ~150 MW/m<sup>3</sup>

## Production Target & Frame



## Production Target in support

## Many iterations



<https://www.symmetrymagazine.org/article/a-robot-ballet>

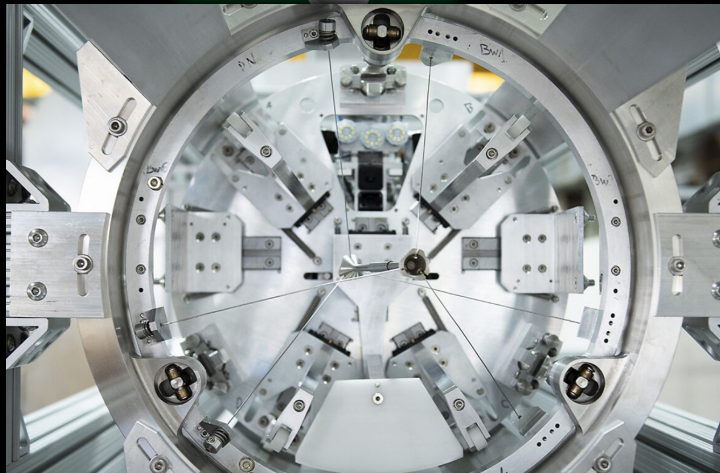
Nice video of robotic extraction of target

Searching for Charge Lepton Flavor Violation - Sophie Middleton -  
smidd@caltech.edu

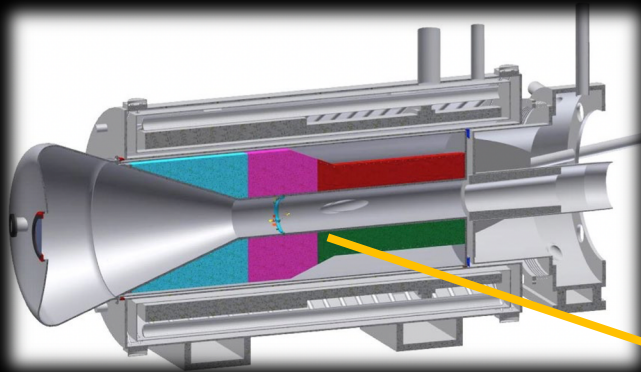
8 February 2022

38

# Production Solenoid: Status



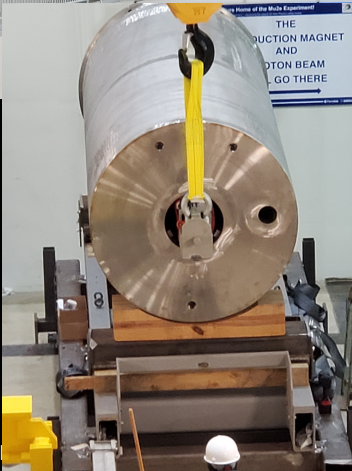
Production Target & Frame



All 3 coils fabricated, under-going tests at vendor



Heat & Radiation Shield



8 Feb

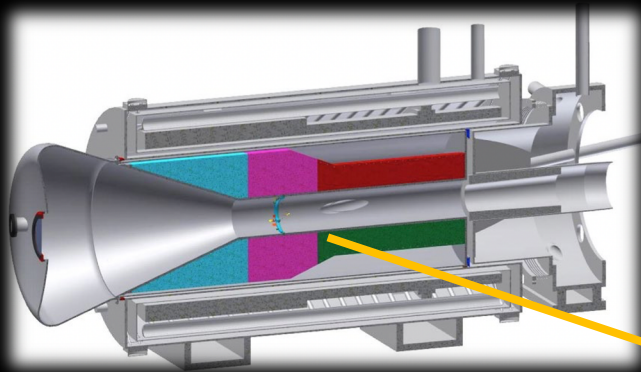
<https://www.symmetrymagazine.org/article/a-robot-ballet>

Nice video of robotic extraction of target

Searching for Charge Lepton Flavor Violation - Sophie Middleton -  
smidd@caltech.edu

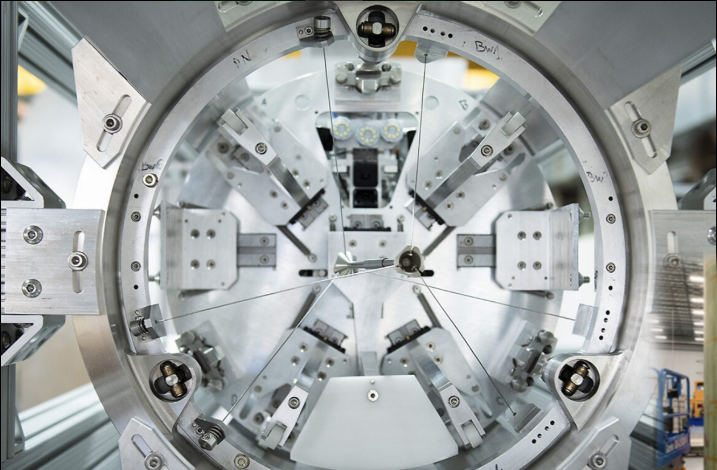


# Production Solenoid: Status

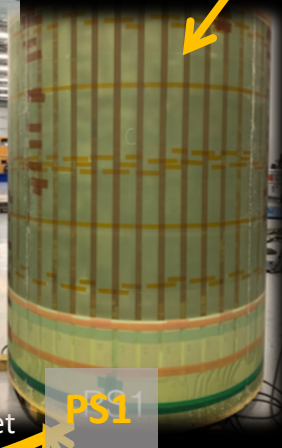


Heat & Radiation Shield

All 3 coils fabricated, under-going tests at vendor.  
Cold mass assembly imminent.



Production Target & Frame



PS1



PS2 inserted into shell



PS3



8 Feb

<https://www.symmetrymagazine.org/article/a-robot-ballet>

Nice video of robotic extraction of target

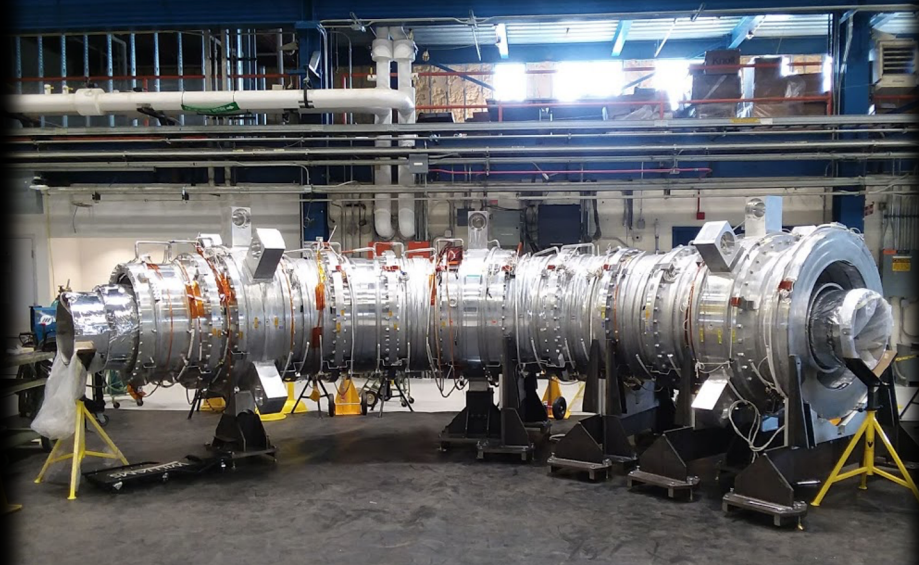
Searching for Charge Lepton Flavor Violation - Sophie Middleton -  
smidd@caltech.edu



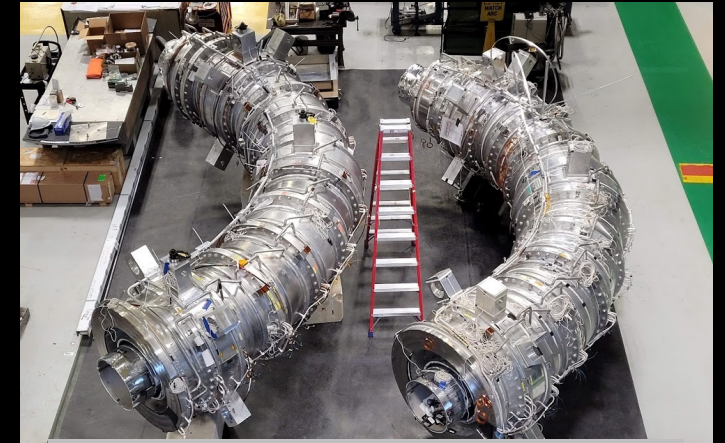
# Transport Solenoid: Status



Thermal shield shown next to TSu



TS coldmass at Fermilab awaiting final tests.



Both TSu and TSd are at FNAL

- All coils of the TS are now at Fermilab.
- TSu and TSd cold-masses assembled.
- Testing almost complete
- Outer thermal shield will be split and re-assembled around the TSu cold-mass alongside in image.

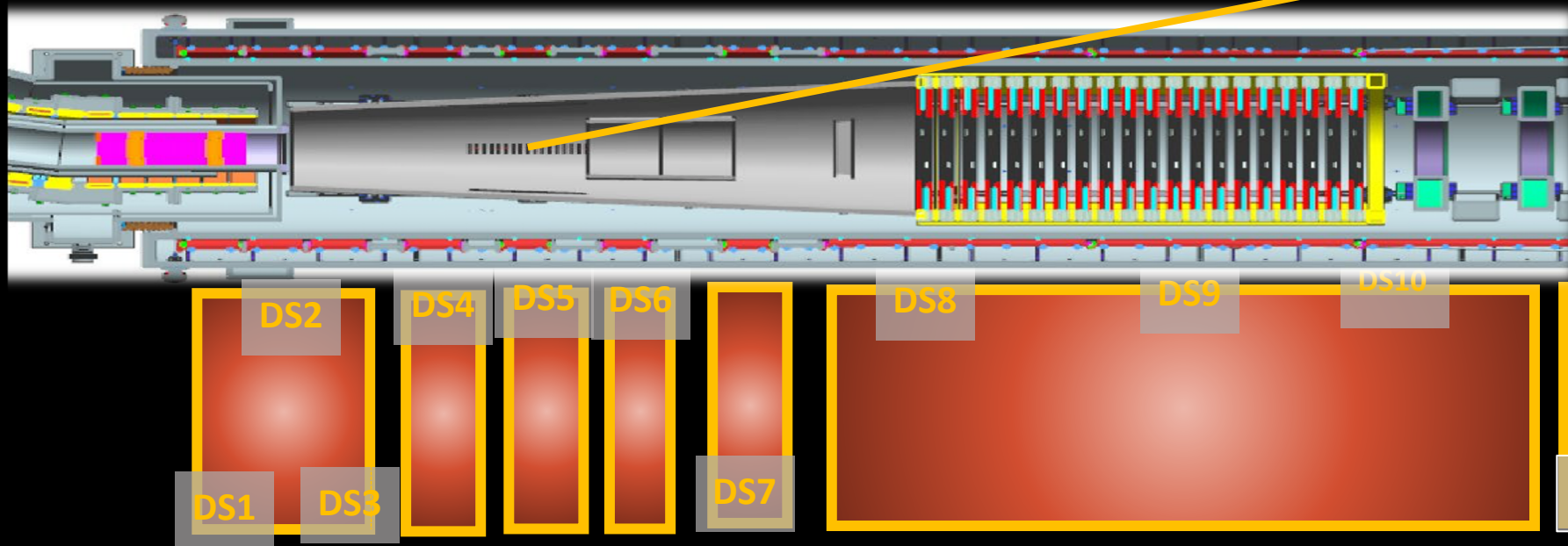


TSd vacuum vessel, awaiting leak check



TSu vacuum vessel, leak tight

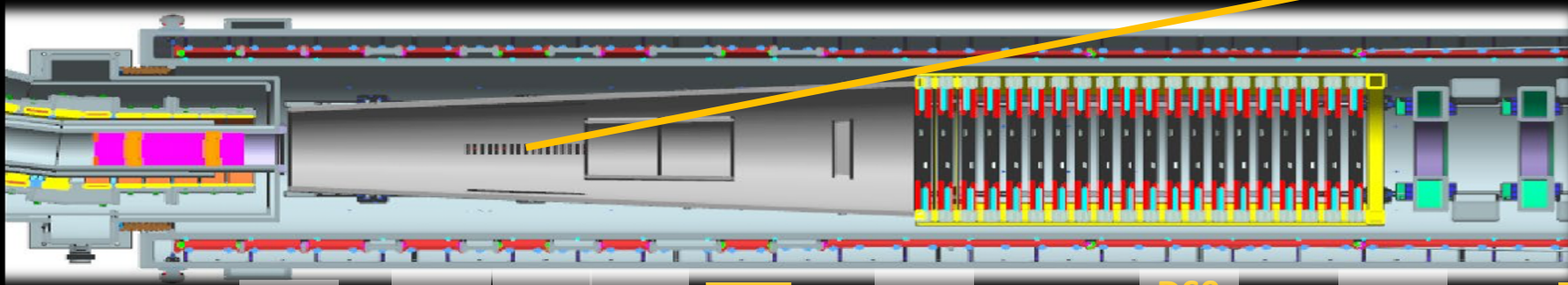
# Detector Solenoid: Status



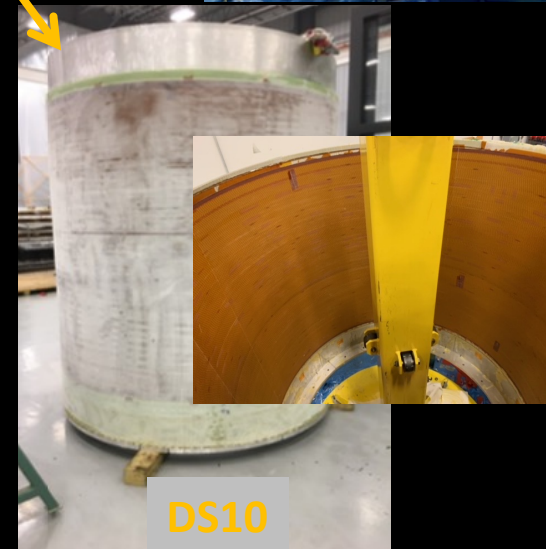
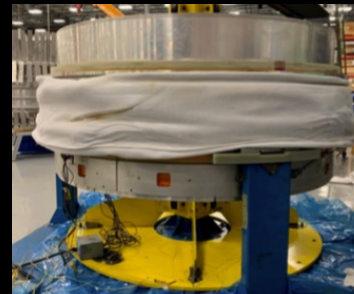
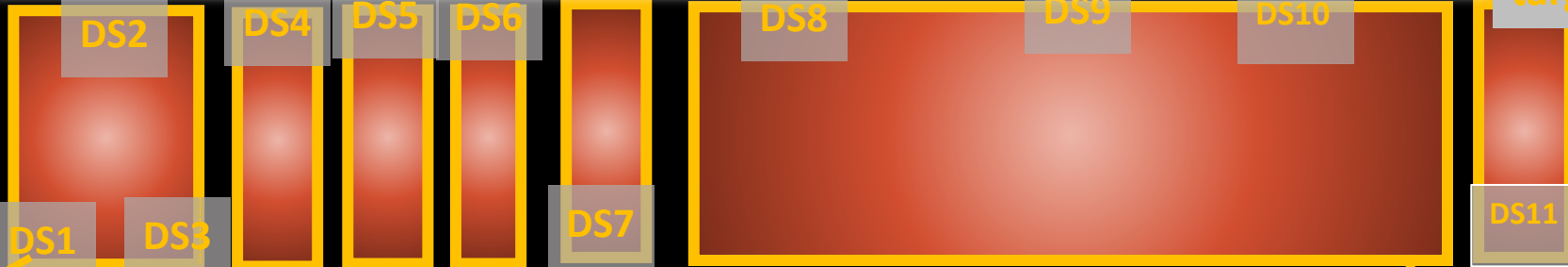
Aluminum stopping target in frame



# Detector Solenoid: Status



Aluminum stopping target in frame

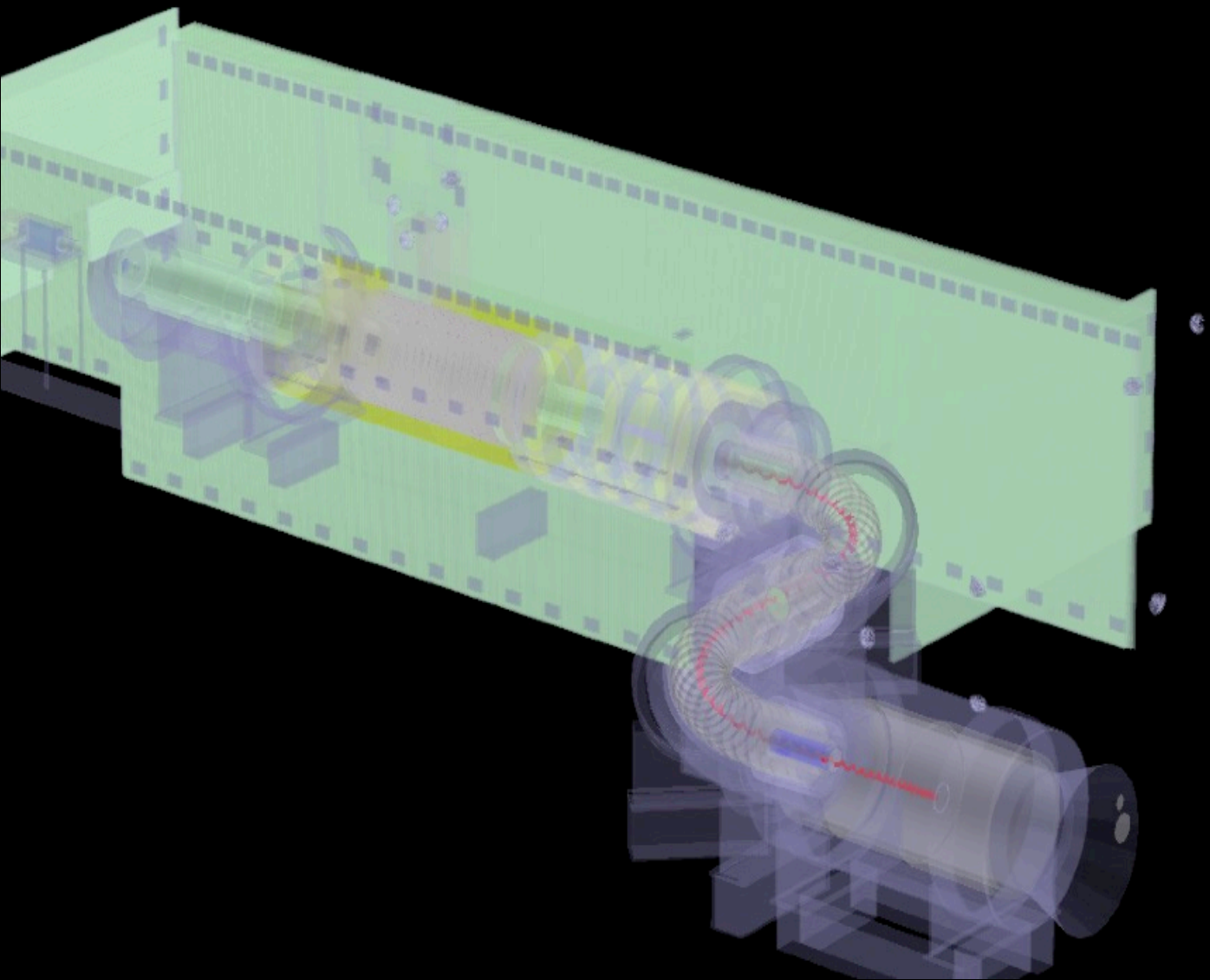


DS10



5/11 coils fabricated, tests on going  
Others being wound

Large Lepton Flavor Violation - Sophie Middleton -  
smidd@caltech.edu



## “Background free” design

Understanding problematic backgrounds.  
Can we design our experiment to eliminate all backgrounds?

# Simulating and experiment

- In High Energy Physics we use simulations to predict expectations from experiments we are designing.
- We set up models of the geometries of our detectors, including the magnetic fields we expect.
- Models have knowledge of matter effects and other physics processes particles might undergo in the materials.
- We generate particles according to their “true” i.e. theoretical properties.
- Generated particles are passed through our models of the detector performance and efficiency so they should represent “real physics as measured in our detectors.”
- “Backgrounds” are particles which are not our conversion signal, problematic “backgrounds” are those which are similar to our “signal” as they could be confused with a signal and cause false positive result in our data.
- During experimental design we need to develop algorithms to veto backgrounds and modify our design using simulations to minimize the chances of problematic backgrounds.
- Of course, we can only predict based on previous physics results, so there’s always some uncertainty in any model we use.



# Removing Backgrounds

Beam delivery and detector systems optimized for high intensity, pure muon beam – must be “background free”:

- Intrinsic :
  - Scale with number of stopped muons.
- Late arriving :
  - Scale with number of late protons/ extinction performance

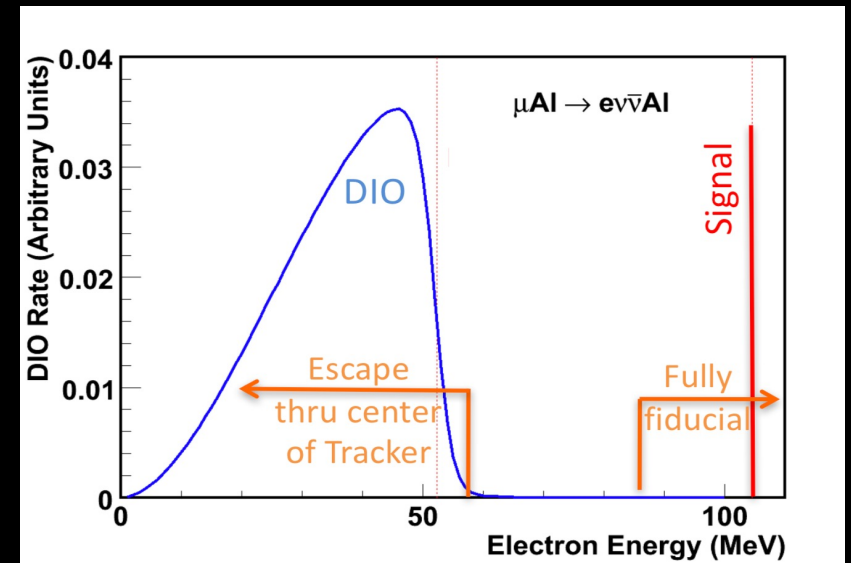
Type	Source	Mitigation	Yield (over lifetime of experiment)
Intrinsic	Decay in Orbit (DIO)	Tracker Deign/ Resolution	$0.144 \pm 0.028$ (stat) $\pm 0.11$ (sys)
Late Arriving	Pion Capture	Beam Structure /Extinction	$0.021 \pm 0.001$ (stat) $\pm 0.002$ (sys)
	Pion Decay in Flight	-	$0.001 \pm < 0.001$
Other	Anti-proton	Thin Absorber Windows	$0.04 \pm 0.022$ (stat) $\pm 0.020$ (sys)
	Cosmic Rays	Active Veto System	$0.209 \pm 0.0022$ (stat) $\pm 0.055$ (sys)



# Muon Decay-in-Orbit (DIO) Backgrounds

In Aluminium 39% of stopped muons will decay in orbit (DIO):

- Free muon decay: peak electron energy far below our signal energy (peaks 52.8 MeV).

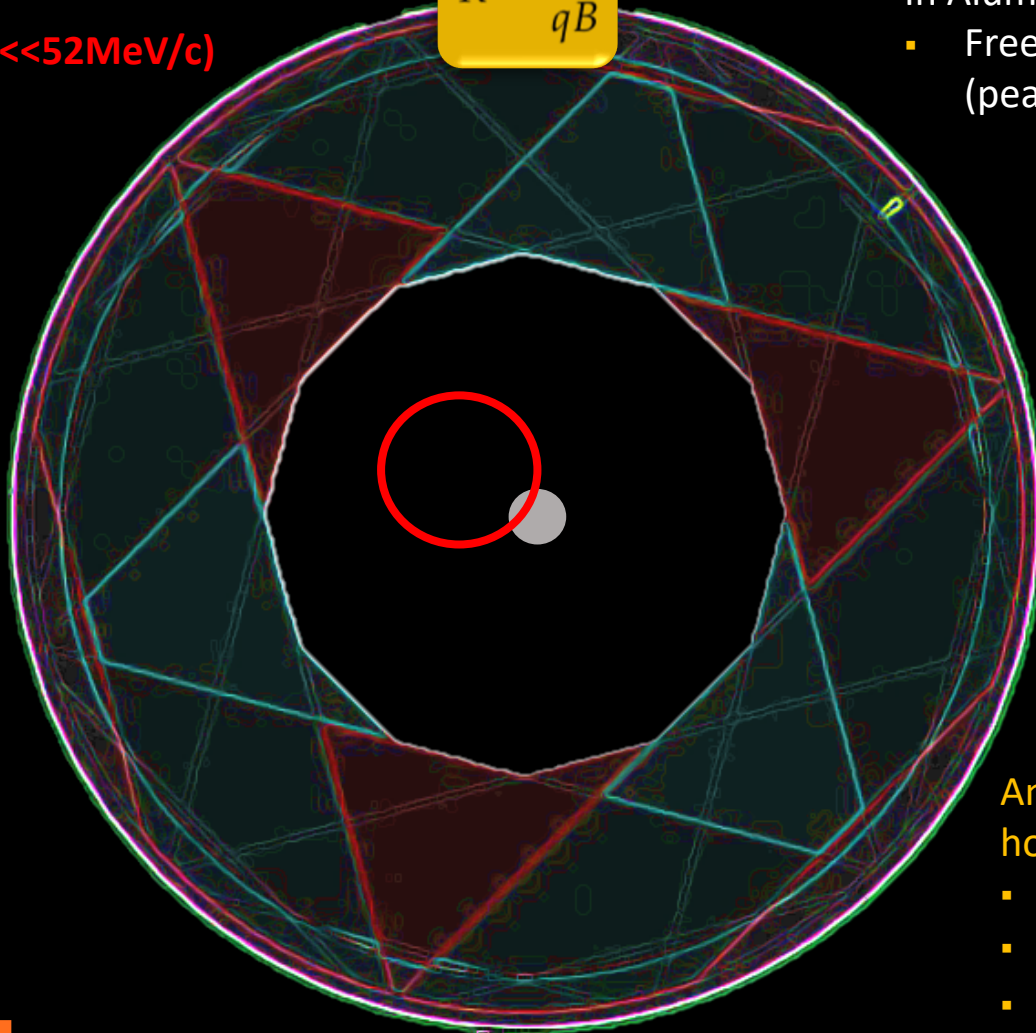


# Muon Decay-in-Orbit (DIO) Backgrounds

## Transverse Plane

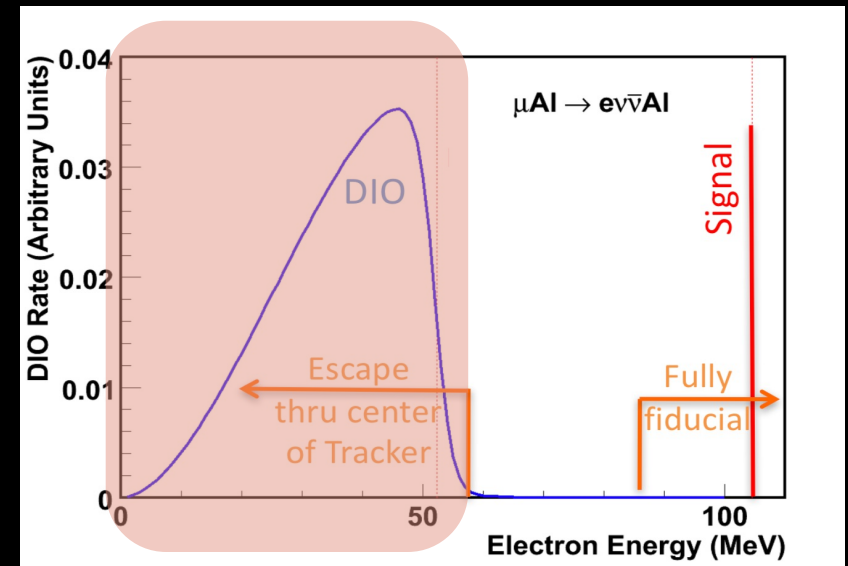
- Michel Electron ( $\ll 52\text{MeV}/c$ )

$$R = \frac{p_{\perp}}{qB}$$



In Aluminium 39% of stopped muons will decay in orbit (DIO):

- Free muon decay: peak electron energy far below our signal energy (peaks 52.8 MeV).



Annular Design → Excludes low momentum electrons via hollow centre:

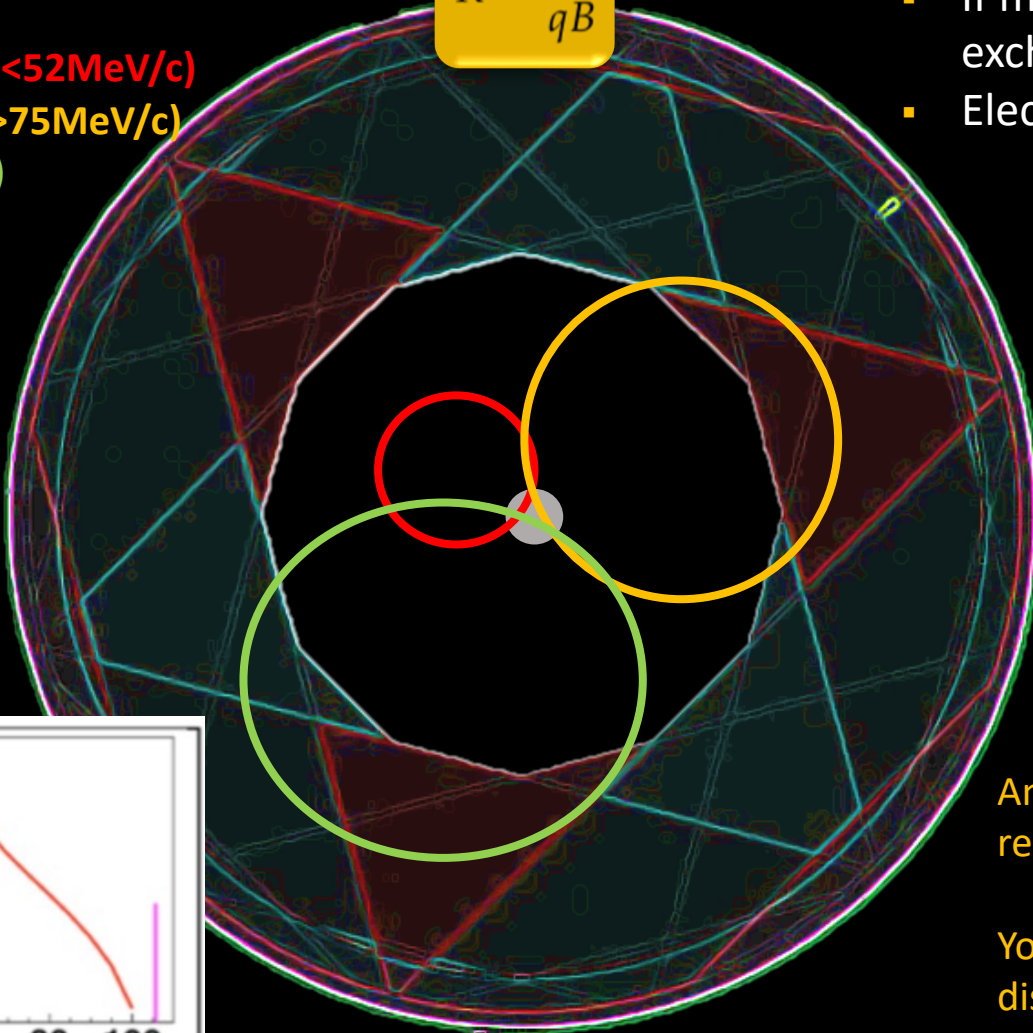
- Inner 38 cm un-instrumented .
- Reduces need to reject  $\sim 10^{18}$  to  $\sim 10^5$ .
- Blind to  $> 97\%$  of DIO spectrum.

# Muon Decay-in-Orbit (DIO) Backgrounds

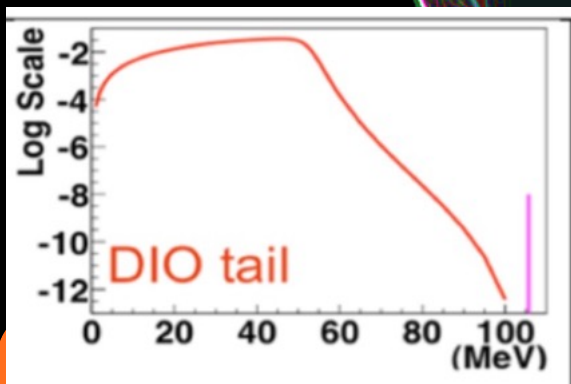
## Transverse Plane

- Michel Electron ( $\ll 52 \text{ MeV/c}$ )
- Problematic Tail ( $> 75 \text{ MeV/c}$ )
- Signal ( $105 \text{ MeV/c}$ )

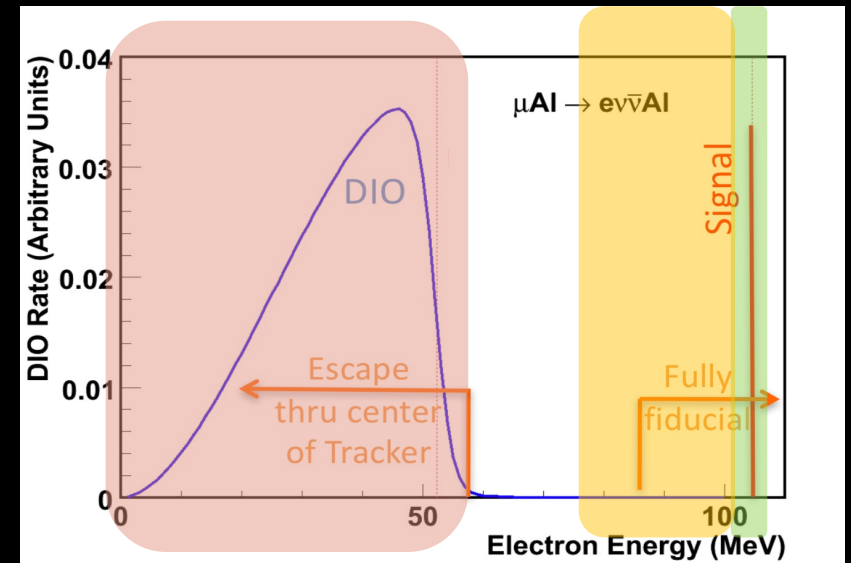
$$R = \frac{p_{\perp}}{qB}$$



Recoil tail:



- If muon bound in atomic orbit, the outgoing electron can exchange momentum with the nucleus.
- Electron could have energy close to signal.



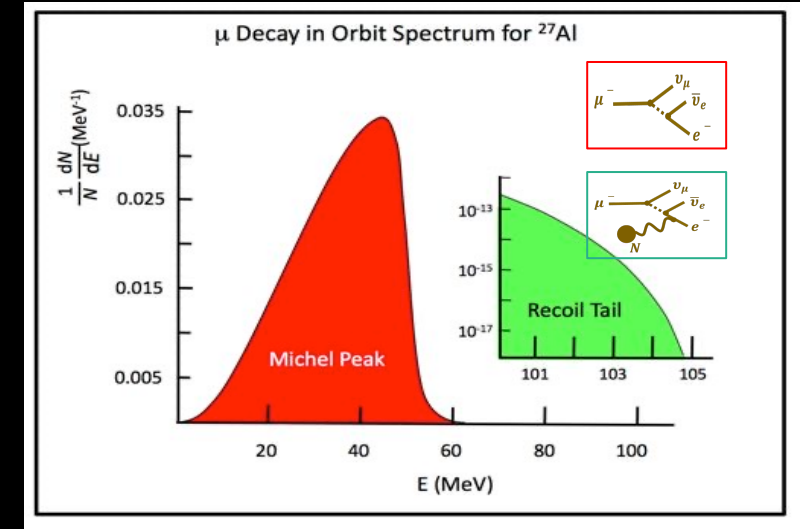
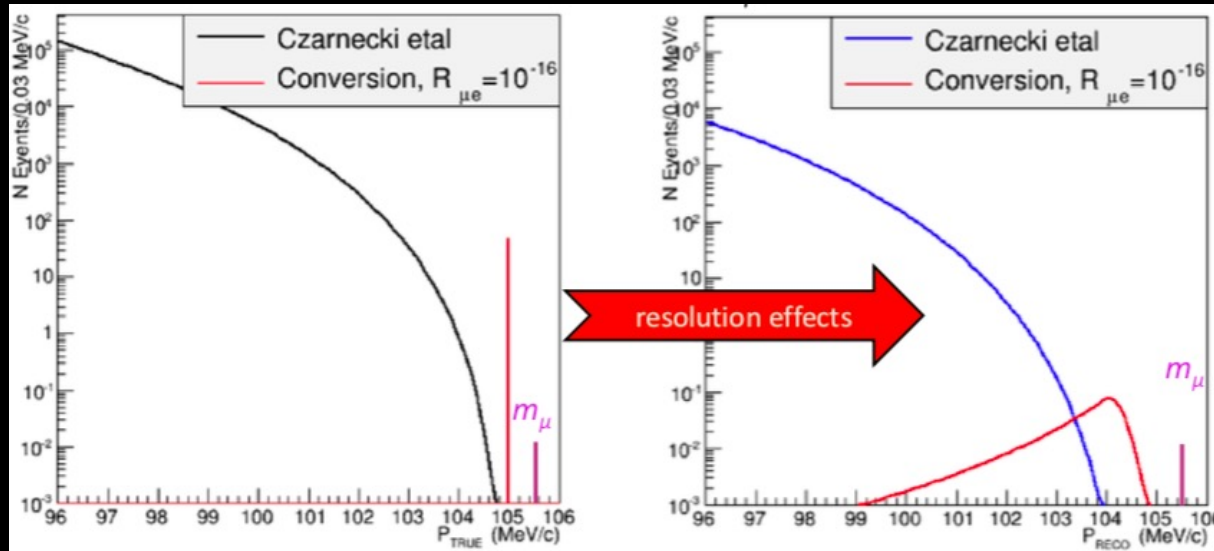
Annular Design → cannot fully exclude electrons in the recoil tail.

Your detector and reconstruction must be able to distinguish these from our signal

# Muon Decay-in-Orbit (DIO) Backgrounds

The differential energy spectrum of DIO electron spectrum has been parameterized in A. Czarnecki et al., “Muon decay in orbit: Spectrum of high-energy electrons,” Phys. Rev. D 84 (Jul, 2011) .

- Necessitates tracker resolution of better than 200 KeV/c



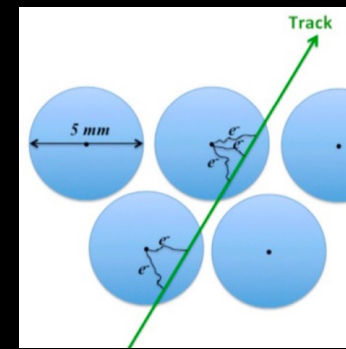


# Rejecting DIO Backgrounds

To remove achieve a momentum resolution  $< 200 \text{ KeV/c}$  requires optimized hardware choice and carefully crafted algorithms in our software:

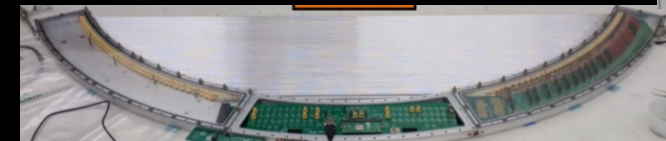
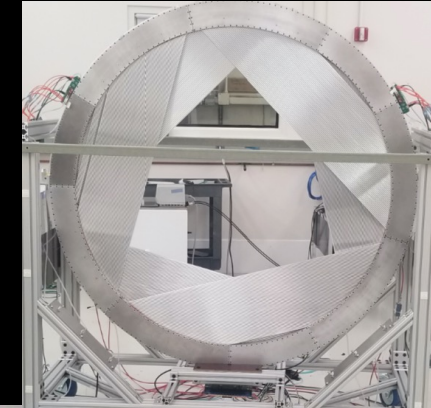
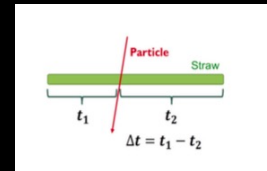
1. **Hardware: Low Mass design which minimizes scattering and energy loss :**
  - Entire Detector Solenoid held under vacuum ( $\sim 10^{-4}$  torr).
  - Ultra low mass tracker.
  - Segmented  $\rightarrow$  Handle high rates and provide high-precision momentum measurements.

# The Tracker: Design



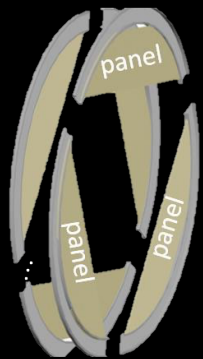
- Tracker is constructed from self-supporting panels of low mass straws tubes detectors
- 18 stations, 2 planes per station, 6 panels per plane, 96 straws per panel.
- Straw drift tubes aligned transverse to the axis of the Detector Solenoid.
  - 1m, 5 mm diameter straw
  - Walls: 12 mm Mylar + 3 mm epoxy
  - 25 mm Au-plated W sense wire
  - 33 – 117 cm in length
  - 80:20 Ar:CO<sub>2</sub> with HV < 1500 V
  - Straw wall thickness of 15  $\mu\text{m}$  has never been done before
- Charged particles ionize gas – drift to wire – detect signals!

## The Straws:



~ 3m, 1 T field

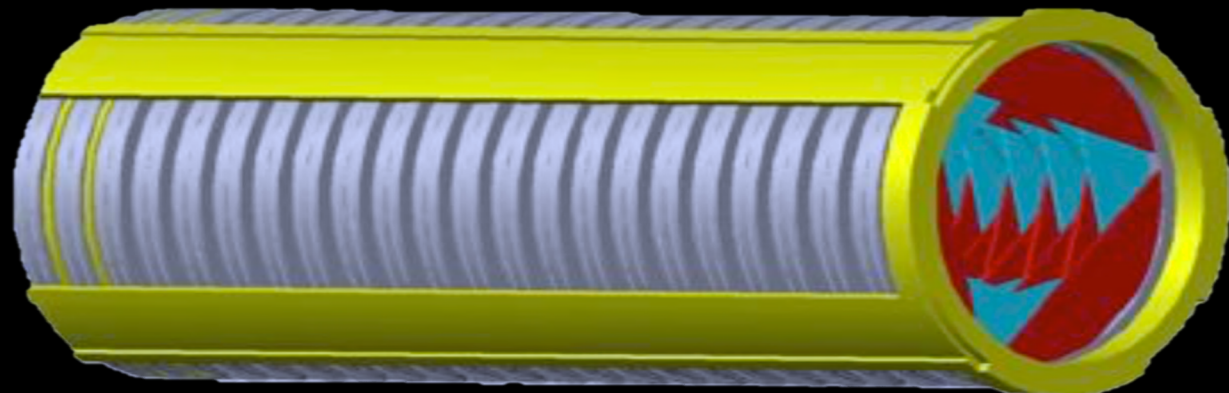
## 6 Panels



## 2 Plane



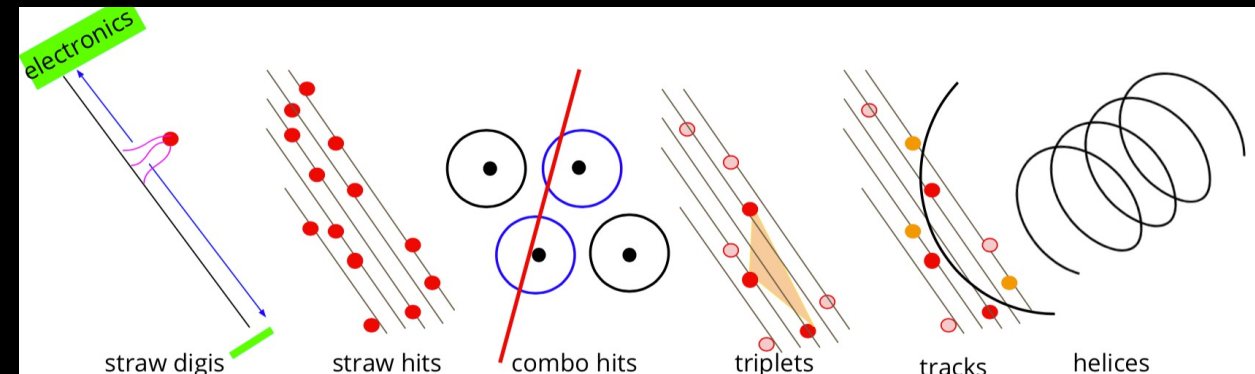
## 1 Station



# Rejecting DIO Backgrounds

To remove achieve a momentum resolution  $< 200 \text{ KeV}/c$  requires optimized hardware choice and carefully crafted algorithms in our software:

1. **Hardware: Low Mass design which minimizes scattering and energy loss :**
  - Entire Detector Solenoid held under vacuum ( $\sim 10^{-4}$  torr).
  - Ultra low mass tracker.
  - Segmented  $\rightarrow$  Handle high rates and provide high-precision momentum measurements.
2. **Software: Sophisticated reconstruction algorithm which can turn digits to helices accurately:**
  1. hit construction,
  2. time clustering,
  3. tracking via pattern recognition,
  4. refinement via Kalman fitting,
  5. background rejection via Machine Learning



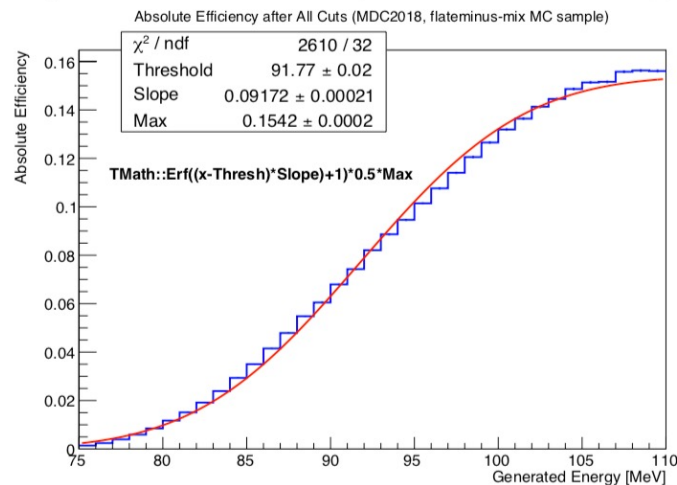
M. Devilbiss, UMich  
FERMILAB-SLIDES-20-100-V

# Acceptance & Response

Paper documenting our Machine Learning analysis:  
<https://arxiv.org/abs/2106.08891>

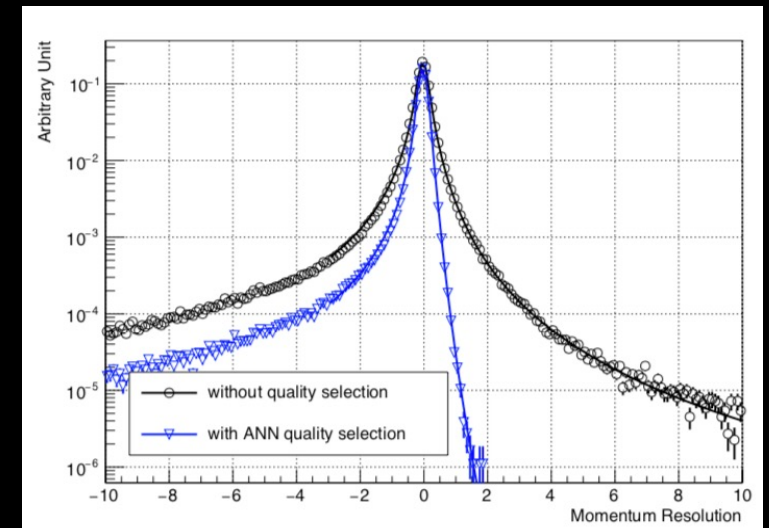
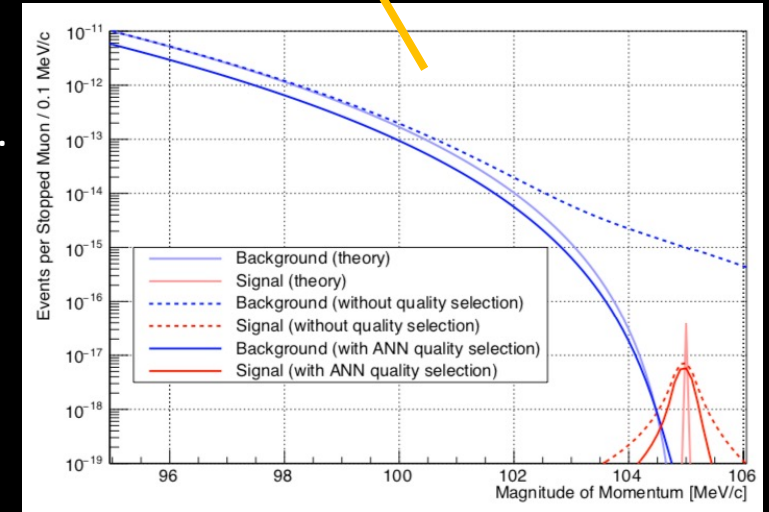
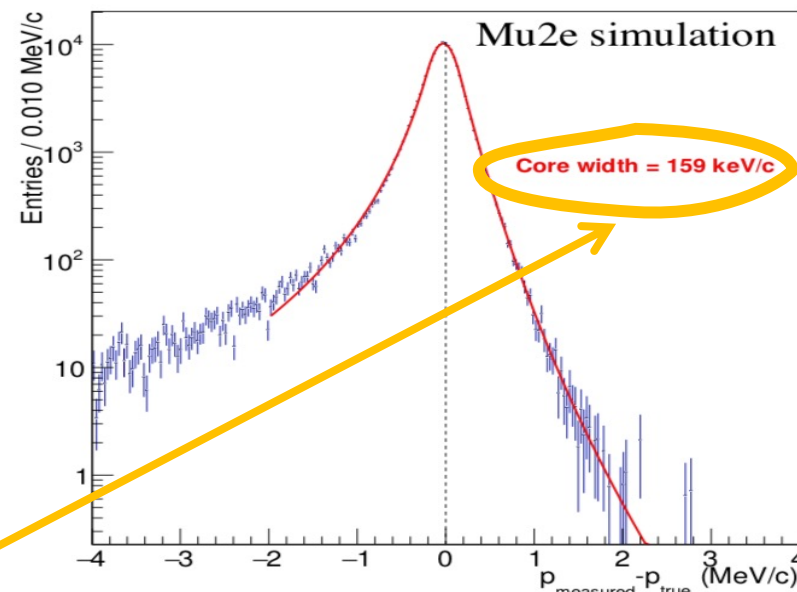
- Experiment is “blind” to anything with energy < 75 MeV.
- Tracking resolution improved by use of artificial neural network trained on simulation.

## Absolute Efficiency (as function of generated energy)



Well within our requirement!

## momentum resolution at start of tracker (simulation)





# Removing Backgrounds

Beam delivery and detector systems optimized for high intensity, pure muon beam – must be “background free”:

- Intrinsic :
  - Scale with number of stopped muons.

- Late arriving :
  - Scale with number of late protons/ extinction performance

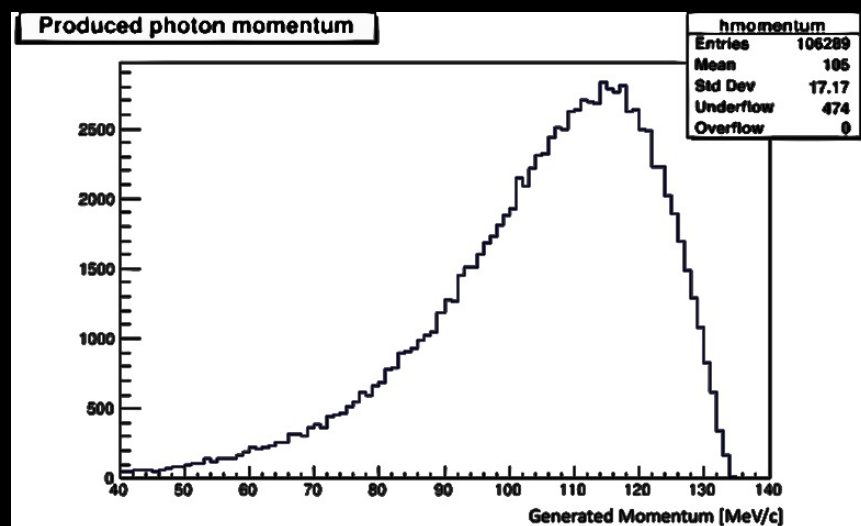
Type	Source	Mitigation	Yield (over lifetime of experiment)
Intrinsic	Decay in Orbit (DIO)	Tracker Deign/ Resolution	$0.144 \pm 0.028$ (stat) $\pm 0.11$ (sys)
Late Arriving	Pion Capture	Beam Structure /Extinction	$0.021 \pm 0.001$ (stat) $\pm 0.002$ (sys)
	Pion Decay in Flight	-	$0.001 \pm < 0.001$
Other	Anti-proton	Thin Absorber Windows	$0.04 \pm 0.022$ (stat) $\pm 0.020$ (sys)
	Cosmic Rays	Active Veto System	$0.209 \pm 0.0022$ (stat) $\pm 0.055$ (sys)

# Pion Backgrounds

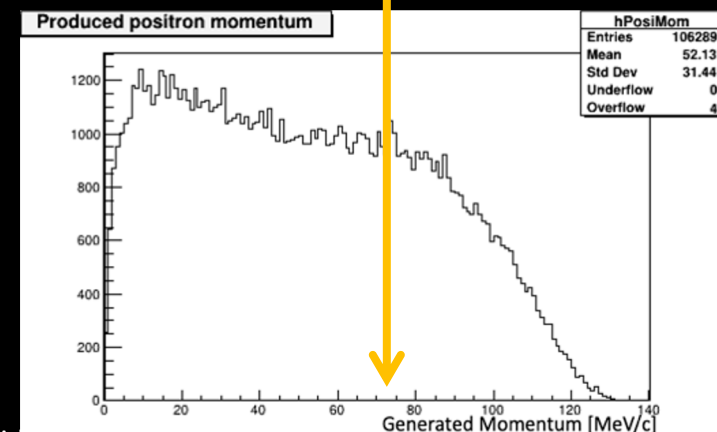
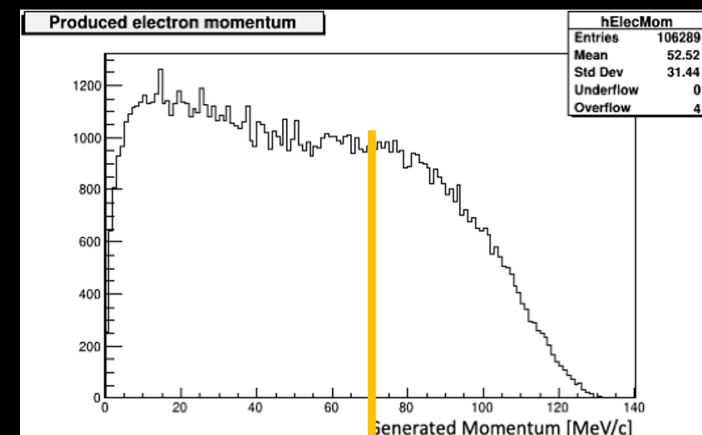
J.A. Bistirlich, K.M. Crowe et al., Phys Rev C5, 1867 (1972)

- Radiative Pion Capture occur when a pion is captured by a nucleus at our Stopping Target. The resulting photon produces an outgoing electron and positron pair. Pair production can be internal or external of atom.

The annular tracker means we are “blind” to large fraction of  $e^-/e^+$  from RPC – even without time cuts!



Photon can be virtual (internal) or not (external)

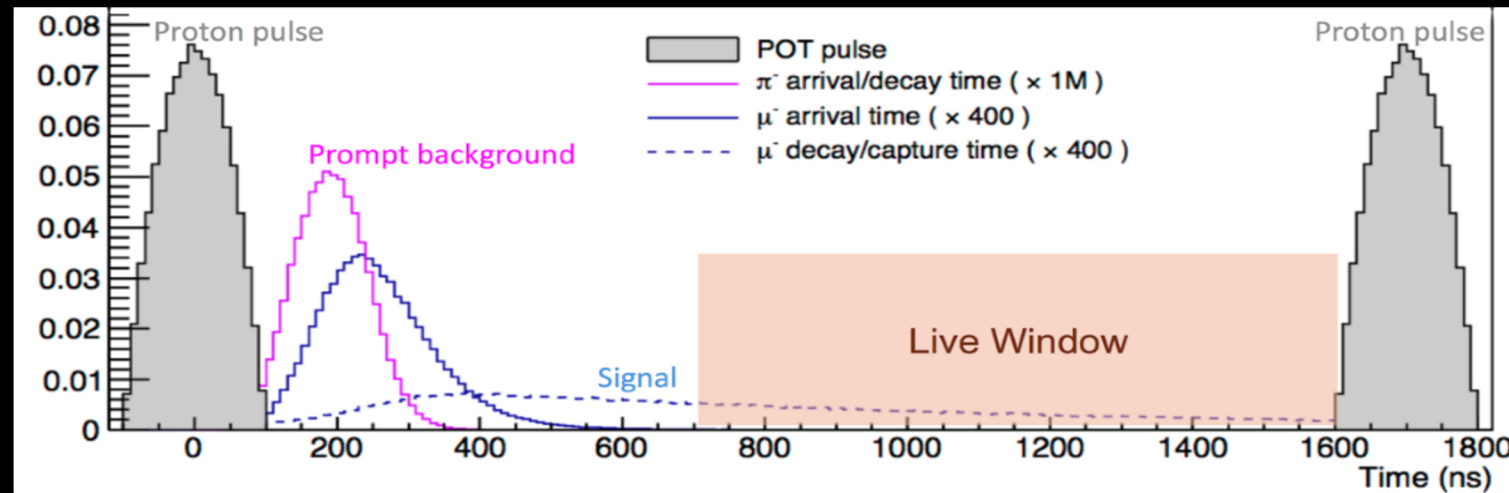


\*shape templates

# Pion Backgrounds

**Most importantly:** a delayed “livegate” is enforced:

- Pions – have a free lifetime of 26ns. They decay to produce muons (and neutrinos). Muons can further decay and produce backgrounds.
- Eliminate prompt backgrounds using a primary beam of short proton pulse. Use a delayed measurement window ( $\sim 700$  ns after proton pulse at target). Before this point we ignore any tracks we see in our detector systems.



- Out-of-time pions could fall inside “livegate” but are eliminated by excellent extinction in our proton beam.

# Removing Backgrounds

Beam delivery and detector systems optimized for high intensity, pure muon beam – must be “background free”:

Type	Source	Mitigation	Yield (over lifetime of experiment)
Intrinsic	Decay in Orbit (DIO)	Tracker Design/Resolution	$0.144 \pm 0.028$ (stat) $\pm 0.11$ (sys)
Late Arriving	Pion Capture	Beam Structure /Extinction	$0.021 \pm 0.001$ (stat) $\pm 0.002$ (sys)
	Pion Decay in Flight	-	$0.001 \pm < 0.001$
Other	Anti-proton	Thin Absorber Windows	$0.04 \pm 0.022$ (stat) $\pm 0.020$ (sys)
	Cosmic Rays	Active Veto System	$0.209 \pm 0.0022$ (stat) $\pm 0.055$ (sys)

Scales with livetime!

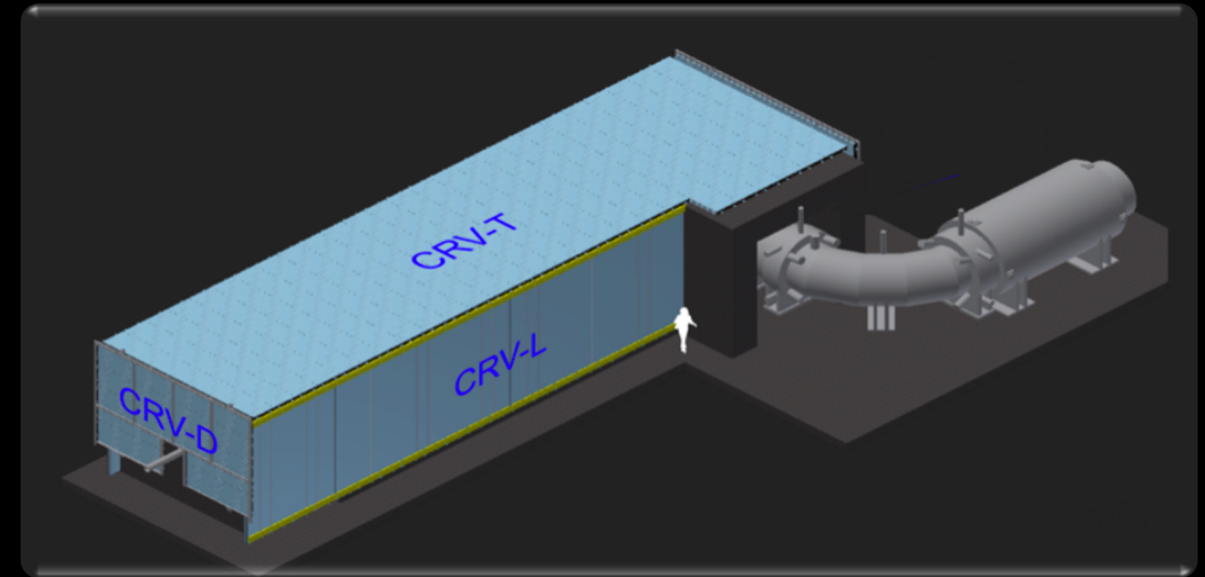


# Cosmic Ray Backgrounds

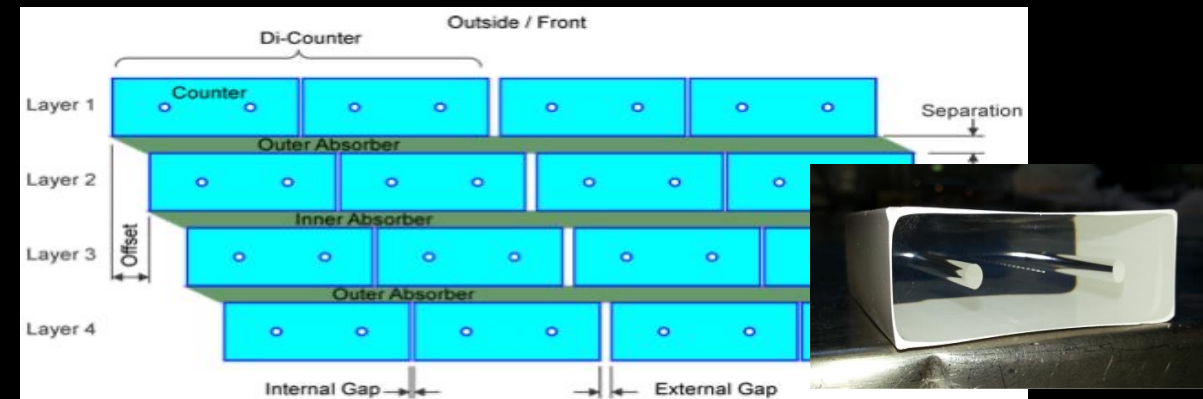
Each day,  $\sim 1$  conversion-like electron is produced by cosmic rays

Cosmic Ray Veto will prevent cosmic muons faking a signal:

- Cosmic-ray muons can initiate 105 MeV particles that appear to emanate from the stopping target:
  - Electrons and positrons through secondary and delta-ray production in the material within the solenoids,
  - Electrons from muon decay-in-flight,
  - Muons themselves can be misidentified as electrons.
- Remove using active veto (CRV) + overburden and shielding concrete surrounding the Detector Solenoid.
- 4 layers of extruded polystyrene scintillator counter.
- Surrounds the top and sides of DS and the downstream end of the Transport Solenoid.
- Remove Cosmic-ray candidates: 99.99% efficiency requirement!

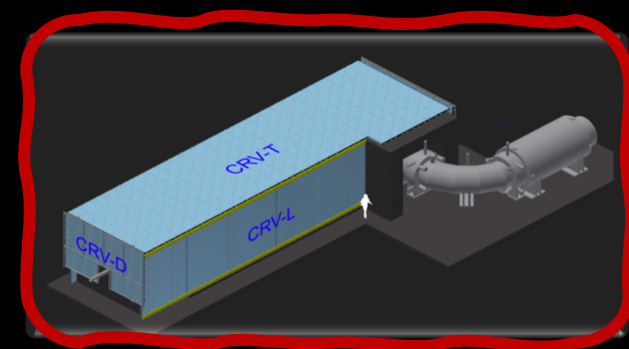


Each panel is composed of  $5 \times 2 \times 450 \text{ cm}^3$  scintillator bars:



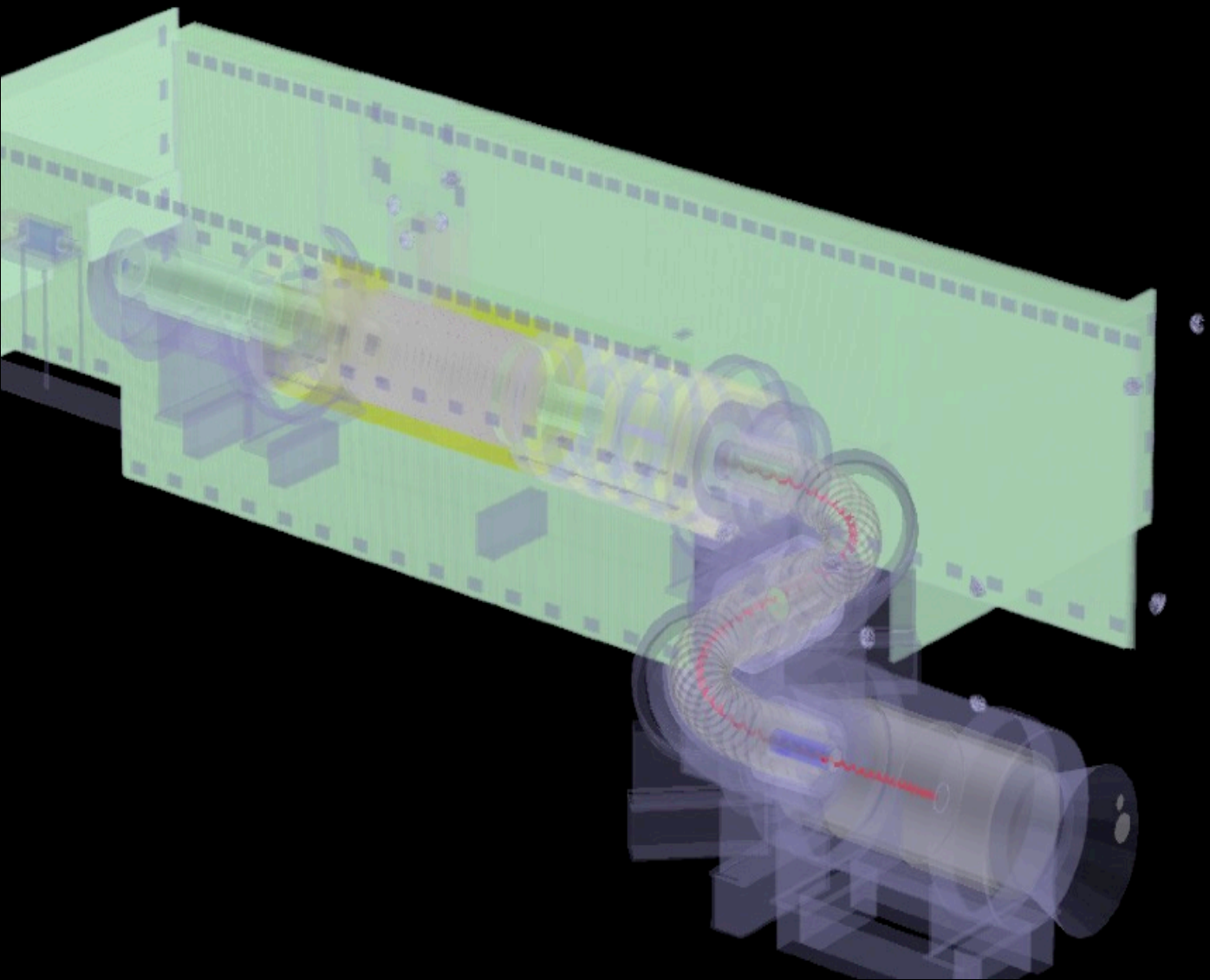
# Removing Backgrounds

Beam delivery and detector systems optimized for high intensity, pure muon beam – must be “background free”:



Active veto  
system  
surrounds  
detector region

Type	Source	Mitigation	Yield (over lifetime of experiment)
Intrinsic	Decay in Orbit (DIO)	Tracker Deign/ Resolution	$0.144 \pm 0.028$ (stat) $\pm 0.11$ (sys)
Late Arriving	Pion Capture	Beam Structure /Extinction	$0.021 \pm 0.001$ (stat) $\pm 0.002$ (sys)
	Pion Decay in Flight	-	$0.001 \pm < 0.001$
Other	Anti-proton	Thin Absorber Windows	$0.04 \pm 0.022$ (stat) $\pm 0.020$ (sys)
	Cosmic Rays	Active Veto System	$0.209 \pm 0.0022$ (stat) $\pm 0.055$ (sys)



# Building our Detectors

How are our detectors constructed?  
Where are they constructed?  
What is the current status of each system?  
When will Mu2e be ready?

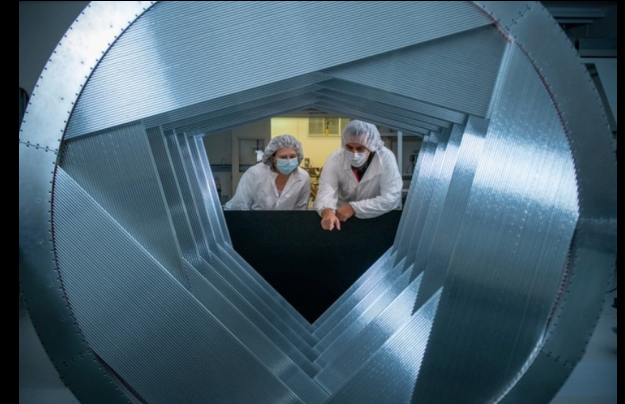


# The Tracker: Progress

2020: Production at University of Minnesota, testing at Duke, →  
Over 70% of panels fabricated, testing on going

2021: Assembly at FNAL

→ 10/36 planes so far assembled on site



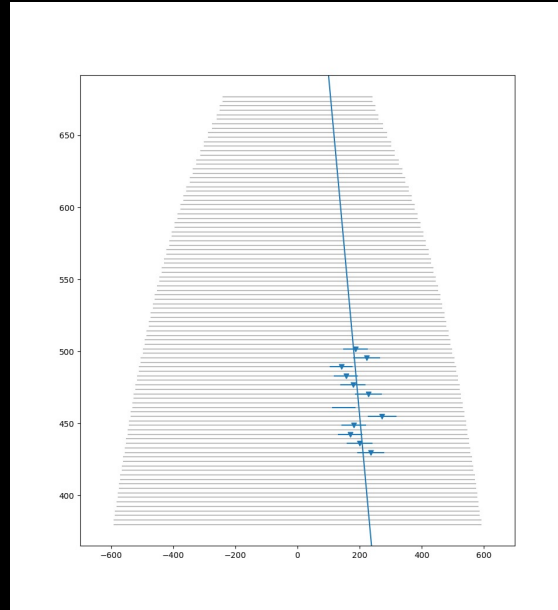
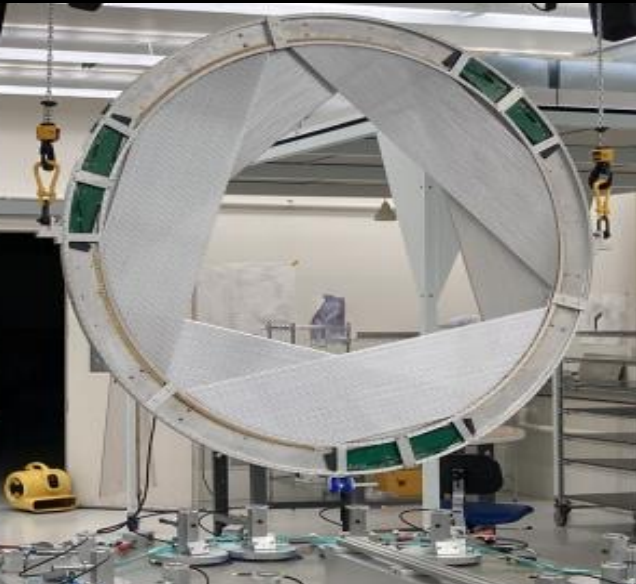
Vacuum tests at  
FNAL, here for  
single panel.





# The Tracker: Progress

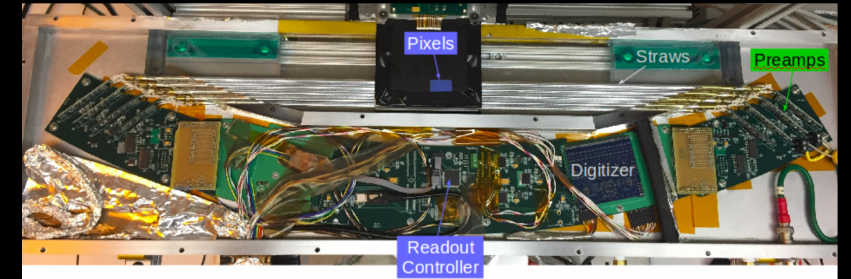
2020: Vertical Slice Test begins at FNAL



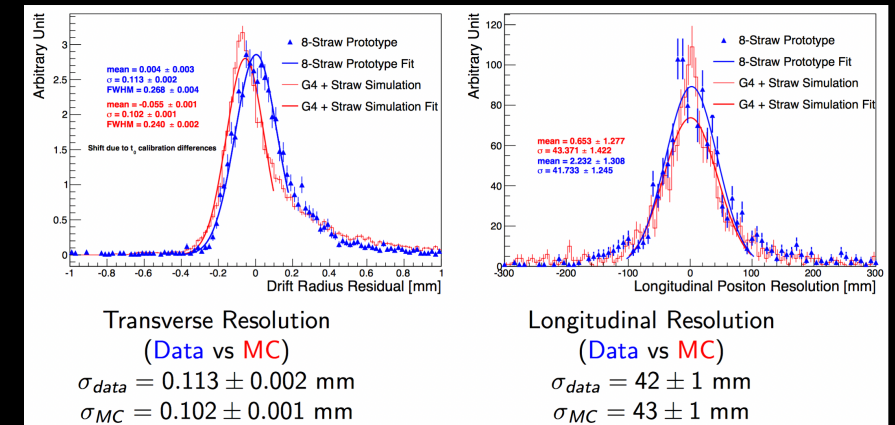
- Use Cosmic Rays.
- Use information gained to update MC.
- Measured performance and resolutions.
- First test with real data.
- I wrote algorithms for cosmic ray reconstruction in the tracker

Read about the prototype:  
<https://arxiv.org/abs/1710.03799>

2017-2018: Electronics prototype produced at LBNL



Measured gain, crosstalk, resolution...



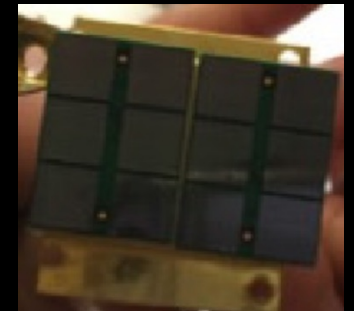
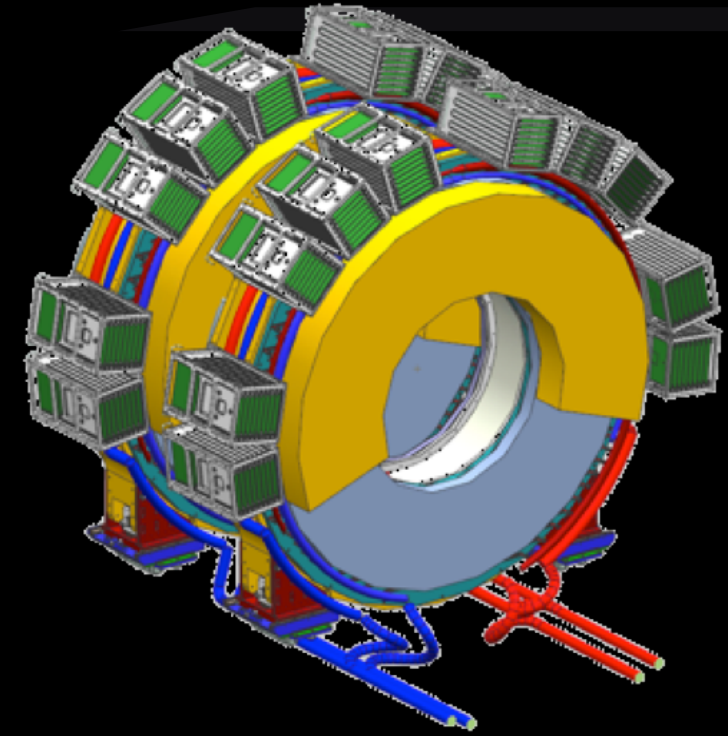
8 channel prototype

→ Good agreement between MC/Data

→ Resolution can be achieved

# The Calorimeter: Purpose

- The calorimeter is vital for providing:
  - Particle identification,
  - Fast online trigger filter,
  - Accurate timing information for background rejection,
  - Seed for track reconstruction.
- The Mu2e Calorimeter must:
  - Provide time resolution  $< 0.5$  ns;
  - Energy resolution  $< 10\%$ ;
  - Position resolution  $< 1$  cm.;
  - Function in 20Gy/crystal/year + neutron flux  $10^{11}$  /cm<sup>2</sup>.
- Annular shape and 2 disks – the separation of disks is  $\frac{1}{2}$  the pitch of the mu2e signal helix, means that we cannot miss a conversion electron.
- Each disk = 674 CsI crystals
- 2 SiPMs per Crystal : UV-extended, 6 x 6mm<sup>2</sup>.





# The Calorimeter

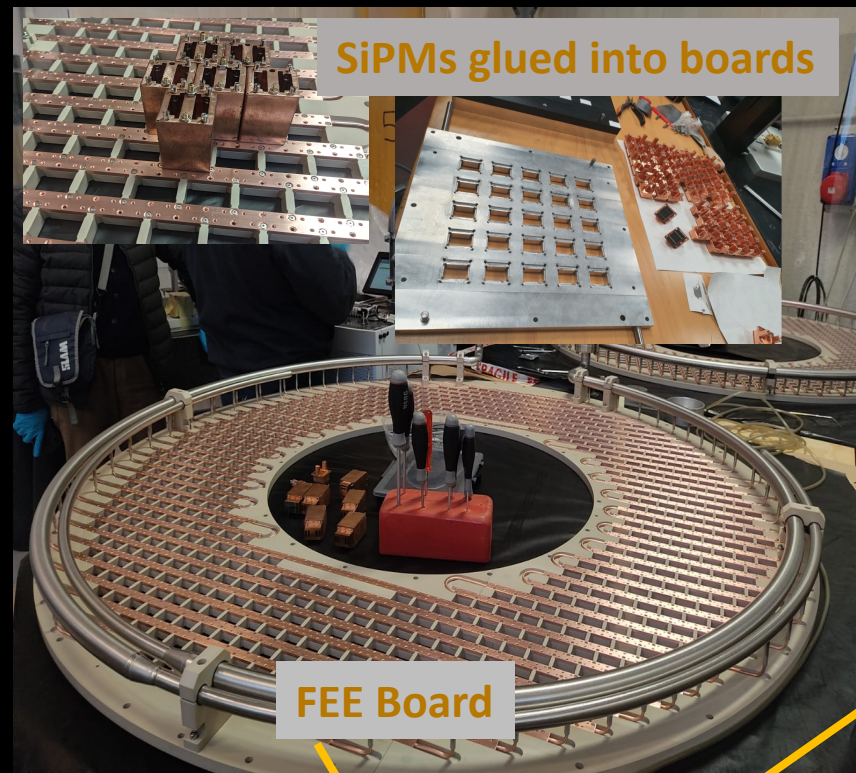
Assembly beginning



Inner Ring



Outer Ring



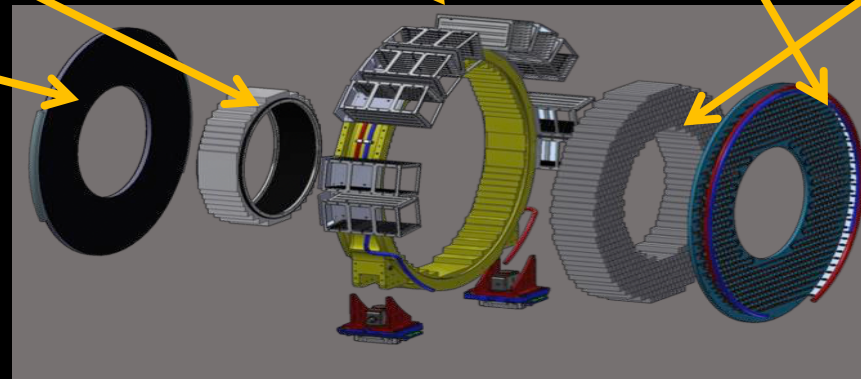
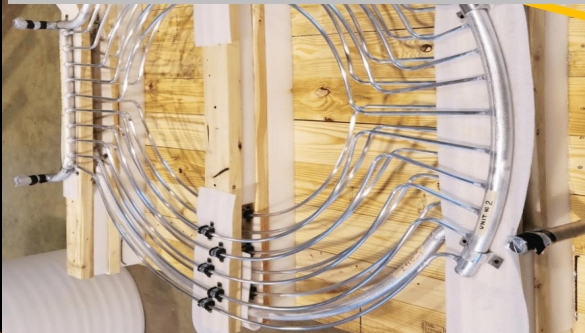
SiPMs glued into boards

FEE Board



Crystals at FNAL in sealed cupboard after QA.

Source Calibration System  
Caltech built!

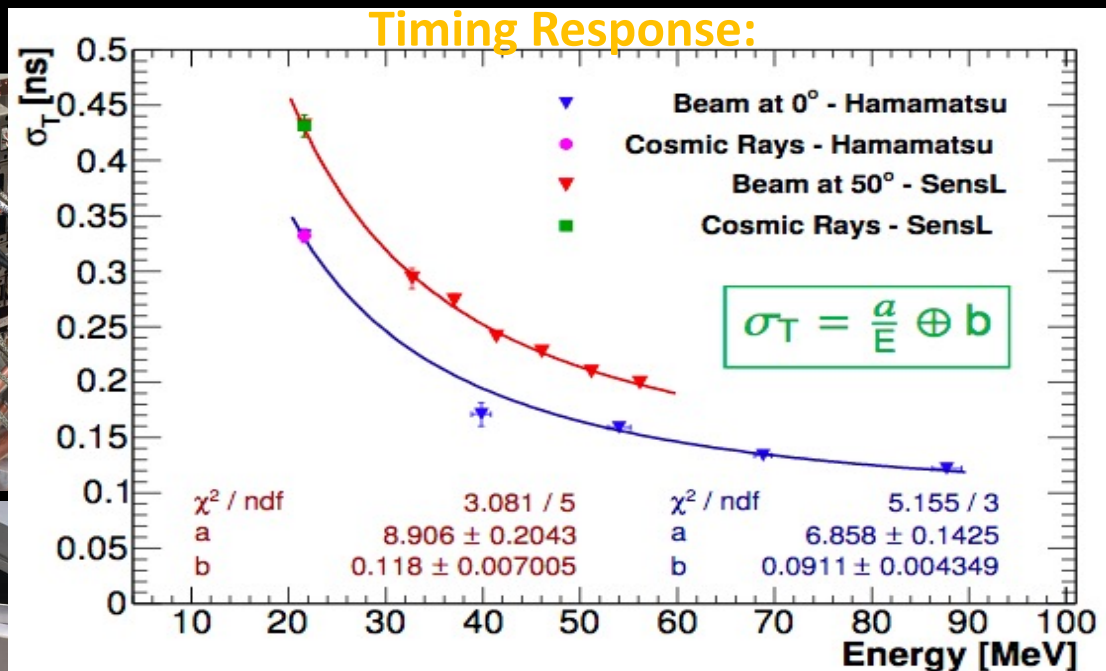
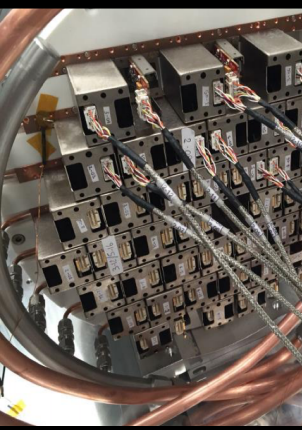


Me at FNAL –  
finishing  
crystals QA  
(2020)



# The Calorimeter: Progress

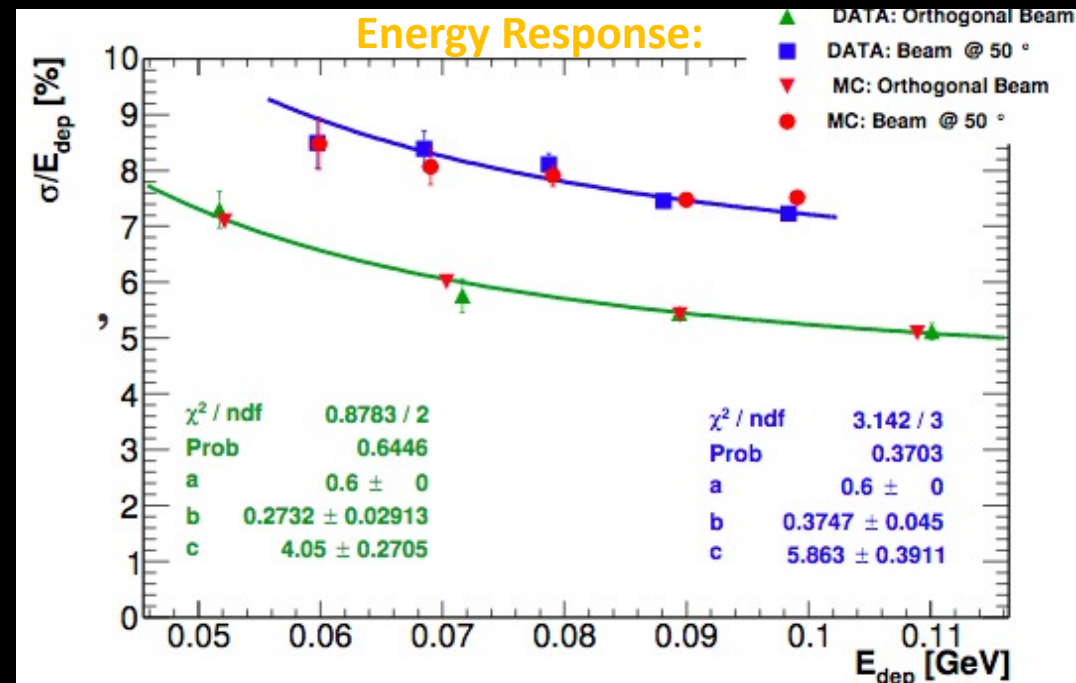
- R&D and Prototyping successfully completed.
- 51 crystals + 102 SiPM + 102 FEE boards



Typical time resolution  
 $E_{\text{beam}} @ 100 \text{ MeV}$   
 $\sigma_{T1} \sim 130 \text{ ps}$

Read about the test beam results:  
<https://www.osti.gov/pages/biblio/1523418>

2018:



- Test beam with  $e^-$  with  $E = 60\text{-}120 \text{ MeV}$ .
- Good agreement between MC/Data!
- Meets energy and timing performance requirements!



# The Cosmic Ray Veto System



**Modules at Fermilab**

- 70% of modules fabricated at UVA
- Electronics production underway
- Front-End-Boards produced KSU
- Vertical slice test underway



**2020: Vertical Slice Test at UVA**



**WLS**



**Prototype**

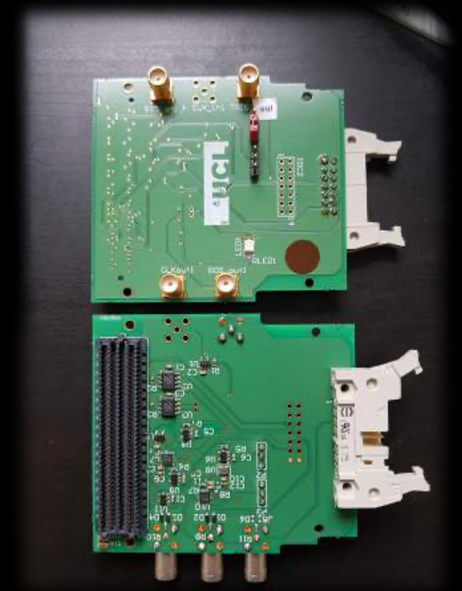
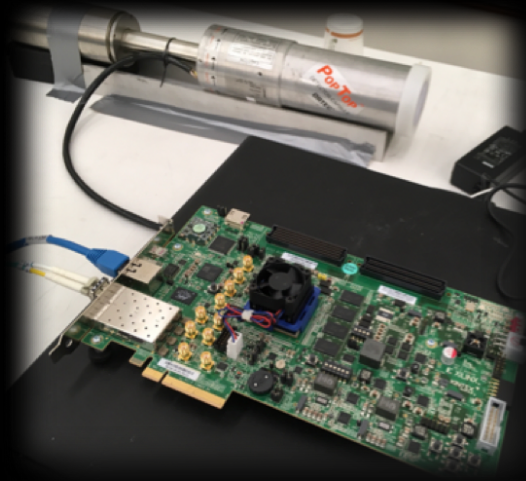
# The Stopping Target Monitor (STM)

- Need an accurate measure of total number of stopped muons in the target (within 10%) .
- Placed far downstream of Detector Solenoids (~34 m from target).
- STM uses HPGe and LaBr<sub>3</sub> detectors to measure X/gamma-rays produced by stopped muons in Al target:
  1. Prompt X-ray emitted from muonic atoms at 347keV;
  2. Delayed gamma ray at 844keV;
  3. Semi-prompt gamma ray at 1.809MeV.

**HPGe and LaBr detectors procured**

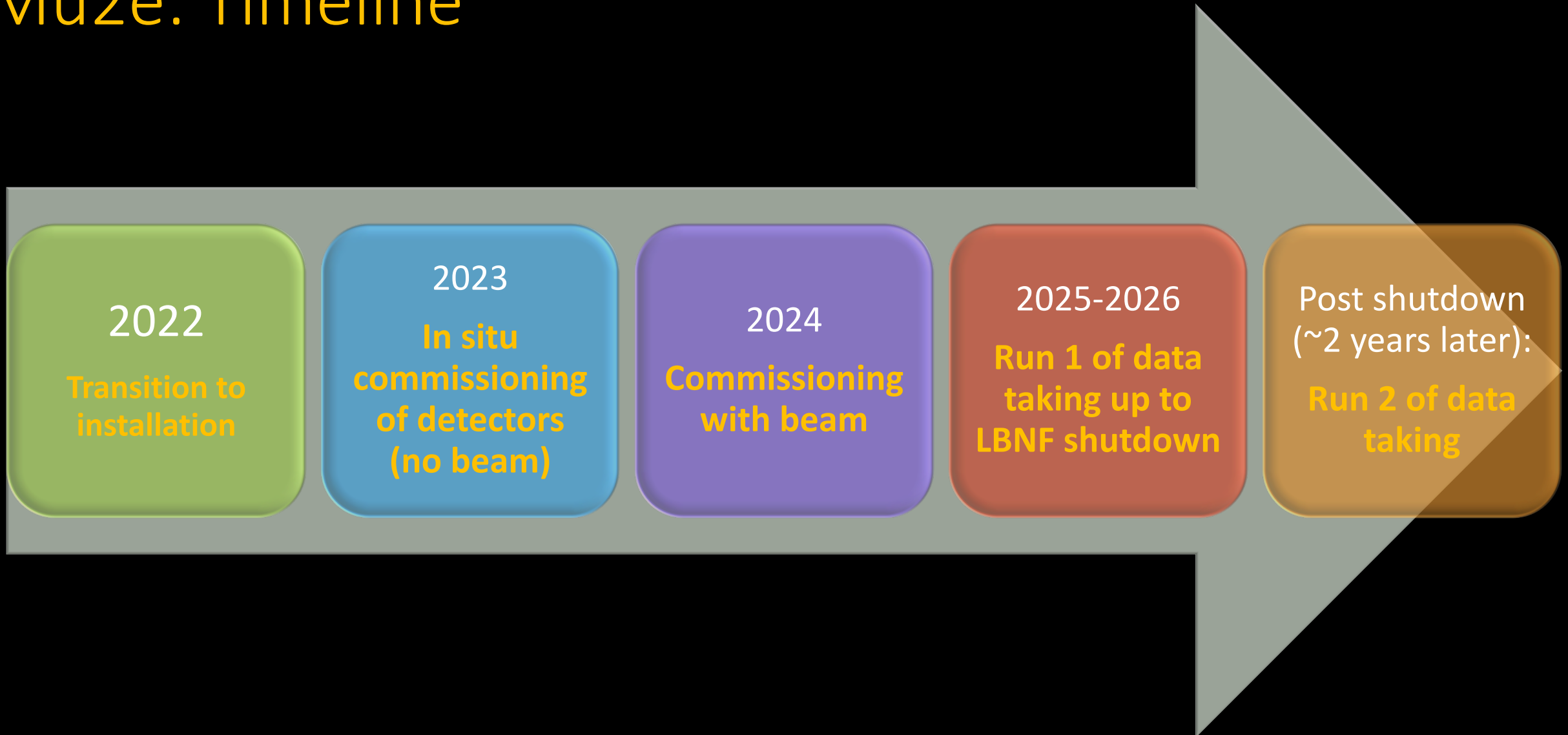
$$R_{\mu e} = \frac{\Gamma(\mu^- + A(Z, N) \rightarrow e^- + A(Z, N))}{\Gamma(\text{all} - \text{captures})} < 7 \times 10^{-13} (90\% \text{C.L})$$

**2019: I set up test stands to begin DAQ development**



**Test beam at ELBE this year.... results being analyzed**

# Mu2e: Timeline





# Mu2e Data Taking

*Paper being written – publication imminent. Caltech involved in simulated analysis to evaluate the current expected sensitivity of Run1.*

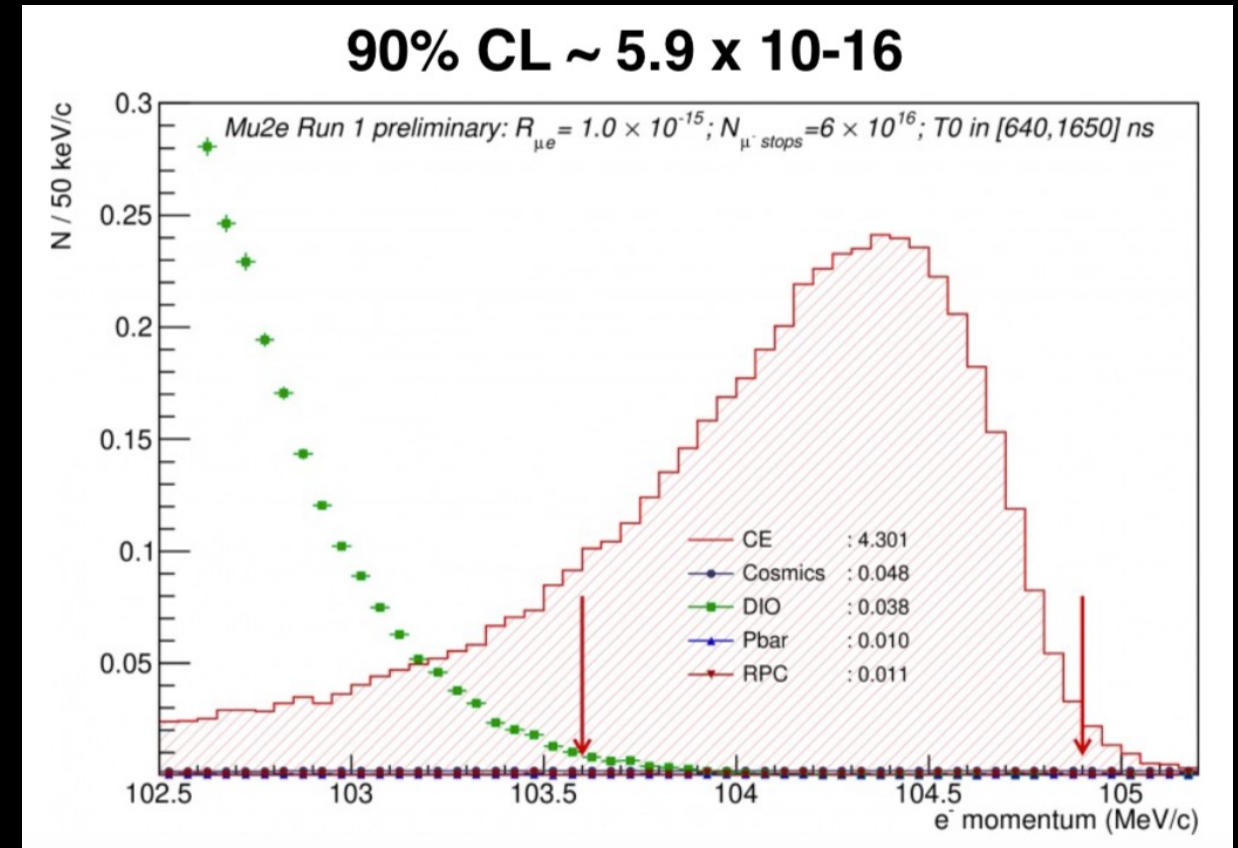
Run 1:

## Run 1: 2025-2026:

- X 1000 improvement over SINDRUM-II 90% CL limit
- PIP-II/LBNF shutdown scheduled for end of 2026

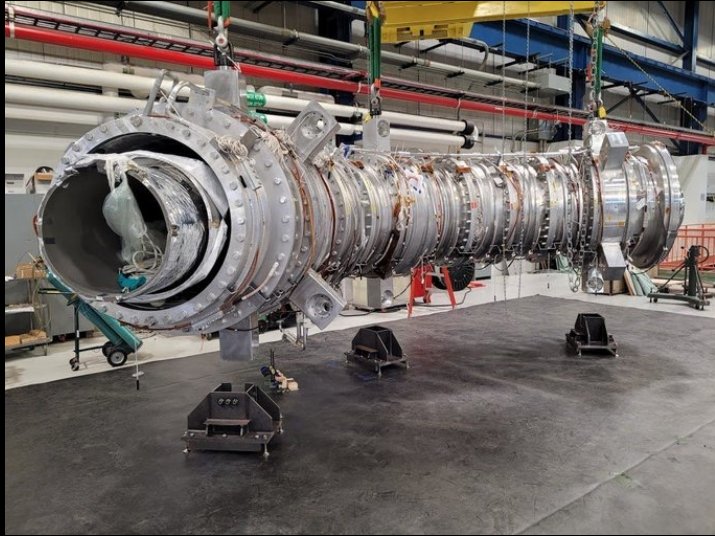
## Run 2: Data-taking resumes 2 years later:

- Get the data needed for the extra X 10 improvement on SINDRUM-II

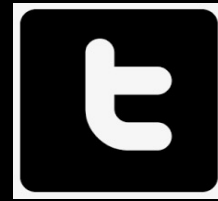




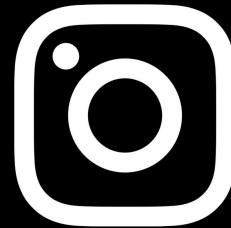
# Follow us



Watch the experiment evolve with frequent videos and images:



<https://twitter.com/Mu2eExperiment>



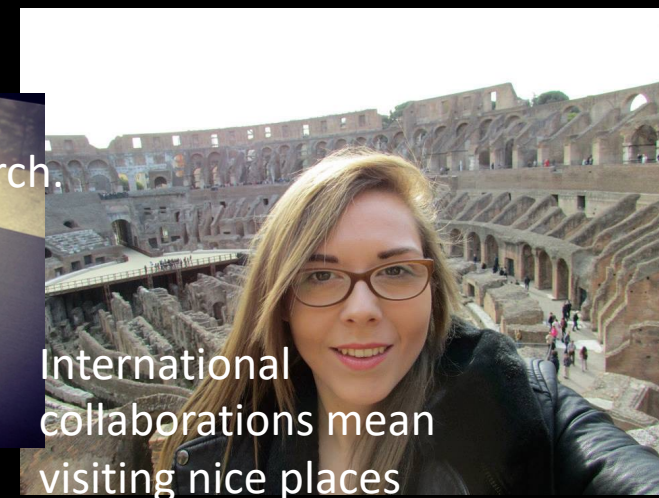
<https://www.instagram.com/mu2eexperiment/>





# Life in Physics

- Life in Particle Physics has many fun aspects, here are a few pictures of my times.



# Summary

- Muon CLFV channels offer deep indirect probes into BSM.
- Mu2e is at the forefront of active global CLFV program. Discovery potential over a wide range of well motivated BSM models.
- Muon-to-electron sector complements tau and Higgs collider searches such as:  $\tau \rightarrow e\gamma$  or  $\mu\gamma$  and  $H \rightarrow e\tau, \mu\tau$ , or  $\mu e$ .
- It is important to eliminate Standard Model backgrounds so the experiment is designed to be “background free”:
  - Super conducting solenoids to collect and efficiently transport low momentum muons;
  - Pulsed beam removes backgrounds from pions;
  - Low mass, annular tracker has high resolution to avoid DIO backgrounds;
  - Cosmic Ray Veto surrounds detectors to remove “fake signals” from Cosmic muons.

*Thank You for listening!*



# Useful Resources

1. S. T. Petcov, Sov. J. Nucl. Phys. **25**, 340 (1977); Yad. Fiz. **25**, 1336 (1977) [erratum].
2. S. M. Bilenky, S. T. Petcov, and B. Pontecorvo, Phys. Lett. B **67**, 309 (1977).
3. W. J. Marciano and A. I. Sanda, Phys. Lett. B **67**, 303 (1977).
4. B. W. Lee, S. Pakvasa, R. E. Shrock, and H. Sugawara, Phys. Rev. Lett. **38**, 937 (1977); **38**, 1230 (1977) [erratum].
5. J. Adam *et al.* (EG Collaboration), Phys. Rev. Lett. **110**, 20 (2013).
6. W. Bertl *et al.* (SINDRUM-II Collaboration), Eur. Phys. J. **C47**, 337 (2006).
7. U. Bellgardt *et al.*, (SINDRUM Collaboration), Nucl. Phys. **B299**, 1 (1988).
8. A.M. Baldini *et al.*, “MEG Upgrade Proposal”, arXiv:1301.7225v2 [physics.ins- det].
9. Y. Kuno *et al.*, “COMET Proposal” (2007) see also <https://arxiv.org/abs/1812.09018> for Phase I TDR
10. Mu2e TDR, arXiv:1501.05241
11. Nuclear Physics B - Proceedings Supplements Volumes 248–250, March–May 2014, Pages 35-4
12. A. Czarnecki *et al.*, “Muon decay in orbit: Spectrum of high-energy electrons,” Phys. Rev. D **84** (Jul, 2011) .
13. Sindrum-II “Improved limit of Branching Fraction of  $\mu^- \rightarrow e^+$  in Titanium”, Phys Lett B **422** (1998) 334-338 (1998)

# g-2 Result: Implications for Mu2e

P. Paradisi / Nuclear Physics B (Proc. Suppl.) 248–250 (2014)

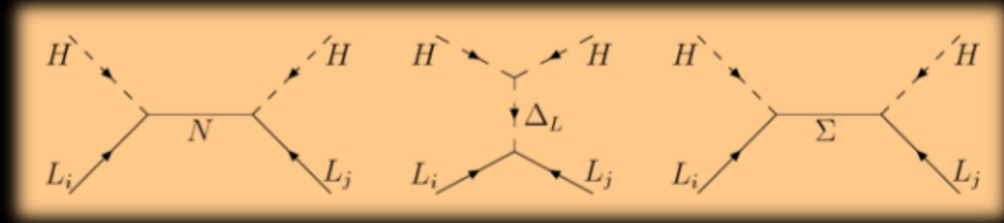
- Dipole transitions  $\mu \rightarrow e\gamma$  in the leptonic sector are accounted for by means of the effective Lagrangian :

$$\mathcal{L} = e \frac{m_\ell}{2} \left( \bar{\ell}_R \sigma_{\mu\nu} A_{\ell\ell'} \ell'_L + \bar{\ell}'_L \sigma_{\mu\nu} A_{\ell\ell'}^* \ell_R \right) F^{\mu\nu},$$

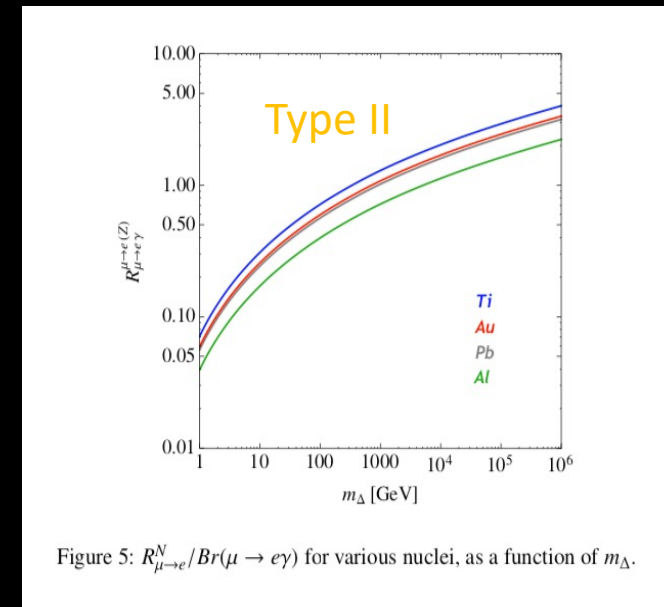
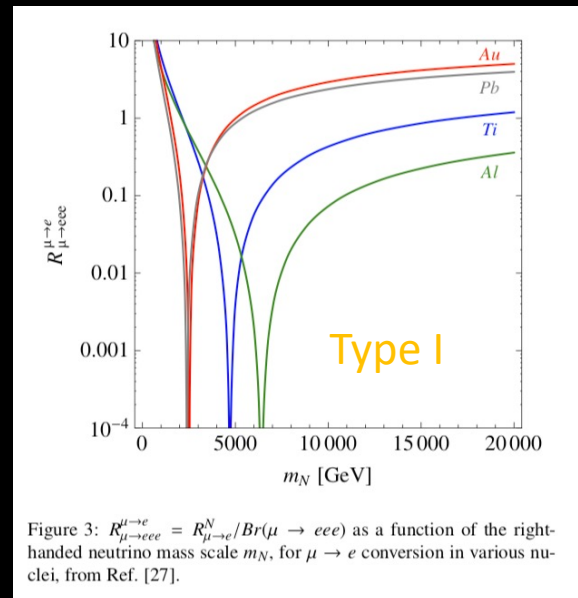
$$\frac{\text{BR}(\ell \rightarrow \ell' \gamma)}{\text{BR}(\ell \rightarrow \ell' \nu_\ell \bar{\nu}_{\ell'})} = \frac{48\pi^3 \alpha}{G_F^2} \left( |A_{\ell\ell'}|^2 + |A_{\ell'\ell}|^2 \right).$$

- The underlying  $\mu \rightarrow e\gamma$  transition can also generate lepton flavor conserving processes like the anomalous magnetic moments ( $\Delta a_\mu$ ) as well as leptonic electric dipole moments (EDMs,  $d_\mu$ ).
- In terms of the effective Lagrangian can write as :
$$\Delta a_\ell = 2m_\ell^2 \text{Re}(A_{\ell\ell}), \quad \frac{d_\ell}{e} = m_\ell \text{Im}(A_{\ell\ell}).$$
- On general grounds, one would expect that, in concrete NP scenarios,  $(\Delta a_\mu)$ ,  $d_\mu$  and  $\text{BR}(\mu \rightarrow e\gamma)$ , are correlated. In practice, their correlations depend on the unknown flavor and CP structure of the NP couplings and thus we cannot draw any firm conclusion that we would necessary see CLFV in the next generation, but this is of course a very promising result for muon physics!

# Example: See Saw Mechanisms



- See Saw Models can induce rates which are not suppressed by smallness of these masses.
- There are 3 ways of inducing  $\Delta L = 2$  Majorana neutrino masses from the tree level exchange of a heavy particle:
  - Type I exchange of right-handed neutrinos  $N_i$ ,
  - Type II exchange scalar triplet  $\Delta L$ ,
  - Type II exchange of fermion triplets  $\Sigma_i$ .
- Knowledge of the neutrino mass matrix is not sufficient to be able to distinguish between the 3 seesaw models  $\rightarrow$  CLFV can help here.





# SUSY SO(10)

Complementary to Muon g-2 and LHC program:

## SUSY SO(10)

Consider SO(10) SUSY GUT model with very massive right-handed neutrinos. Can consider different hypothesis for the neutrino Yukawa couplings. Mu2e will be able to test all PMNS type and most CKM type SO(10).

L. Calibbi et al., JHEP 1211 (2012) 040

L. Calibbi, G. Signorelli arXiv:1709.00294

Muon g-2 results will also be helpful here.

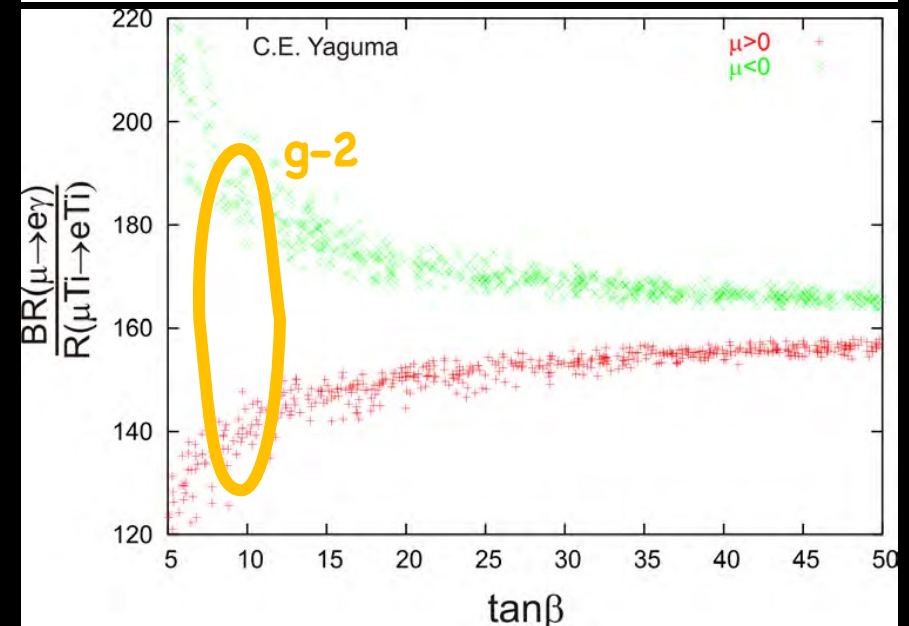
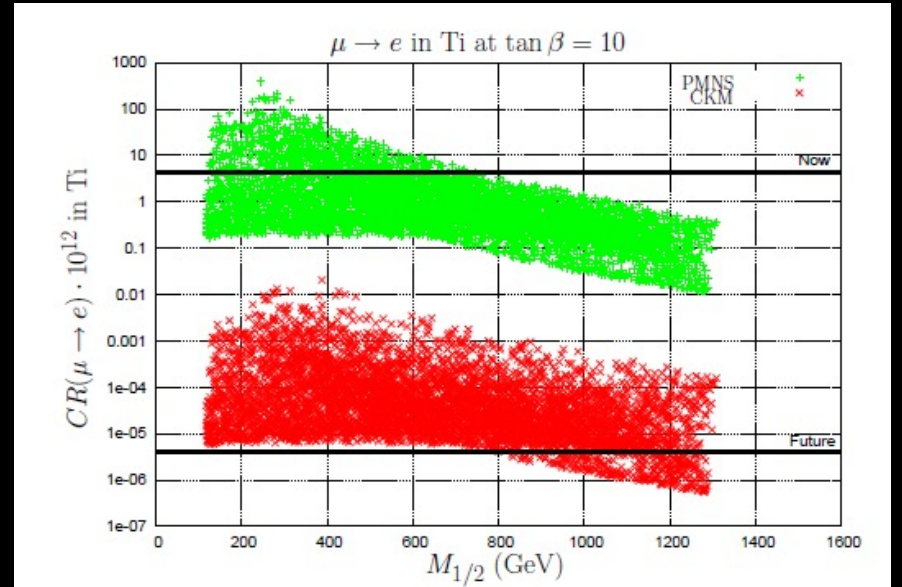
To allow discrimination among different models

Need:

- Observation of CLFV in more than one channel, and/or
- Evidence from LHC and/or g-2

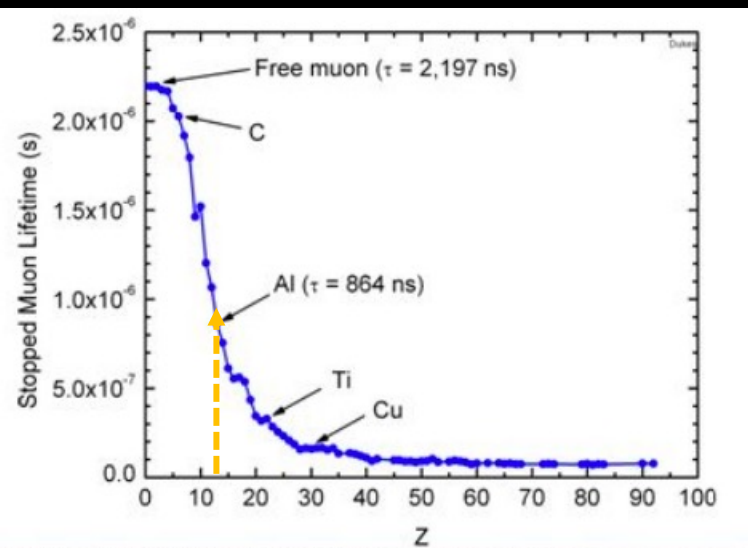
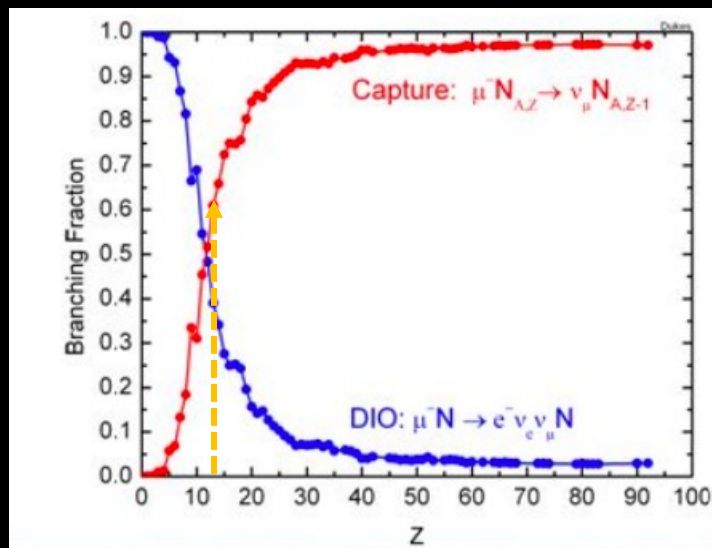
Yaguna, hep-ph/0502014v2

Endo arxiv.org/abs/1303.4256v1 (g-2 SUSY .v. LHC constraints)



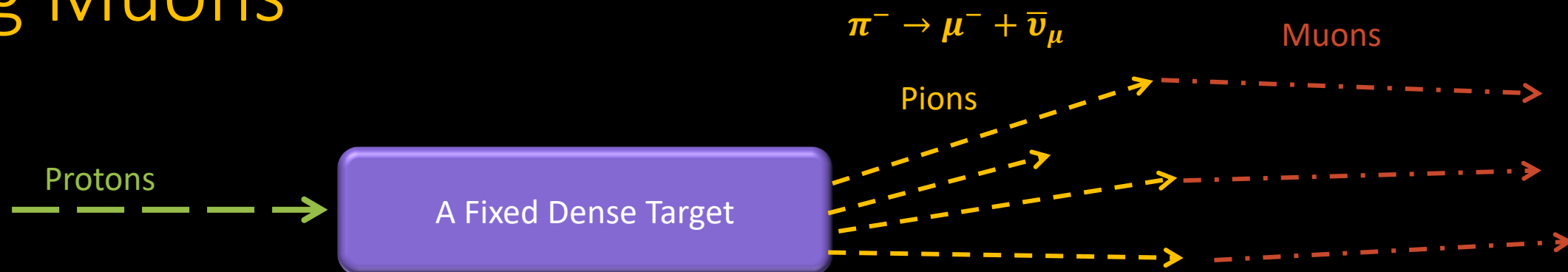
# Why Aluminum?

Practical Advantages	Physics Advantages
Chemically Stable	Conversion energy such that only tiny fraction of photons produced by muon radiative capture.
Available in required size/shape/thickness	Muon lifetime long compared to transit time of prompt backgrounds.
Low cost	Conversion rate increases with atomic number, reaching maximum at Se and Sb, then drops. Lifetime of muonic atoms decreases with increasing atomic number.
	Lifetime of muonic atom sits in “goldilocks” region i.e. neither longer than 1700 ns pulse spacing and greater than our pionic live gate.



The lifetime of a muon in a muonic atom decreases with increasing atomic number.

# Making Muons



- Protons hit a target, produce pions:
  - $\tau_\pi = 26 \text{ ns}$  at rest
- Pions decay to produce muons (and a muon anti-neutrino).
  - $\tau_\mu = 2.2 \text{ }\mu\text{s}$  at rest
- We can use high power proton beams to produce pure, intense muon beams.

Laboratory/ Beam Line	Energy/ Power	Present Muon $\mu^+/\mu^-$ Rates Hz	Future estimated $\mu^+/\mu^-$ Rate Hz
PSI (CH) - LEMS - $\pi$ E5 - HIMB	(590 MeV, 1.3MW, DC) " " (590 MeV, 1.3MW DC)	$4 \cdot 10^8$ <u><math>1.3 \cdot 10^8 / 10^6</math></u>	$O(10^{10} / 10^8) (\mu^+/-)$
J-PARC (JP) - MUSE - COMET	(3 GeV, 1MW Pulsed) Reached 400kW " (8 GeV, 56kW Pulsed)	$8 \cdot 10^7 / 4 \cdot 10^8$	$2 \cdot 10^8 (\mu^+) (1MW)$ $1 \cdot 10^7 (\mu^-) (1MW)$ <u><math>10^{11} (\mu^-) 2019/2020</math></u>
FNAL (FermiLab) (USA) - Mu2e	(8GeV, 25kW Pulsed)		<u><math>5 \cdot 10^{10} (\mu^-) 2019/2020</math></u>
RAON/RISP (Korea)	600 MeV, 400kW DC		$7 \cdot 10^8 (\mu^+)$
CSNS (China)	(1.6 GeV, 100kW Pulsed)		$10^8 (\mu^+)$
TRIUMF (CA) - M20/M9B	(500 MeV, 75kW, DC)	$2 \cdot 10^6 / 1.4 \cdot 10^6$	
RAL -ISIS (UK) - RIKEN-RAL	(800 MeV, 160kW, Pulsed)	$1.5 \cdot 10^6 / 7 \cdot 10^4$	
RCNP Osaka Univ. (JP) - MUSIC	(400 MeV, 400W DC)	$7 \cdot 10^5 / 1 \cdot 10^5 *$	* scaled from 8W



# Other Physics Searches at Mu2e

$$\mu^- N \rightarrow e^+ N'$$

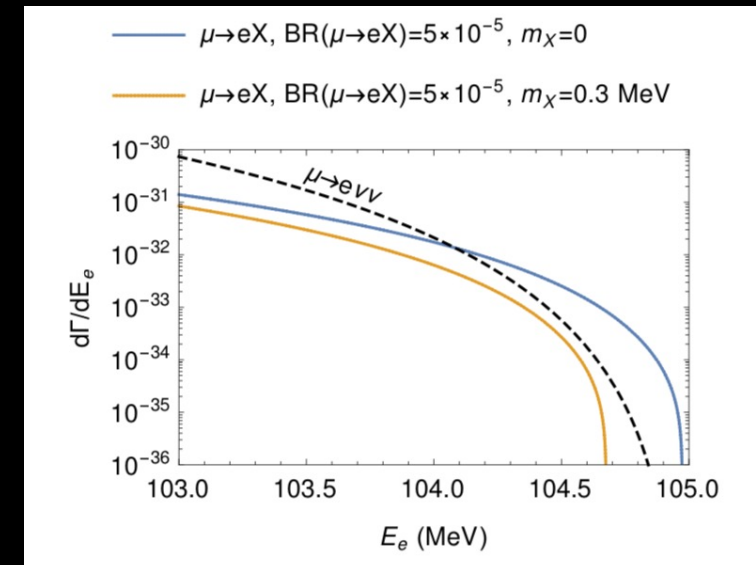
$$R_{\mu^- e^+}^{\text{Ti}} \equiv \frac{\Gamma(\mu^- + \text{Ti} \rightarrow e^+ + \text{Ca})}{\Gamma(\mu^- + \text{Ti} \rightarrow \nu_\mu + \text{Sc})} < \begin{cases} 1.7 \times 10^{-12} & (\text{GS, 90\% CL}) \\ 3.6 \times 10^{-11} & (\text{GDR, 90\% CL}) \end{cases}$$

- Also lepton number violation
- Could be mediated by Majorana neutrinos through a type-1 see saw mechanism or new particle at  $> \text{TeV}$  scale.
- The Mu2e sensitivity to  $\mu^- \rightarrow e^+$  extends beyond the current best limit: [Phys Rev Lett B 412 p 334-338 \[13\]](#)

Theory overview: Yeo et al 2017: <https://arxiv.org/abs/1705.07464>

$$\mu^- N \rightarrow e X N$$

- Where X is a new light boson (or axion).
- Currently understanding feasibility
- Example parameterization: <https://arxiv.org/abs/1110.2874>
- 2 Caltech summer students worked with me on this last year



# Removing out-of-time protons

- Must have out-of-time : in-time proton ratio must be kept  $< 10^{-10}$  to remove potential backgrounds.
- 2 phase process:
  - Fast “kicker” which transfers the proton beam from the Recycler to the Delivery Ring preserves extinction.
  - Extinction of  $10^{-5}$  is expected as the proton beam is extracted and delivered.
  - The beam line from the Delivery Ring to the production target has a set of AC oscillating dipoles that sweep out-of-time protons into a system of collimators. This should achieve an additional extinction of  $10^{-7}$  or better.
- Extinction measured using a detector system: Si-pixel + sampling EMC .

